

# Impact of the marine litter pollution on the Mediterranean biodiversity: a risk assessment study with focus on the marine protected areas.

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## Abstract

In this paper a novel methodology to assess the risk of marine litter (ML) pollution in the Mediterranean Sea is implemented. In this approach, the hazard component is estimated using a state-of-the-art 3D modeling system, which allows the simulation of floating and sinking ML particles; the exposure component is defined from biodiversity estimates; and the vulnerability is related to ML ingestion rates of each species. The results show that the hot-spots for the ML risk concentrate in the coastal regions, and are mainly conditioned by the biodiversity in the region. A dedicated analysis on the marine protected areas shows that the risk therein is controlled by the proximity to ML sources and that their present-day protection levels are not effective in the case of ML pollution. Only a reduction of ML at the sources could reduce the impact of ML pollution in protected areas.

## 1. Introduction.

The Mediterranean Sea is one of the most diverse areas and has been identified as a marine biodiversity hot spot with approximately 17,000 species corresponding to between 4-18% of the world marine species (Bianchi and Morri, 2000; Coll et al., 2010). Considering its unique geographic position and narrow connection to the Atlantic Ocean, the Mediterranean Sea is also home to several endemic and emblematic species to the region (Boudouresque, 2004). However, plastic pollution is a growing threat on marine diversity with interaction with plastic pollution evident throughout the region through entanglement and ingestions studies highlighting how widespread this issue is (Alomar et al., 2020; Consoli et al., 2019; Darmon et al., 2017). Studies based on both observations and numerical models indicate that the ML concentration in the Mediterranean is among the highest of the world, with values comparable to those found in the

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great garbage patches of the Pacific subtropical gyres (Cózar et al., 2015; Law et al., 2014; Liubartseva et al., 2018; Soto-Navarro et al., 2020). Consequently, the ML pollution poses a major threat to the biodiversity of the basin.

Within the context of the European Marine Strategy Framework Directive (Directive 2008/56/EC), the establishment of Marine Protected Areas (MPAs) by countries within the European Union is a strategy to achieve Good Environmental Status in addition to an affordable way to mitigate and promote adaptation to climate change (Pérez-Ruzafa et al., 2017; Roberts et al., 2017). Mediterranean MPAs have a range of site-specific restrictions and range in protective status from limited navigations, no-take areas, fishing limitations, among others, to reduce the human pressures on the marine environment. Despite this, MPAs are still subject to multiple threats, including coastal and ocean-based impacts and pollution, trawling and dredging, exploitation of marine resources, maritime activities and climate change (Coll et al., 2010). Currently in the Mediterranean Sea, there are initiatives and transversal actions aimed at examining MPAs. The Plastic Busters MPAs project (EU-Interreg co-funded by the European Regional Development Fund) aims at monitoring and assessing marine litter in MPAs across the Mediterranean Sea through mitigation and preventive measures established among the consortium MPAs such as the Pelagos Sanctuary (Italy), the Tuscan Archipelago National Park (Italy), the National Marine Park of Zakynthos (Greece) and the Cabrera Archipelago Maritime-Terrestrial National Park (Spain). These MPAs have all identified some form of ML present in the area whether it be on the sea surface (Baini et al., 2018), in biota (Panti et al., 2015) or on the seafloor (Alomar et al., 2016). Ecological risk analyses have been widely used as an essential tool for ecosystem-based management. By quantifying the probability of an undesirable event or impact, the risk assessment is very useful to establish mitigation measures aiming at prevent or hinder those impacts (Holsman et al., 2017). However, the risk estimation strongly relies on the specific methodology applied for its computation, particularly on the definition of the different parameters characterizing the risk. Previous studies in the global ocean and the Mediterranean Sea have addressed the estimation of ML pollution risk using different methodologies and/or definitions of the factors involved in the risk estimation. Nonetheless, although the methodologies differ, all studies share common aspects. The risk is based on a combination of indicators for hazard, exposure, and vulnerability. The hazard definition is usually very similar for all of them since the presence/absence of ML is the key starting point in any ML pollution study. Determining the amount of ML present in the area of study is crucial and very difficult. The available observational data is spatially and temporally discontinuous, hence insufficient to provide accurate information about the ML distribution over extended regions and time periods.

65 To solve this problem, most studies rely on indirect methods and numerical models. For instance,  
66 Wilcox et al. (2013) evaluated the risk of entanglement in abandoned fishing nets for sea turtles  
67 in Northern Australia, combining observations in beaches and bycatch records with Lagrangian  
68 numerical models to estimate the density of lost fishing gear in their area of study. On a global  
69 scale, Wilcox et al. (2015) and Schuyler et al. (2016) analyzed the risk of plastic ingestion for  
70 seabirds and sea turtles, respectively. Both works used ML concentrations based on Lagrangian  
71 simulations run over drifter derived current fields obtained from the Global Drifter Program. In  
72 the Mediterranean, Darmon et al. (2017) studied the co-presence of sea turtles and ML patches  
73 in the Mediterranean and Atlantic French waters, analyzing aerial observations. Compa et al.  
74 (2019) elaborated risk maps of the whole Mediterranean basin for several species using the global  
75 model of Lebreton et al. (2012) to calculate the ML concentration in the basin. Finally, Fossi et  
76 al. (2017) and Guerrini et al. (2019) studied the impact of plastic pollution on the fin whale  
77 feeding grounds at the Pelagos Sanctuary (northwestern Mediterranean) using the current fields  
78 from regional simulations to compute the ML concentration in their region of study.

79 Unlike the hazard, the definitions of exposure and vulnerability found in the literature are more  
80 subjective and strongly depend on each study's specific scope. When it is focused on only one  
81 species such as Fossi et al. (2017) and Guerrini et al. (2019) on the fin whale, the definition of  
82 vulnerability is unnecessary because this parameter is used precisely to distinguish the impact of  
83 the ML pollution on different species. In this case, the authors compute the risk as to the product  
84 of the average ML concentration given by their models (hazard) and the presence/absence of fin  
85 whales in their area of study, inferred from the habitat suitability model of Druon et al. (2012).  
86 Wilcox et al. (2015) and Schuyler et al. (2016) use a more sophisticated method to define the risk  
87 factors. First, they carry out an extensive literature review to gather all the available observations  
88 of ML impact on the species of interest for the study (188 seabirds and seven turtle species,  
89 respectively). Afterwards, they retrieve the global distribution of seabirds and sea turtles'  
90 populations from on-line open-access datasets. Then, the exposure is defined as the probability  
91 of encountering the individual species with the marine debris, which their respective ML spread  
92 models give concentration. Finally, the risk is defined as the probability of ingestion, estimated  
93 using a binomial model that includes the biological characteristic of the different species such as  
94 life-history traits and body size. Thus, these models implicitly include the vulnerability of each  
95 species analyzed. Compa et al. (2019) follow this methodology to assess the risk for marine  
96 species in the Mediterranean. After an exhaustive literature search, the species for which there  
97 are observations of plastic ingestion were included in the study. A total of 84 species, pelagic and  
98 demersal, were selected. Generalized Additive Models (GAM) with binomial distribution were

99 used to determine the exposure and the risk of each species, defined as the ingestion rate taking  
100 into account biological characteristics (motility, body size, class, or habitat). The total risk for the  
101 whole ensemble was estimated as the sum of each species' individual risks.

102 The objective of this study is to move forward in the ML risk assessment of the Mediterranean  
103 Sea by developing a new methodology that seeks to complement and improve the previous  
104 efforts in this area. To this aim, a very high-resolution 3D ML dispersion model is used to estimate  
105 the concentration of ML particles with neutral and negative buoyancy to define the hazard for  
106 different ML types. Complementary, a dataset of more than 2000 species will be used to estimate  
107 exposure and vulnerability. Special attention is paid to the impact of the ML pollution in the  
108 Mediterranean Marine Protected Areas (MPAs) by focusing the results on more than 1400 MPAs.  
109 The paper is organized as follows: section 2 describes the methodology applied for the definition  
110 of the risk factors and the different sources of data. In section 3 the results are presented and  
111 discussed in section 4. The main conclusions of the study are summarized in section 5.

## 112 **2. Methodology.**

113 In order to evaluate the risk of ML pollution in the Mediterranean, three parameters have to be  
114 defined and estimated: hazard, exposure, and vulnerability. In our case, the hazard is defined as  
115 the average concentration of ML in a particular area, the exposure is related to the diversity of  
116 species present in the area (normalized number of species within the area), and the vulnerability  
117 is related to the probability of plastic ingestions by those species.

### 118 **2.1 Hazard: Marine Litter concentration**

119 The United Nations Environmental Program (UNEP) defines marine litter (ML) as any persistent,  
120 manufactured or processed solid material that is discarded, disposed of or abandoned in the  
121 marine or coastal environment (UNEP, 2009). These materials accumulate in both shallow and  
122 deep waters, and especially in closed basins such as the Mediterranean Sea (Barnes et al., 2009;  
123 Cózar et al., 2015). The most recent estimates show that between 4.8 and 12.7 million tons of  
124 plastic waste were dumped into the ocean in 2010, an amount that is expected to increase by  
125 one order of magnitude by 2025 if no measures are implemented to improve the waste  
126 management systems. In the case of the Mediterranean, it is estimated that around one hundred  
127 thousand tons of plastic waste enter each year (J. R. Jambeck et al., 2015). This definition of ML  
128 is the one adopted in this study. Therefore, the ML concentrations analyzed correspond to the  
129 macro-plastic (> 5 mm) dumped in the Mediterranean introduced in the Mediterranean basin as  
130 a result of the human activities.

