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Environmental impact analysis applied to Solar Pasteurization

Systems

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Nomenclature

$\dot{E}x$ Exergy rate, J/s

\dot{m} Mass flow rate, kg/s

h Specific enthalpy, J/kg

T Temperature, K

| | | |
|----|-------------|---|
| 21 | s | Specific entropy, J/(kg*K) |
| 22 | \dot{B} | Environmental impact rate of an energy stream, points/s (ReCiPe 2008) |
| 23 | B | Environmental impact of an energy stream, points/d (ReCiPe 2008) |
| 24 | b | Specific environmental impact, points/J (ReCiPe 2008) |
| 25 | \dot{Y} | Component-related environmental impact rate associated with the life cycle of the |
| 26 | | component, points/s (ReCiPe 2008) |
| 27 | Y | Component-related environmental impact associated with the life cycle of the |
| 28 | | component, points/d (ReCiPe 2008) |
| 29 | f | Exergo-environmental factor, non-dimensional |
| 30 | A | Surface of the solar collector, m ² |
| 31 | ab | Inhabitants |
| 32 | d | Days |
| 33 | h | Hours |
| 34 | NCS | Natural Circulation System |
| 35 | NCS_80 | Natural Circulation System with a productivity of the 80% |
| 36 | NCS_eq | Natural Circulation System with an equivalent productivity to the Thermostatic |
| 37 | | Valve System |
| 38 | NCS_Italy | Natural Circulation System installed in Italy |
| 39 | NCS_Brazil | Natural Circulation System installed in Brazil |
| 40 | NCS_Somalia | Natural Circulation System installed in Somalia |

| | | |
|----|-------------|--|
| 41 | TVS | Thermostatic Valve System |
| 42 | TVS_Italy | Thermostatic Valve System installed in Italy |
| 43 | TVS_Brazil | Thermostatic Valve System installed in Brazil |
| 44 | TVS_Somalia | Thermostatic Valve System installed in Somalia |
| 45 | PTC | Parabolic Trough Concentrator |
| 46 | SC | Solar Collector |
| 47 | CT | Compensation Tank |
| 48 | PV | Photovoltaic |
| 49 | HE | Heat Exchanger |
| 50 | TV | Thermostatic Valve |
| 51 | WT | Water Tank |

52

53 *Subscripts*

| | | |
|----|-----|-----------------------------------|
| 54 | 0 | Relative to the environment |
| 55 | j | Relative to the j -th flow |
| 56 | k | Relative to the k -th component |
| 57 | D | Destructions |
| 58 | F | Exergetic fuel |
| 59 | P | Exergetic product |

| | | |
|----|----------------------|--|
| 60 | <i>in</i> | Relative to an inlet flow in a component |
| 61 | <i>out</i> | Relative to an outlet flow from a component |
| 62 | <i>TOT</i> | Relative to a total amount |
| 63 | 80 | Referred to the 80% of ideal productivity |
| 64 | eq | Referred to an equivalent productivity of both systems |
| 65 | Italy | Referred to the case of Italy as installation site |
| 66 | Brazil | Referred to the case of Brazil as installation site |
| 67 | Somalia | Referred to the case of Somalia as installation site |
| 68 | <i>Superscripts</i> | |
| 69 | <i>CO</i> | Relative to the construction phase of a component |
| 70 | <i>OM</i> | Relative to the operation and maintenance phase of a component |
| 71 | <i>DI</i> | Relative to the disposal phase of a component |
| 72 | <i>Greek symbols</i> | |
| 73 | Δ | Variation |

74

75 **Abstract**

76

77 In many under-developed regions of the world, most people live in rural villages, where the
78 electrical grid is often not available and traditional potabilization systems would be too expensive
79 and technologically too complex to be implemented. Thus every year, millions of people in the

80 world die due to diseases related to water contamination. Solar Pasteurization Systems represents a
81 promising alternative to address such problems, as they can thermally disinfect water employing
82 solar energy alone, without using fossil fuels or electrical grid connection. Evaluating the cradle-to-
83 grave environmental footprint of Solar Pasteurization Systems, and in general of technologies
84 aimed at producing safe drinking water, represents an issue of major importance. This is relevant
85 because an effective solution has to be, at the same time, environmentally and locally sustainable
86 for a given geographical context. In this work, a complete Life Cycle Assessment and Exergo-
87 environmental analysis are performed in order to calculate and compare the eco-profiles of two
88 Solar Pasteurization technologies: a Natural Circulation and a Thermostatic Valve System. Results
89 show that Natural Circulations Systems are generally more environmentally sustainable (0.30 mPt/l)
90 than the Thermostatic Valve System (0.83 mPt/l) thanks to the higher productivity of treated water.
91 A sensitivity analysis is performed to investigate the dependency of the model systems from
92 different operational and environmental conditions, at different installation sites, i.e. Somalia, Brazil
93 and Italy. The main difference is represented by the productivity of the systems. In all cases the
94 solar collector array is the main item responsible for environmental burdens, impacting for almost
95 45% of the total score. The analysis also shows that the use of solar energy in Pasteurization is
96 important to avoid direct emissions and to lower the global environmental impact connected with
97 thermal energy production compared to the eco-profiles of other widely diffused pasteurization
98 technologies based on the combustion of fossil fuels or biomass that can be used to provide the
99 same function (in general higher than 1.2 mPt/l). Moreover, with the aim of qualitatively assessing
100 the benefit associated with the potential implementation of solar pasteurization systems, an
101 improvement of the sanitary conditions is envisioned, especially in under-developed countries
102 where, definitively, a large scale diffusion would be recommended.