131 The ML concentration in the Mediterranean basin is estimated from the outputs of a modeling  
132 system comprised of a high resolution Regional Circulation Model (RCM) and a Lagrangian model  
133 coupled to the RCM current field, which simulates the 3D trajectories of the ML. A complete  
134 description of the modelling system and the experiments can be found in Soto-Navarro et al.  
135 (2020), so here we summarize the main characteristics. This modeling system is used to run 120  
136 year-long simulations starting the first day of each month and covering the period 2003 – 2013.  
137 In each simulation, 41872 particles are released, corresponding to the estimated 100k tons of ML  
138 dumped into the Mediterranean every year (J. Jambeck et al., 2015). Three different ML sources  
139 are considered: cities with a population larger than 25k inhabitants, major rivers and shipping  
140 lanes with dense maritime traffic. The particles are distributed among these three types of  
141 sources according to the ratio 50:30:20%, respectively. The 50k tons of ML per year  
142 corresponding to the cities are distributed in proportion to their population, the 30k tons of the  
143 rivers according to their climatological average discharge between 1980 and 2012 and the annual  
144 20k tons corresponding to the shipping lanes are uniformly distributed over the regions with  
145 higher concentrations of maritime traffic (fig. S1). Due to the lack of information, the ML inputs  
146 from the Atlantic Ocean through the Strait of Gibraltar and from the Black Sea through the  
147 Dardanelles strait have not been included in the simulations. This might have resulted in an  
148 underestimation of the ML concentrations in the Alboran Sea and Northern Aegean.

149 Two sets of experiments were carried out, the first one considered ML particles with neutral  
150 buoyancy (NP), meaning that their density is exactly the same as the density of the seawater  
151 surrounding them and, hence, their vertical movements depend only on the RCM vertical  
152 velocities. This experiment aims at mimicking the evolution of polymers with density similar to  
153 seawater density (for instance, some polystyrene utensils and nylon fishing nets or ropes).  
154 Nonetheless, as shown by Soto-Navarro et al. (2020), the spatial patterns of the concentration of  
155 neutral and floating ML particles is very similar. Therefore, the results for the NP can be  
156 considered representative of the first 100 m of the water column.

157 The second set of simulations considers ML particles with negative buoyancy (SP), which density  
158 is higher than seawater and therefore have a negative component in the vertical velocity that  
159 makes them sink. The same number of particles are released using the same ML sources  
160 distribution and over the same time period. The difference is that in these runs the vertical motion  
161 of the particles is constraint. A nominal sedimentation velocity of the particles of  $-10^{-3}$  m/s is  
162 considered. It has to be noted that this sedimentation velocity is lower than the those obtained  
163 in laboratory experiments for particles with densities slightly higher than the water density  
164 (Khatmullina and Isachenko, 2017). These authors estimated sinking velocities between 0.005

165 and 0.127 m/s for items in the density range of 1130 – 1168 kg/m<sup>3</sup>. We have selected a  
166 sedimentation velocity lower than the observed in laboratory in order establish an upper limit for  
167 the horizontal dispersion of sinking ML. In any case, this experiment should not be considered as  
168 an exhaustive representation of the ML sedimentation process, but as an approximation to the  
169 upper range of the possible evolution of the denser ML particles. Most of the polymers used in  
170 the fabrication of plastic items are denser than the seawater (GESAMP, 2019). In consequence,  
171 most of the ML (70%) is hypothesized to lie in the seafloor (UNEP, 2009). The SP simulations aims  
172 at representing the spatial distribution of these large fraction of ML.

173 In summary, the two types of particles represented in this work correspond to ML manufactured  
174 with polymers with densities in the range of seawater (1020-1040 kg/m<sup>3</sup>, neutral particles) and  
175 denser than seawater (>1040 kg/m<sup>3</sup>, sinking particles).

176 At each grid point, the hazard is defined as the average ML concentration, which is computed on  
177 a regular grid of 0.25° x 0.25° resolution for both the neutral and sinking particles. Taking into  
178 account that NP will affect the species that feed on the upper layers of the water column (pelagic)  
179 and the SP to those that feed near the seafloor (demersal and benthic), two different hazards are  
180 defined, one for each type of particles. Finally, the hazard values are normalized between 0 and  
181 1, by dividing the concentration values by the maximum of the NP and SP concentration, i.e., the  
182 maximum of the two concentrations maps. This way the normalization is common for the two  
183 types of particles so the index value for the NP and SP can be compared.

## 184 **2.2. Exposure: species distribution**

185 We relate the exposure to the probability of occurrence of different species. To quantify it we use  
186 the spatial distributions of the probability of occurrence of all the Mediterranean species available  
187 in the FishBase ([www.fishbase.se](http://www.fishbase.se)) and SeaLifeBase ([www.sealifebase.ca](http://www.sealifebase.ca)) datasets. These datasets  
188 have been retrieved from the Aquamaps website (<https://www.aquamaps.org/>) (Kaschner et al.  
189 2019) in May 2020. This includes a wide range of marine diversity including chitons, echinoderms,  
190 hydrozoans, sponges, tunicates, among others (see table S1 for complete list). The most common  
191 phylums were: Chordata (29.1 %) including pinnipeds, reptiles, sea turtles, fish, sharks, whales  
192 and dolphins; Mollusca (22.7 %) including bivalves, cephalopods, gastropods, etc.; arthropoda  
193 (12.0 %) sea spiders and crustaceans; and Annelida (10.7 %) consisting of mainly polychaetes. The  
194 exposure of each species at a particular location is defined as its probability of occurrence at that  
195 point. It is hazard-dependent, meaning that pelagic species are not exposed to ML in the bottom  
196 and benthic species are not exposed to ML existing in the upper layer. A total of 2170 species are

197 considered (table S1). The data is originally provided in a regular grid with a spatial resolution of  
198  $0.25^\circ \times 0.25^\circ$ , and has been interpolated into the same grid as the hazards.

199 Since the exposure is defined as a probability, its values range between 0 and 1. Once the  
200 exposure for all the species is computed, the metric defined to represent the average exposure  
201 is the sum of all the probabilities of the species appearing at a given grid point normalized by the  
202 total number of species. This way, the exposure will be higher in regions where many species are  
203 present, even though their probability is not very high, than in regions with very few species with  
204 higher probability of occurrence. In other words, the exposure is defined as a proxy of the  
205 biodiversity. More diverse regions contain a larger number of species and hence are more  
206 exposed to the ML pollution and vice versa.

207 It is important to point out that the diversity definition adopted here is limited to the species  
208 included in the Aquamaps dataset (table S1). This definition does not include phytoplankton,  
209 zooplankton or bacteria. In ecological terms, our definition is framed in the alpha-diversity, as we  
210 consider the number of species locally, normalizing the probability by the total number of species  
211 at each grid point of the domain. A second point to be considered is that the abundance of each  
212 species have not been taken into account in the risk estimation. Clearly, regions with low diversity  
213 but hosting very abundant species could be considered as very exposed, since the ML would likely  
214 impact a very large number of individuals. However, our approach is based on the inclusion of  
215 the largest possible number of species. Unfortunately, currently there is no information on  
216 population dynamics for the 2170 species considered. Moreover, by only considering the  
217 abundance for the species for which that information available, we would be introducing a bias  
218 in the results. These limitations should be taken into account in the interpretation of the results  
219 of this study and considered when the terms diversity or biodiversity are mentioned.

### 220 **2.3. Vulnerability: probability of ingestion**

221 The vulnerability is defined as the probability of plastic ingestion by the species present in a  
222 certain location. However, the lack of information makes unfeasible the estimation of the  
223 ingestion rate for each of the 2170 Mediterranean species analyzed in this work. Therefore, here  
224 we rely on the work of (Fossi et al., 2018) who have characterized the ingestion rates by habitats,  
225 and assign a ingestion rate to each species depending on the habitat they belong to.

226 Namely, (Fossi et al., 2018) authors carried out an exhaustive bibliographic research of all the  
227 previous works on plastic ingestion in Mediterranean species, finding 48 papers analyzing a total  
228 of 91 species. Then they defined an index of ML ingestion as the fraction (in %) of ingestion  
229 occurrence observed for the species belonging to different types of marine habitats. We have

230 selected the median value of the distribution of percentage of ingestions for each habitat (see  
 231 fig. 3 of Fossi et al. 2018) as an indicator of the species ingestion rate. Using this approximation,  
 232 we are aware that the uncertainty associated to individual species could be large but, as we work  
 233 in an aggregated framework, the expected error in the final results will be minimized. The habitat  
 234 assigned to each of the species is summarized in table S1. Table 1 shows the ingestion rate  
 235 assigned to each of the ten different habitats included in the ensemble of species. It is important  
 236 to notice that the vulnerability is defined taking into account the position of the habitats in the  
 237 water column. For this study, pelagic habitats are vulnerable to the hazard due to NP, while  
 238 benthic and demersal habitats are vulnerable to the hazard due to SP. The vulnerability index is  
 239 defined as the ingestion rate given as a fraction of unity, hence ranges between 0 and 1. The  
 240 average vulnerability of a particular location is computed separately for the NP hazard (only  
 241 affecting pelagic species) and the SP hazard (only affecting benthic and demersal species), as the  
 242 sum of the vulnerability of each species at each grid point divided by the total number of species  
 243 (423 for NP and 1823 for SP).

Habitat	Ingestion rate for NP (%)	Ingestion rate for SP (%)	N species	
Pelagic	43.3	0	182	Pelagic
Bathypelagic	43.3	0	74	
Pelagic-Neritic	43.3	0	38	
Pelagic-Oceanic	43.3	0	53	
Benthopelagic	24.1	24.1	77	Benthic/demersal
Benthic	0	2.1	903	
Demersal	0	10.6	395	
Bathydemersal	0	10.6	44	
Reef-Associated	0	2.1	87	
Sessile	0	2.1	317	

244 **Table 1.** List of habitats and assigned ingestion rate for the hazard due to neutral particles (NP) and sinking particles  
 245 (SP). The last column is the number of species included in each habitat, indicating which are considered pelagic  
 246 (affected by NP) and benthic or demersal (affected by SP).

## 247 2.4 Risk estimation

248 Once the hazard, exposure and vulnerability are defined, the risk by ML at each grid point and for  
 249 each species is computed as follows:

$$250 \quad R = \begin{cases} \frac{1}{3}(H + E + V), & H \wedge E \wedge V > 0 \\ 0 & , H \vee E \vee V = 0 \end{cases} \quad (1)$$

251 where  $R$ ,  $H$  and  $E$  are matrices of dimensions  $lon \times lat \times species$  and  $V$  is the vulnerability assigned  
 252 to each of the 2170 species' habitat. So, the risk index is defined as the average of the three



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4 253 components, except if one of them is 0, when the risk is considered 0 (e.g. if no ML is present in  
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6 254 a certain region, then the hazard will be 0 and so the risk).  
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8 255 This computation is done for each species, so to represent the total risk in a particular location,  
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10 256 we use a similar metric than for the exposure and vulnerability. The total risk is computed at each  
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12 257 grid point as the sum of the risk for each species divided by the total number of species.  
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14 258 Complementarily, the specific risks for pelagic (only affected by NP) and benthic and demersal  
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16 259 (only affected by SP) species are computed as the sum of their individual risks divided by the  
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18 260 number of species belonging to each habitat type (423 for pelagic and 1823 for benthic and  
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20 261 demersal).  
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22 262 This methodology assigns higher risk to regions with larger number of species. I.e: since we  
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24 263 normalize dividing by the total number of species, for different regions subject to the same hazard  
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26 264 (i.e. ML concentration), those regions hosting many species with low vulnerability will show  
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28 265 higher risk than regions with less biodiversity but with more vulnerable species. Also, computing  
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30 266 the risk as the average of  $H$ ,  $E$  and  $V$  (only in the points where the three factors are higher than  
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32 267 zero), prevents the result from being unbalanced by a very high or low value of one of the factors.

## 30 268 **2.5 Marine protected areas**

31 269 The estimated risk has been summarized for the different Marine Protected Areas of the  
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33 270 Mediterranean (MPAs) of the Mediterranean. MPAs data from the Natura2000 network was  
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35 271 downloaded from the European Environmental Agency (EEA) ([www.eea.europa.eu](http://www.eea.europa.eu)). A total of  
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37 272 1448 MPAs distributed across the Mediterranean basin are included in the Natura 2000 network.  
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39 273 The information from the MPAs includes boundaries, surface and habitats hosted. The averaged  
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41 274 values of the hazards, exposure, vulnerability and risk at each of the MPAs were computed. All  
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43 275 the information is summarized in table S2.

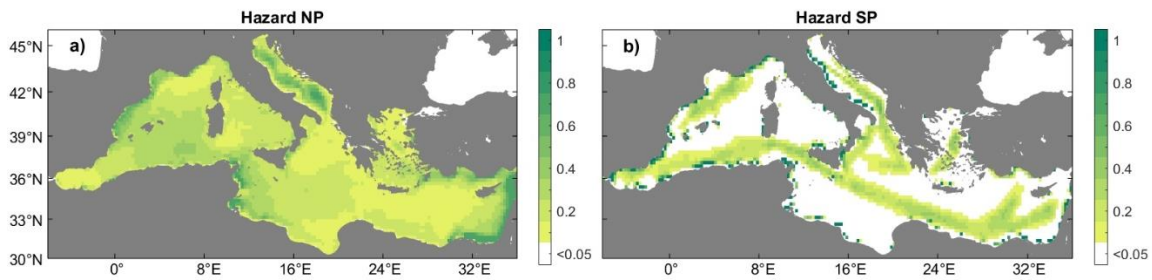
## 44 276 **3. Results**

### 46 277 **3.1 Risk assessment of the Mediterranean basin**

#### 48 278 *3.1.1 Hazard*

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51 279 The regions of higher NP hazard (i.e., higher concentrations of ML in the water column) in the  
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53 280 Western Mediterranean are located in the Gulf of Lions and the northeastern slope of the Iberian  
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55 281 Peninsula (fig. 1a). In this sub-basin, the regions with lower particle accumulation are located in  
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57 282 the southern Tyrrhenian Sea (southeast of Sardinia), Ligurian and the Alboran Sea. North of the  
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59 283 Algerian current and in the Balearic Sea, the average concentrations are moderate. In the Eastern

284 Mediterranean, the higher NP hazard is found in the proximities of the Sicily Strait and the Gulf  
 285 of Gabes, the Adriatic Sea and the slopes of the Levantine basin from Egypt to Turkey. On the  
 286 other hand, the northern Aegean, northern Ionian and center region of the Levantine basin show  
 287 the lowest ML concentrations. Throughout the rest of the Eastern Mediterranean, the  
 288 concentrations are moderate. It is worth pointing out that, according to the results of Soto-  
 289 Navarro et al. (2020), the average concentration of the NP in the basin is  $2.3 \text{ kg}\cdot\text{km}^{-2}$ , the highest  
 290 values reach  $6.5 \text{ kg}\cdot\text{km}^{-2}$  and the lowest below  $1.5 \text{ kg}\cdot\text{km}^{-2}$ . The authors also concluded that the  
 291 average depth across the whole basin at which NP are found is 35 m, with more than 80% of the  
 292 particles remaining inside the photic layer (fig. S2). In the Western Mediterranean the NP depth  
 293 distribution is quite homogeneous, with values close to the whole basin average [20 – 30 m]. In  
 294 the Eastern Mediterranean the mean depth distribution is more heterogeneous with regions as  
 295 the southern Aegean, offshore the slope of the Gulf of Gabes and some areas of the Ionian Sea  
 296 and the Levantine basin where the average depth reaches values higher than 45 m.  
 297 The distribution of the hazard due to sinking particles is completely different (fig. 1b). Even though  
 298 the sedimentation speed of the particles is relatively small, most of them remain close to location  
 299 where they were released. As a result, the average concentration map for the SP highly resembles  
 300 the initial concentrations, with very high values at these positions (compare fig. 1b with fig. S1  
 301 and see Soto-Navarro et al., 2020 for a thorough description of the ML distribution of both NP  
 302 and SP).



303  
 304 **Figure 1.** Neutral particles (a) and sinking particles (b) hazard distribution computed as the average concentration (see  
 305 text). Values are normalized and adimensional.

### 306 3.1.2 Exposure

307 The spatial pattern of the average exposure is represented in figure 2a. As pointed out in section  
 308 2.2, this index is proportional to the number of species that can be found at each grid point,  
 309 therefore, it can be interpreted as a measure of the biodiversity. The regions with higher exposure  
 310 are concentrated in the coastal areas of the Western Mediterranean, the Strait of Sicily, the  
 311 Adriatic and Aegean seas. In the open sea the number of species with high probability of

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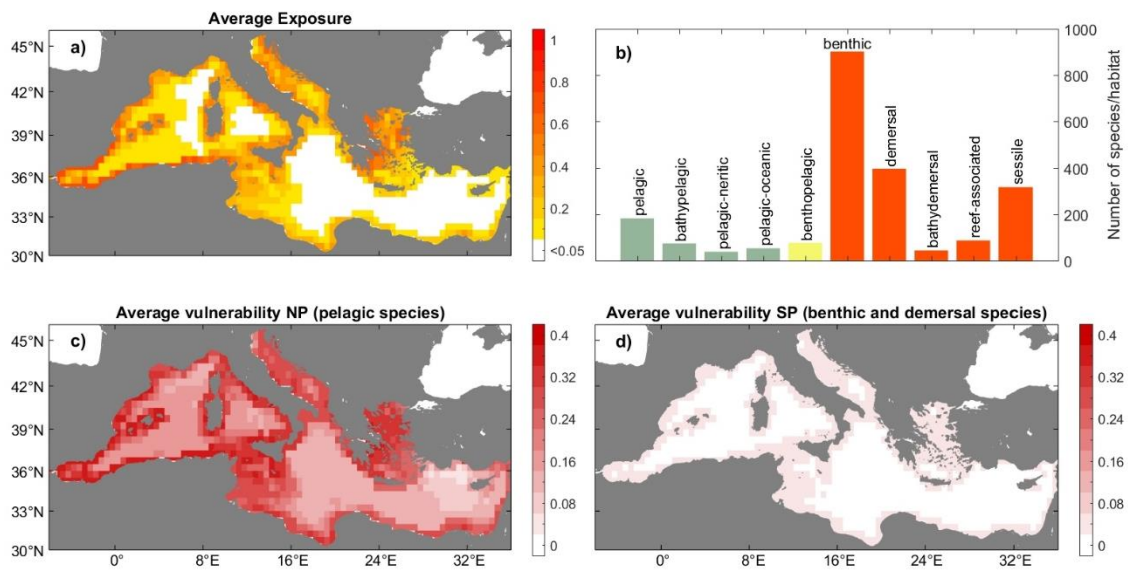
312 occurrence is significantly lower, especially in the Tyrrhenian and Ligurian seas and most of the  
313 Eastern Mediterranean. This negative gradient from west to east and north to south of the  
314 Mediterranean biodiversity has been previously described and linked with differences in key  
315 environmental variables (latitude, salinity, temperature and water circulation) (Coll et al., 2010).  
316 According to these authors, the Western Mediterranean is more diverse due to its proximity to  
317 the Strait of Gibraltar which favors an influx of Atlantic species and a wider range of  
318 physicochemical conditions. On the other hand, the Levantine basin show the lowest species  
319 richness due to the unfavorable conditions in the area (such as high salinity).

320 From the total of 2170 species considered, 80% live in habitats close to the seafloor (benthic,  
321 demersal, bathydemersal, sessile and reef-associated) (table 1, fig. 2b). These habitats are mainly  
322 located in shallower coastal areas where the photic layer reaches the seafloor enabling the  
323 growth of seaweeds and seagrasses, which are essential in the development of multitude of  
324 ecosystems (Coll et al. 2010). The growth of these organisms occurs mainly in the continental  
325 shelves and the uppermost parts of the seamounts above 150 m depth (Ballesteros, 1994). As a  
326 consequence, the highest marine diversity is concentrated in coastal areas and continental  
327 shelves, above 200 m (Coll et al., 2010; Moranta et al., 1998). Conversely, the diversity is lower in  
328 open sea waters, which are mainly home of pelagic species as the seafloor is too deep to be  
329 reached by the sunlight and hence diversity in benthic ecosystems is lower. Unsurprisingly, the  
330 diversity distribution in the basin coincides with the spatial pattern of the primary production  
331 (Coll et al., 2010; Stambler, 2014). High productivity areas such as the Western Mediterranean  
332 and the Adriatic Sea show higher diversity, as they are important feeding and reproductive sites  
333 for numerous species. In particular, the regions of the Mediterranean with higher primary  
334 production are the continental shelves of the Gulf of Lions and the Italian and Iberian Peninsulas,  
335 the Gulf of Gabes and the North Aegean Sea, which are also areas of high biodiversity (fig. 2a).  
336 On the contrary, the Ionian Sea and the Levantine basin are the more oligotrophic regions of the  
337 basin, showing the lower biodiversity.

### 338 *3.1.3 Vulnerability*

339 Following (Fossi et al., 2018), the most vulnerable habitats are those closer to the surface (pelagic,  
340 benthypelagic, pelagic-neritic and pelagic-oceanic). These habitats show ingestion rates ranging  
341 between 24% and 43%. Benthic and demersal habitats have lower ingestion rates, ranging  
342 between 2% and 24% (table 1). As mentioned, pelagic ecosystems extend all over the basin, unlike  
343 benthic which are limited by the light availability to the shallower regions. These differences are  
344 reflected in figures 2c and 2d, which represent the average vulnerability for the neutral and

345 sinking particles at each grid point. We see that the higher ingestion rate of the habitats closer to  
 346 the surface and affected by ML in the water column (i.e. represented by NP) results in a higher  
 347 value of the average vulnerability (fig. 2c). It is also evident that the pelagic species spread  
 348 throughout the whole Mediterranean, so values of the average vulnerability exceed 0.15 across  
 349 the whole basin. Nonetheless the most vulnerable regions are those close to the shores, where  
 350 the ecosystems are richer and host many more species. On the other hand, the benthic species'  
 351 lower ingestion rate results in a significantly lower average vulnerability (i.e. values lower than  
 352 0.1 everywhere). The spatial constriction of these species to the coastal areas is clear and no  
 353 significant vulnerability is obtained in the open sea (fig. 2d).



354 **Figure 2.** a) Average exposure, estimated as the sum of the probability of occurrence of each species at each grid point  
 355 divided by the total number of species. b) Histogram of the number of species belonging to each of the habitats  
 356 considered. The colors indicate if the habitat is affected by the hazard due to neutral particles (green), sinking particles  
 357 (orange) or both (yellow). c) Average vulnerability for the neutral particles. d) Average vulnerability for the sinking  
 358 particles. 359

### 360 3.1.4 Risk assessment

361 The risk maps for the hazards due to neutral and sinking particles in the whole Mediterranean are  
 362 shown in figure 3. The remarkable differences between the total risk values and spatial  
 363 distributions between the two types of hazards (i.e., neutral ML vs sinking ML) are evident (figs.  
 364 3a, b). For the NP the total risk is distinctly lower than for the SP, a direct consequence of the  
 365 difference in the number of species affected by the two types of hazards, much larger for the  
 366 latter (table 1, fig. 2b). It is important to keep in mind that the total risk for both types of hazard  
 367 is computed as the sum of the risk for each species divided by the total number of species (2170).  
 368 In consequence, the total risk for the hazard due to NP is much lower because it only affects 20%

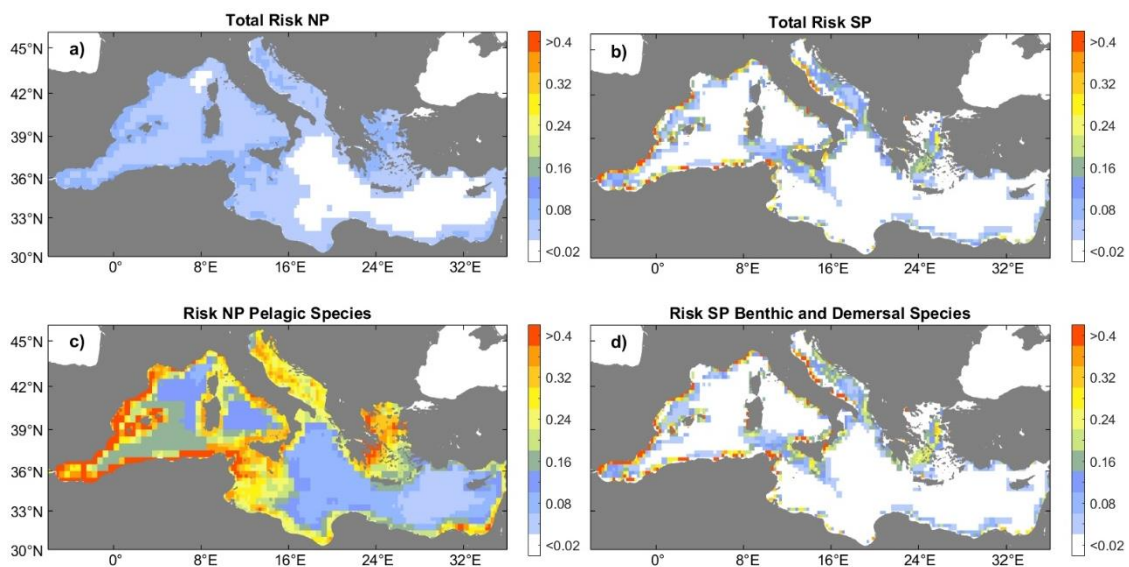
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369 of the species. This metric is defined to measure the impact of the ML pollution in the whole  
370 water column, and show the relative importance of the two hazards for the whole ensemble of  
371 species analyzed. The differences between figures 3a and 3b emphasizes that most of the  
372 Mediterranean species live in benthic/demersal habitats close to the seafloor, distributed along  
373 the coastal regions. The total risk for NP is significant ( $>0.02$ ) for the whole Western  
374 Mediterranean except a small region in the Ligurian Sea (fig. 3a). In the Eastern Mediterranean,  
375 significant values are found in the Adriatic, the Aegean and the eastern Ionian. The Central Ionian  
376 and the Levantine basin do not show significant risk, with the exception of the coastal regions.  
377 For the SP, the total risk values are notably higher than for the NP (fig. 3b), but the regions  
378 affected are limited to the vicinity of the ML sources (i.e., compare to figs. 1b, S1). The total risk  
379 values are generally high in the continental slopes of the highly populated areas of the Western  
380 Mediterranean and the Adriatic. The lower vulnerability of the demersal and benthic  
381 communities reduces the impact of the strong SP hazard values in the coastal regions of the  
382 western and southern Adriatic, the Ligurian Sea and the southwestern Ionian. The higher risk  
383 values are found in the slopes of the Iberian Peninsula and the northern African coasts of the  
384 Western Mediterranean due to the presence of many highly populated cities which constitute  
385 the main source of SP (fig. 1S). In the Eastern Mediterranean, the lower exposure reduces the  
386 high impact of the coastal cities and Nile river, resulting in relatively low values of the total risk.

387 In order to better analyze the spatial differences across the basin, it is useful to look at the risk  
388 when only the species affected by each type of hazard are considered in the normalization. By  
389 doing that, a strong increase in the average risk is obtained for the NP hazard (fig.3c). Even though  
390 the values of the NP hazard are generally much lower than for the SP all over the basin (fig. 1),  
391 the risk associated exclusively to the pelagic species is relatively high, reaching values comparable  
392 to the SP risk, as a consequence of the higher vulnerability of the species living in these habitats  
393 (fig. 2c). The highest values are found in the coasts of the Western Mediterranean, particularly in  
394 the eastern slope of the Iberian Peninsula, the Algerian current, the Balearic Sea, and the Strait  
395 of Sicily, all of them regions with high NP concentration and exposure (figs. 1a, 2a). High values  
396 are also found in the Aegean Sea, in this case, due mainly to the high exposure of this region, as  
397 the NP concentration is relatively low in that area. The Gulf of Lions, Gulf of Gabes, Adriatic Sea  
398 and eastern slopes of the Levantine basin show relatively moderate risk, also matching with areas  
399 of moderate NP hazard and exposure. Conversely, the southern Adriatic and eastern slope of the  
400 Levantine basin, both regions with very high NP concentration, are less exposed, thus showing a  
401 moderate risk. The open sea regions of the Western and Eastern basins are the areas with lower  
402 NP risk, as expected since they show the lowest values of ML concentration and biodiversity (i.e.,

403 low exposure). On the other hand, no significant changes are observed when computing the risk  
404 for the SP considering only benthic and demersal species (fig. 3d). Since these habitats contain  
405 more than 80% of the species, the risk values remain very similar than when computed using the  
406 total number of species in the normalization.

407 It is worth pointing out that using the total number of species (figs. 3a, b) or only those affected  
408 by each type of hazard (figs. 3c, d) in the normalization does not affect the spatial distribution of  
409 the risk in any case. Since the same species are considered for each hazard, the regions of  
410 higher/lower risk remain similar; only the risk value changes because the results of the sum of  
411 the individual risks of each species are divided by a different number of species (423 for NP and  
412 1823 for SP). The objective of showing the two estimations is to illustrate each type of hazard's  
413 relative relevance in the computation of the total risk. In the case of the pelagic species, their  
414 total contribution is relatively small because there are fewer species (fig. 3a). Still, individually,  
415 the lower NP concentrations are counterbalanced by these habitats' high vulnerability, resulting  
416 in high risk values in many regions of the Mediterranean. Therefore, when computing the average  
417 only considering these species the risk increases (fig. 3c). Conversely, the benthic and demersal  
418 species are the main contributors to the total risk and there is almost no difference between the  
419 computation using only them or the whole ensemble (figs. 3b, d). These species have much lower  
420 vulnerability but the SP hazard values are very high, resulting in individual risk similar to those of  
421 the pelagic species in many regions of the basin. In summary, pelagic and benthic/demersal  
422 species show similar risk values individually in the coastal regions of the Mediterranean where  
423 they cohabit, but the larger number of the latter makes them have a greater weight in the total  
424 risk of the basin.



425

426 **Figure 3.** Total risk for neutral (NP) (a) and sinking (SP) (b) marine litter particles. The partial risk for (c) neutral  
 427 particles/pelagic species and (d) sinking particles /benthic and demersal species.

428 **3.2 Risk of the MPAs**

429 The spatially averaged values of the hazards, exposure, vulnerability and total risk for the 1448  
 430 MPAs included in the Natura2000 network are summarized in table S2. For the sake of clarity,  
 431 here the analysis of the results will focus on the ten largest MPAs, distributed over the Western  
 432 Mediterranean and the Aegean Sea. The values of the different terms of the analysis for these  
 433 MPAs are represented in figure 4 and summarized in table 2. Also for clarity, the MPAs have been  
 434 numbered; their names and assigned numbers can be consulted in the caption of figure 4.  
 435 Hereinafter we will be referring to each of them using the notation *MPAn*, where *n* is the number  
 436 of the MPA (MPA1, MPA2, etc.).

MPA		Exposure	Hazard NP	Vuln. NP	Risk NP	Hazard SP	Vuln. SP	Risk SP
MPA1	Sur de Almería - Seco de los Olivos	0.73	0.19	0.38	0.18	0.44	0.05	0.47
MPA2	Plataforma-talud marinos del Cabo de la Nao	0.41	0.39	0.31	0.16	0.23	0.03	0.25
MPA3	Espacio marino del Delta de l'Ebre-Illes Columbretes	0.28	0.46	0.21	0.10	0.22	0.02	0.14
MPA4	Canal de Menorca	0.45	0.27	0.32	0.14	0.13	0.04	0.26
MPA5	Grands dauphins du Golfe du Lion	0.34	0.34	0.30	0.13	0.01	0.03	0.07
MPA6	Camargue	0.23	0.31	0.18	0.08	0.001	0.02	0.06
MPA7	Oiseaux marins de l'Agriate	0.16	0.14	0.19	0.06	0.02	0.02	0.05
MPA8	Plateau du Cap Corse	0.34	0.20	0.30	0.10	0.02	0.04	0.06
MPA9	Korinthiakos Kolpos	0.22	0.22	0.11	0.05	0.29	0.01	0.10
MPA10	Ethniko Thalassio Parko Alonnisou – Voreion Sporadon, Anatoliki Skopelos	0.41	0.11	0.32	0.13	0	0.03	0

437 **Table 2.** Summary of the risk factors for the 10 MPAs analyzed in this section.

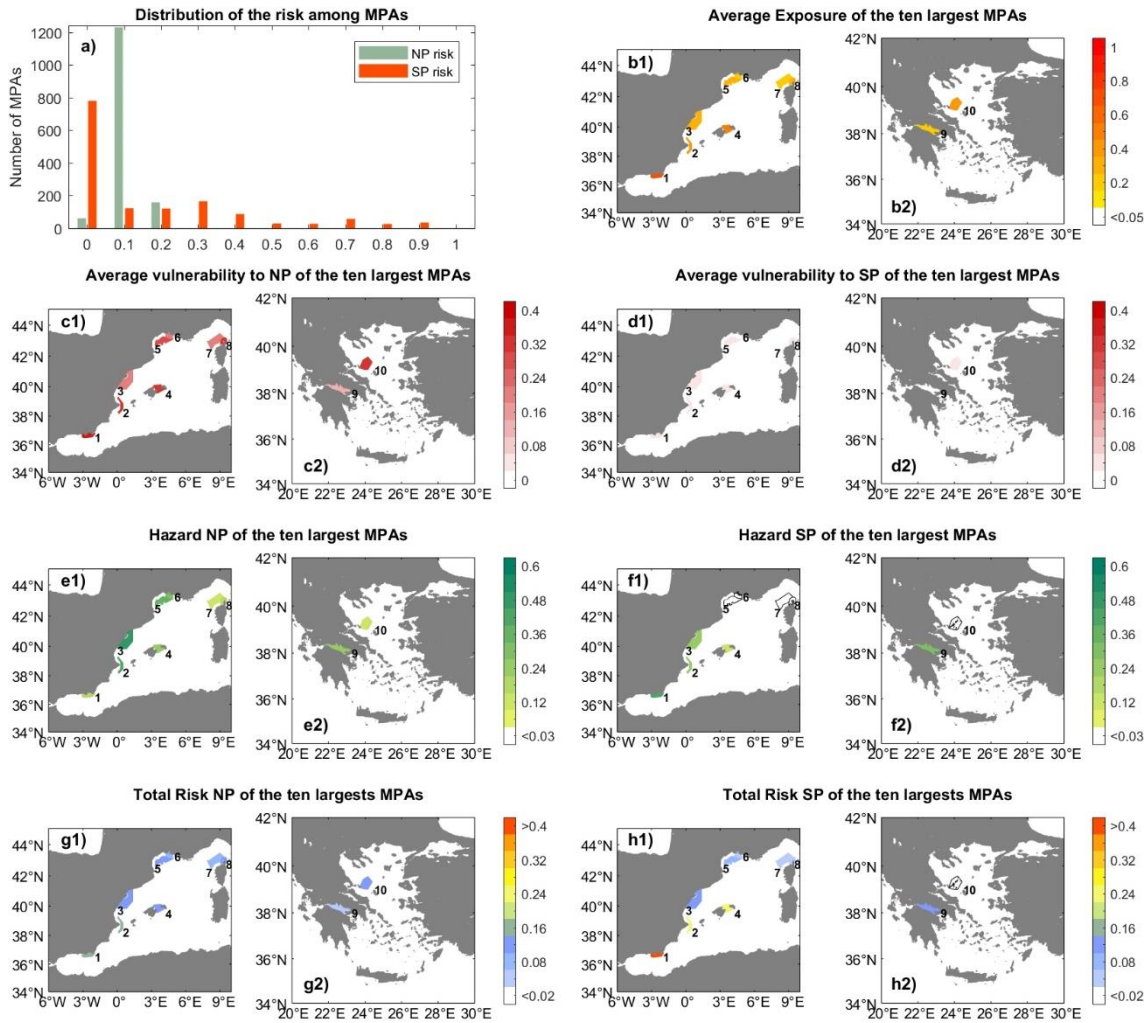
438 The histogram of figure 4a represents the total risk (considering all the species) distribution of  
 439 the MPAs. It summarizes the average risk of figures 3a and 3b in the MPAs areas. As for the whole  
 440 basin and for the reasons already stated, the risk to NP pollution in the MPAs is much lower than  
 441 the SP pollution. Almost all of the MPAs (95%) are affected by NP pollution, and only a few of  
 442 them (60) show zero risk to this hazard (table S2). Most of them (85%) show relatively moderate  
 443 values (between 0 and 0.15), the rest (10%) having a relatively high risk (> 0.15). In the case of  
 444 the pollution due to SP, the risk values are higher, but the number of MPAs affected is much lower  
 445 (47%). This is a direct consequence of the proximity of the MPAs to the ML sources. The dense  
 446 ML particles sink very close to their sources and most protected areas are located far from cities  
 447 and polluted river mouths, hence safe from the SP pollution. Among the MPAs affected, 29%  
 448 show relatively low risk (between 0.1 and 0.3), 10% moderate risk (between 0.4 and 0.6) and 8%  
 449 high risk values (between 0.7 and 0.9).

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450 The spatially averaged exposure, vulnerability, hazard and total risk for NP and SP pollution of the  
451 ten largest MPAs are represented in figures 4b-h. All MPAs are located in coastal regions, where  
452 the values of exposure and vulnerability are higher (figs 2, 4b-d). The exposure factor appears to  
453 be the most determining in the risk for both neutral and sinking particles. The MPAs with higher  
454 exposure are MPA1, MPA2 and MPA4 in the Western Mediterranean, and MPA10 in the Aegean  
455 (fig. 4b, table 2). Those MPAs are also the most vulnerable for both NP and SP (fig. 4c-d, table 2),  
456 and have the higher risk to NP pollution (fig. 4g; table 2). However, the hazard factor plays a  
457 crucial role modulating the risk. For instance, MPA10 has a relatively low NP hazard value (fig. 4e)  
458 that reduces its NP risk, which is the lowest among the MPAs with higher exposure and  
459 vulnerability. On the contrary, MPA3 has very low exposure and vulnerability but very high NP  
460 hazard, resulting in a NP risk comparable to MPA10. On the other hand, the MPAs with lower risk  
461 to NP pollution are MPA6, MPA7 and MPA9, which are also those with lower exposure and  
462 vulnerability, despite the relatively high NP hazard in some of them (i.e., MPA6). The impact of  
463 the hazard in the risk for SP pollution is stronger. Since the dense ML particles remain in the  
464 surrounding of their sources, the MPAs located far from cities and river mouths are much better  
465 protected from their impact. That is the case of MPA5,6,7,8 and 10, which has very low (<0.03)  
466 SP hazard (fig. 4f) and hence very low or no significant risk for SP pollution (fig. 4h). Conversely,  
467 the MPAs located in the vicinity of important ML sources (fig. S1) show the highest SP hazard and  
468 risk values (i.e., MPA1, MPA2).

469 In summary, the analysis of the selected MPAs point to the exposure, i.e. the biodiversity, as the  
470 main contribution to the risk for ML pollution. The sensitivity to the exposure was already  
471 commented in the previous section, where we saw that the number of species used in the  
472 normalization of the total risk has a very strong impact in its final value. Here we confirm that,  
473 according to our metrics, the diversity of a region largely determines the impact of the ML  
474 pollution on it. Nonetheless, this impact is modulated by the hazard value, and this is particularly  
475 true with the dense ML particles. Most MPAs are located in coastal areas of high biodiversity and  
476 host numerous ecosystems so their exposure and vulnerability are similar. However, some of  
477 them are more isolated from ML sources than others or are in regions where the NP  
478 concentration is lower. The differences in the hazard values, which is a consequence of the MPAs  
479 position, is hence key in the risk for ML in the MPAs. These results are valid for the whole  
480 ensemble of 1440 MPAs of the Natura2000 network (table S2).





481

482 **Figure 4.** a) Distribution of the total risk for the 1448 Mediterranean MPAs of the Natura2000 network for neutral  
 483 particles (green) and sinking particles (orange). b) Exposure, c) vulnerability to neutral particles, d) vulnerability to  
 484 sinking particles, e) hazard for neutral particles, f) hazard for sinking particles, g) total risk due to neutral particles and  
 485 h) total risk due to sinking particles for the ten largest MPAs of the Mediterranean in the Natura2000 network. The  
 486 MPAs corresponding to each number are: 1. Sur de Almería – Seco de los Olivos. 2. Plataforma-talud marinos del cabo  
 487 de la Nao. 3. Espacio marino del Desta de l'Ebre – Illes Columbretes. 4. Canal de Menorca. 5. Grands dauphins du Golfe  
 488 du Lion. 6. Camargue. 7. Oiseaux marins de L'Agriate. 8. Plateau du Cap Corse. 9. Korinthiakos Kolpos. 10. Ethniko  
 489 thalassio parko alonnisou - Voreion sporadon, Anatoliki skopelos.

490 **4. Discussion**

491 **4.1 Risk definition and parameterization**

492 In the present work, we have addressed the risk assessment of ML pollution in the Mediterranean  
 493 Sea, defining it as the average of three factors: i) hazard, defined as the ML concentration over  
 494 the basin for neutrally buoyant (NP) and sinking (SP) ML particles, ii) exposure, defined as the  
 495 density of species in the Mediterranean (i.e., biodiversity) and iii) vulnerability, defined as the  
 496 ingestion rate of the species. This approach is obviously not unique, as all the risk assessments

497 rely on subjective aspects, especially when defining the exposure and vulnerability factors.  
498 Keeping this in mind, the approach followed in our work is based on the use of the most advanced  
499 state-of-the-art models and on a large datasets of species distribution in order to minimize that  
500 subjectivity.

501 One of the main improvements with respect to previous studies in the Mediterranean is the  
502 modeling system used in the estimation of the ML concentration. Fossi et al. (2017) simulated a  
503 two-month period between September and October 2014 coinciding with a field campaign in the  
504 region. They used a regional configuration of the ROMS model (at 2 km resolution) to compute  
505 the daily velocity fields, then running daily Lagrangian simulations starting from and  
506 homogeneous ML distribution over the model domain. Guerrini et al. (2019) used the velocity  
507 fields from the Copernicus Marine Environment Monitoring Service (CEMEMS) (1/16° resolution)  
508 to run daily Lagrangian simulations covering a ten-year period (2000-2010). Their initial ML  
509 distribution considers plastic released from coastal sources uniformly distributed in their area of  
510 study, river mouths and maritime traffic. Finally, Compa et al. (2019) elaborated risk maps of the  
511 whole Mediterranean basin for several species using the model of Lebreton et al. (2012) to  
512 calculate the ML concentration in the basin. This model computes Lagrangian ML trajectories on  
513 a global scale, using realistic ML sources in cities, rivers and boat lanes. The velocity field used are  
514 the outputs of the HYCOM global model at 1/12° resolution. In our study, we estimate the ML  
515 concentration of the Mediterranean basin using a very high resolution (1/36°, 2-3 km) RCM  
516 velocity field as the base to run monthly Lagrangian simulations for a ten-year period (2003-  
517 2013). The ML is released at the beginning of the simulations from realistic sources (cities, river  
518 mouths and ship lanes), using indirect estimations for the total amount of plastic yearly dumped  
519 in the basin (100k tons). The increase of the spatial resolution and the use of realistic sources are  
520 two significant improvements in our hazard definition with respect to the previous works. The  
521 global simulations, as the ones used by Wilcox et al. (2015) and Schuyler et al. (2016), cannot  
522 incorporate such a high resolution due to limitations of the computing resources. Likewise, the  
523 global model of Lebreton et al. (2012) likely limits the accuracy of the estimations of ML  
524 concentration in the work of Compa et al. (2019). The authors point out that their results show a  
525 high degree of uncertainty, which can be partially attributed to the low resolution of the model.  
526 Indeed, the Mediterranean has a complex mesoscale field and high-resolution models are  
527 necessary to resolve the circulation at a local scale, which is fundamental to properly describe the  
528 ML dispersion of the basin (Soto-Navarro et al., 2020). The differences are very clear when  
529 comparing the maps of average ML concentration in the Mediterranean of Lebreton et al. (2012;  
530 fig. 3) and figure 2a. The ML spatial pattern obtained from the global model is completely

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531 different, showing wide accumulation zones in the Eastern Mediterranean that are unrealistic  
532 (Liubartseva et al., 2018; Soto-Navarro et al., 2020). Guerrini et al (2019) uses a regional model  
533 for the Mediterranean with a similar approach to ours in the ML sources distribution for the  
534 Pelagos Sanctuary region (northwestern Mediterranean). However, they use a lower resolution  
535 current field and a less realistic estimate of coastal inputs. Our results show lower ML  
536 concentration in the same area, likely due to the different sources' distribution. The only previous  
537 study based on comparable spatial resolution is the work of Fossi et al. (2017), which is restricted  
538 to a very specific region. These authors also simulate a short time period. Thus, their results  
539 should be considered with caution when describing a long term situation.

540 In addition to the higher resolution and realistic sources, we have considered for the first time,  
541 to our knowledge, different risk estimates for ML particles with different buoyancies, neutral and  
542 negative. Neutrally buoyant particles are distributed over the whole basin along the photic layer  
543 (between 0 and 100 m), with an average depth of 35 m (Soto-Navarro et al., 2020). These particles  
544 affect mainly pelagic species, which are less than 20% of the total analyzed. The average  
545 concentrations of NP are also much smaller than for the dense particles, since the NP spread  
546 across the whole basin while the SP remains in their sources' position. Consequently, the  
547 concentrations of SP are much higher, which is consistent with the fact that 94% of the plastic  
548 waste is estimated to be in the seafloor (Sherrington, 2016). Although their spread is limited, the  
549 SP affect a larger number of species living in benthic and demersal habitats, which constitute 80%  
550 of the total considered in our study. All the works mentioned only consider floating debris  
551 concentrations as hazard in the risk assessment. In the case of the studies focused on seabirds or  
552 pelagic species like sea turtles or fin whales this consideration could be accurate enough. On the  
553 contrary, if there is an interest on benthic or demersal species characterizing ML only with floating  
554 particles is likely overestimating the range of spread of the ML particles and underestimating the  
555 concentration close to the ML sources and hence the impact on these species.

556 Regarding the definition of exposure, we have a wide range of species ranging from fish,  
557 invertebrates and marine mammals and turtles (n = 2170), so we can have a reliable estimation  
558 of the spatial distribution of the basin biodiversity (Coll et al., 2010). The vulnerability is related  
559 here to the ingestion rate of ML, although as that information is only available for a very reduced  
560 number of species, we have used as a proxy the habitat-depending ingestion rates computed by  
561 Fossi et al. (2018). We are aware that this approach is less accurate than those based on the  
562 specific biological characteristic of each species (e.g. Wilcox et al. 2016; Schuyler et al. 2106;  
563 Compa et al. 2019). However, as we do not focus on individual species but we compute the total  
564 risk using the whole ensemble, we think that the impact of those inaccuracies is mitigated.

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565 Indeed, our results show that the regions of higher/lower risk coincide with those obtained by  
566 Compa et al. (2019) using more sophisticated ingestion rate models (fig. 3). The reason is that the  
567 main factor on the risk computation is the exposure, i.e., the number of species. The vulnerability  
568 and, particularly, the hazard plays key roles in the modulation of the risk magnitude, but the  
569 spatial distribution is largely influenced by the diversity of each region. This way, both our study  
570 and Compa et al. (2019) find that the coastal regions of the Western Mediterranean, the Strait of  
571 Sicily, the Adriatic and the Aegean seas are the hotspots for the risk by ML pollution. This is for  
572 both neutral and dense particles because those are the regions of the basin with higher  
573 biodiversity. In conclusion, our methodology compensates for the lack of accuracy in the  
574 definition of the ingestion rate with the use of a very large ensemble of species. This methodology  
575 constitutes a great advantage in the risk assessment of marine regions where the samples and  
576 ML ingestion are very scarce. A second advantage is that the methodology can be updated at any  
577 time with new information on the species distribution and/or ingestion rate. As the research on  
578 the impact of ML in the different species increases, the new information can be incorporated  
579 easily into the risk assessment algorithm, gradually improving its accuracy.

580 It is also important to point out that the normalization used for the different factors in the risk  
581 computation reflects the relative importance of the habitat distributions. The normalization only  
582 affects the risk magnitude, which is an arbitrary adimensional quantity. The spatial distribution of  
583 the risk would be the same regarding the normalization chosen. As explained in section 3.1.4,  
584 normalizing the NP risk dividing by the total number of species reduces its magnitude since only  
585 20% of the species are affected by this hazard (fig. 3a). When normalizing by the number of  
586 pelagic species, the risk magnitude strongly increases and the spatial patterns are clearer (fig. 3c).  
587 Thus, the normalization is arbitrary and can be chosen to highlight different aspects of the results.  
588 By using all the species in our definition of total risk we intend to highlight that the higher risk is  
589 found in the ecosystems closer to the seafloor because most of the species of the basin live in  
590 benthic or demersal habitats and the ML concentration in the seafloor is much higher than in the  
591 surface waters (figs. 3a, b).

## 592 **4.2 MPAs protection**

593 A recent paper by (Claudet et al., 2020) draws attention to the practical lack of protection of the  
594 Mediterranean MPAs regarding human activities, specially fishing. The article highlights that only  
595 6% of the Mediterranean waters are protected (far from the objective of 10% for 2020 agreed by  
596 the States Parties to the Convention of Biological Diversity; CBD, 2010). Among this protected  
597 area, only 3.4% has a high or full level of protection and for 72.6% of the MPAs no difference

1 598 exists between the regulations imposed inside to those outside. In the specific case of the ML  
2 599 pollution, our results show that the risk associated to the MPAs is completely dependent on their  
3 600 location and is site-specific. By definition, the exposition and vulnerability of the MPAs is very high  
4 601 since they are located in regions of high biodiversity, one of the reasons there is interest in their  
5 602 protection. Considering the results from this study, those factors are determinant in the risk, so  
6 603 the MPAs are by definition very sensitive to the ML pollution. Therefore, the hazard factor, i.e.  
7 604 the ML concentration in the area, is the key element that shapes the MPAs risk. Those MPAs  
8 605 isolated from important ML sources such as big cities and river mouths are better protected,  
9 606 particularly to SP pollution. Likewise, those MPAs that are far from important ML sources and  
10 607 located in regions with weaker circulation are better protected for NP pollution because they  
11 608 import less ML from other regions. That is the case of the Aegean Sea, a sub-basin with relatively  
12 609 weak circulation, little exchange with the rest of the Mediterranean, which prevents the NP  
13 610 input from other regions, and affected by less important ML sources than other regions of the  
14 611 basin. Conversely, those MPAs located within the vicinity of ML sources or in regions under the  
15 612 influence of important boundary currents such as the Northern or the Algerian currents show  
16 613 higher risks. Conversely, those MPAs located within the vicinity of ML sources or in regions under  
17 614 the influence of important boundary currents such as the Northern or the Algerian currents show  
18 615 higher risks.

19 616 In summary, it is clear that the legislation used to protect MPAs is not useful to avoid the risk of  
20 617 impacts by ML. The only effective way of properly protecting all the MPAs from the risk of ML  
21 618 pollution is to reduce the inputs of ML at basin scale. Local measures will only be effective for  
22 619 those MPAs that are not reached by ML particles transported from the rest of the basin.

23 620 In this study, we have developed an ecological risk framework that can provide value information  
24 621 for management in coastal areas surrounding the Mediterranean Sea, in particular for the MPAs.  
25 622 For instance, information on seafloor concentrations can be very expensive and costly while  
26 623 removal is nearly impossible. However, considering the regions where benthic marine diversity is  
27 624 at high risk of coming into contact with ML, managers of coastal cities nearby can contribute to  
28 625 the reduction of ML entering the marine environment by identifying point sources (i.e., river  
29 626 mouths or industry outlets) to mitigate and reduce the ML inputs in the surroundings of MPAs. In  
30 627 addition, identifying hotspot areas of risk for endangered or vulnerable species and increasing  
31 628 protection for them may serve as an umbrella for the protection of other species that, although  
32 629 not currently under threat, are in risk of being affected by ML pollution.

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631 **5. Conclusions**

632 In this paper we develop and apply a methodology for the risk assessment of ML pollution in the  
633 Mediterranean Sea, focusing on the risk on the Marine Protected Areas of the basin. We compute  
634 the risk as the average of three factors: hazard, exposure and vulnerability. The hazard is defined  
635 as the average ML concentration, which is estimated using the outputs of a very high resolution  
636 3D modeling system (Soto-Navarro et al., 2020) describing the evolution of realistic sources of  
637 ML. The capacity of the modeling system to resolve the 3D trajectories of the ML particles has  
638 allowed, for the first time, the analysis of the hazards due to ML particles with neutral (NP) and  
639 negative (SP) buoyancy. The exposure is defined as the probability of occurrence of 2170 species  
640 at each grid point. The vulnerability of each species is assigned in function of its habitat, according  
641 to the observations-based results of Fossi et al. (2018).

642 The results show that the higher risk is concentrated in the coastal areas, particularly in the  
643 Western Mediterranean, the Eastern Adriatic, and the Aegean Sea, for both NP and SP. The most  
644 determining factor in this distribution is the biodiversity, i.e., the exposure. The regions hosting a  
645 larger number of species show higher risk, and vice-versa. Nonetheless, the hazard factor also  
646 has an important role as a modulator of the risk. The regions closer to coastal ML sources show  
647 higher values than those more isolated. As the SP rapidly sink to the seafloor very close to their  
648 sources, their concentrations are much higher at those locations than the concentration NP in  
649 the rest of the basin. In addition, most of the species included in the database are benthic or  
650 demersal species (80%). As a consequence, the risk linked to SP is much higher than the risk linked  
651 to NP in the regions close to ML sources, particularly in the coastal regions. On the other hand,  
652 NP spread along the whole basin, and so does their associated risk.

653 The focus on the MPAs shows that they are, by definition, exposed and vulnerable areas. Thus,  
654 their risk strongly depends on the hazard's values, i.e., the ML concentration, at their location.  
655 Namely, the factor determining a higher or lower risk appears to be the proximity to ML sources.  
656 In consequence, their present-day protection levels are not effective in the case of ML pollution.  
657 The risk values reached inside the MPAs are similar to those reached in the surrounding areas.  
658 Thus, the only effective way to protect MPAs from the risk of ML pollution is to reduce the ML  
659 dumped into the whole Mediterranean.

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665 CLimate ImpACTs and descarbonisation pathways in EU islands, and enhancing socioeconomic  
666 and non-market evaluation of climate change in Europe, for 2050 and beyond (grant agreement  
667 776661).

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## Impact of the marine litter pollution on the Mediterranean biodiversity: a risk assessment study with focus on the marine protected areas.

J. Soto-Navarro<sup>1</sup>, G. Jordá<sup>2</sup>, M. Compa<sup>2</sup>, S. Deudero<sup>2</sup>, C. Alomar<sup>2</sup>, and M.C. Fossi<sup>3</sup>

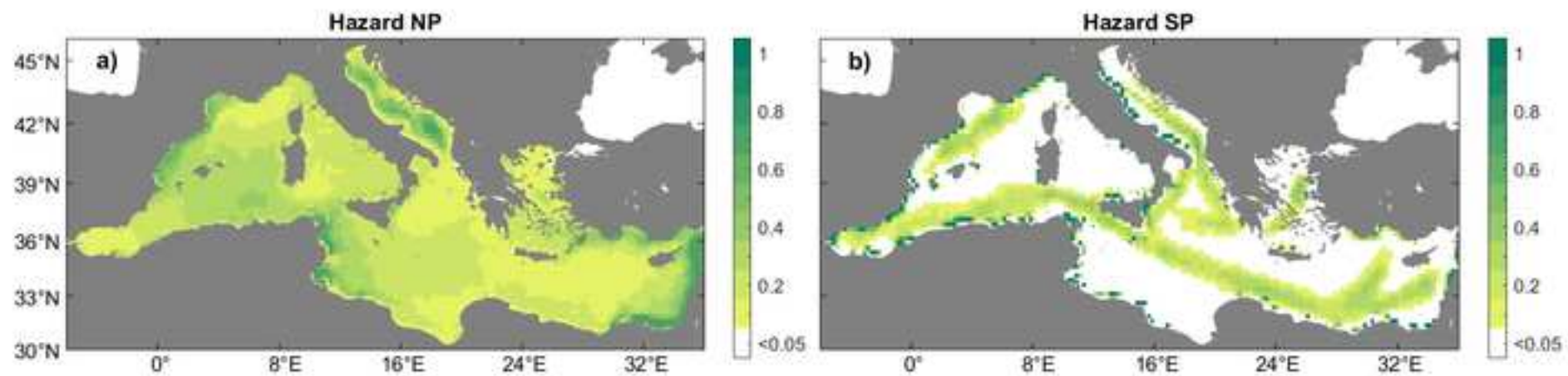
### Tables

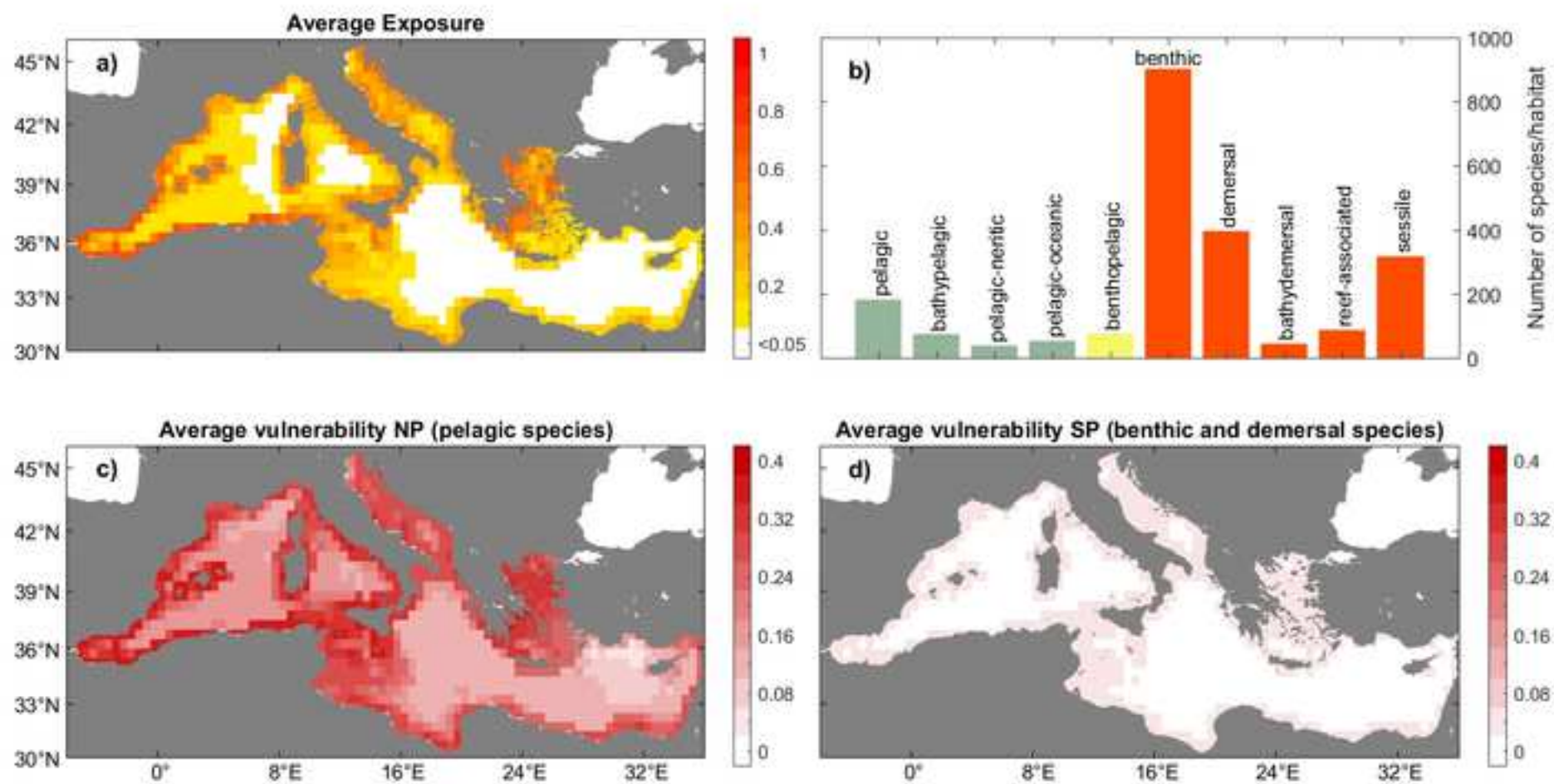
Habitat	Ingestion rate for NP (%)	Ingestion rate for SP (%)	N species	
Pelagic	43.3	0	182	Pelagic
Bathypelagic	43.3	0	74	
Pelagic-Neritic	43.3	0	38	
Pelagic-Oceanic	43.3	0	53	
Benthopelagic	24.1	24.1	77	Benthic/demersal
Benthic	0	2.1	903	
Demersal	0	10.6	395	
Bathydemersal	0	10.6	44	
Reef-Associated	0	2.1	87	
Sessile	0	2.1	317	

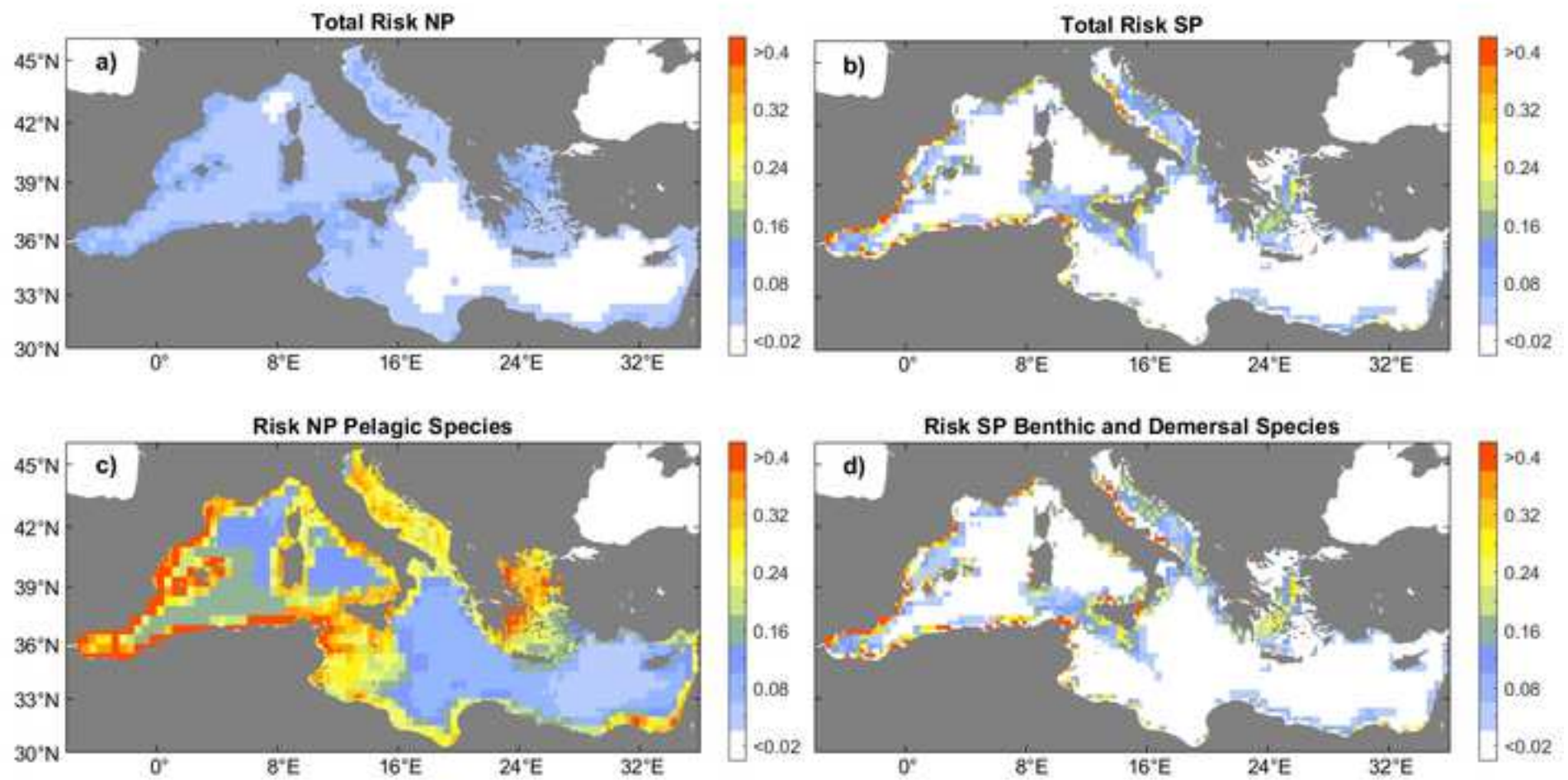
**Table 1.** List of habitats and assigned ingestion rate for the hazard due to neutral particles (NP) and sinking particles (SP). The last column is the number of species included in each habitat, indicating which are considered pelagic (affected by NP) and benthic or demersal (affected by SP).

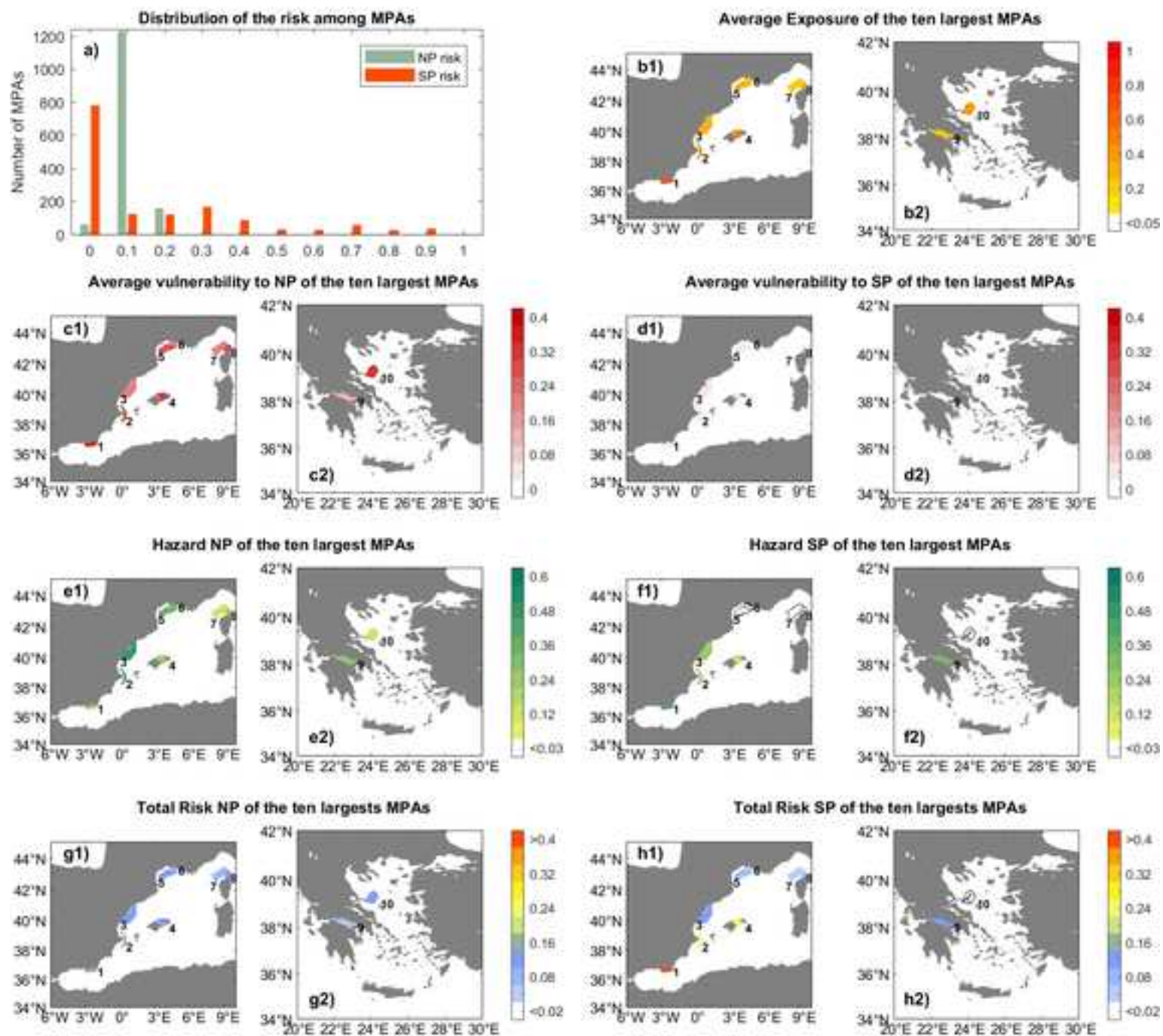
MPA	Exposure	Hazard NP	Vuln. NP	Risk NP	Hazard SP	Vuln. SP	Risk SP
MPA1 Sur de Almería - Seco de los Olivos	0.73	0.19	0.38	0.18	0.44	0.05	0.47
MPA2 Plataforma-talud marinos del Cabo de la Nao	0.41	0.39	0.31	0.16	0.23	0.03	0.25
MPA3 Espacio marino del Delta de l'Ebre-Illes Columbretes	0.28	0.46	0.21	0.10	0.22	0.02	0.14
MPA4 Canal de Menorca	0.45	0.27	0.32	0.14	0.13	0.04	0.26
MPA5 Grands dauphins du Golfe du Lion	0.34	0.34	0.30	0.13	0.01	0.03	0.07
MPA6 Camargue	0.23	0.31	0.18	0.08	0.001	0.02	0.06
MPA7 Oiseaux marins de l'Agriate	0.16	0.14	0.19	0.06	0.02	0.02	0.05
MPA8 Plateau du Cap Corse	0.34	0.20	0.30	0.10	0.02	0.04	0.06
MPA9 Korinthiakos Kolpos	0.22	0.22	0.11	0.05	0.29	0.01	0.10
MPA10 Ethniko Thalassio Parko Alonnisou – Voreion Sporadon, Anatoliki Skopelos	0.41	0.11	0.32	0.13	0	0.03	0

**Table 2.** Summary of the risk factors for the 10 MPAs analyzed in this section.













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**Supplementary Data**


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**Supplementary Data**

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Navarro\_et\_al\_Table\_S2.pdf



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Navarro\_et\_al\_Figures.pdf

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

## **Impact of the marine litter pollution on the Mediterranean biodiversity: a risk assessment study with focus on the marine protected areas.**

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### **Credit authors statement**

JSN co-designed the methodology, conducted the numerical simulations, analyzed the data and co-wrote the manuscript. GJ co-designed the methodology, co-wrote the manuscript and supervised the data analysis. MC, SD and CA contributed to the design of the methodology, collaborated in the data analysis and in the writing, review and editing of the manuscript. MCF collaborated in the design of the methodology.