103 **Keywords:** Solar Pasteurization, Solar Energy, Life Cycle Assessment, Exergo-environmental
104 analysis, Water Treatment, Water Disinfection.

105

106 **1. Introduction**

107

108 According to UNICEF and World Health Organization (UNICEF and WHO, 2009) diarrhoeal
109 diseases are the second major reason of mortality of children under five years old, killing around 1.5
110 million of them every year. This situation is extremely aggravated in Africa, where the mortality
111 rate due to unsafe water, hygiene and sanitation services is triple that of the global rate; e.g., in
112 Somalia, more than 60,000 cases of suspected cholera have been reported between January and
113 August 2017 and more than 800 people have died (World Health Organization (WHO) (accessed on
114 05/04/2018)). Indeed, Somalia is one of the most affected countries by such sanitary disaster related
115 to unsafe water, probably the main vector of cholera's pathogens and many other diseases.

116 Among technologies that can be applied (Shannon et al., 2008) to avoid or limit drinking water
117 contamination, Solar Pasteurization Systems are rather cheap and simple plants able to disinfect
118 water by employing solar energy. Two different Solar Pasteurization Systems are available: The
119 Natural circulation systems (NCSs) and Thermostatic valve systems (TVSs).

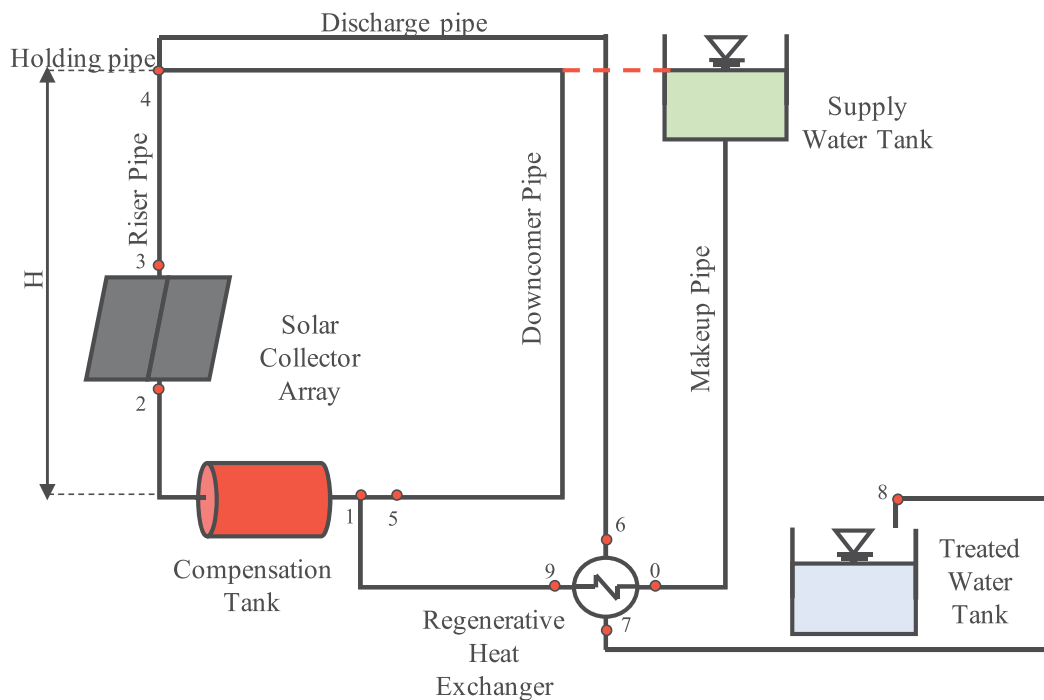
120 The first NCS system was proposed by Boettcher et al. (1983) in which the only driving force of the
121 fluid is the variation of its density induced by solar energy. The volumetric expansion is used to
122 separate treated and untreated water thanks to a well-dimensioned system of pipes. Then Bansal et
123 al. (1988) built and tested a density driven system with an evacuated tubes SC in order to estimate
124 its energetic performances. Ten years later, Cobb (1998) investigated a simple Pasteurization
125 System composed of two concentric copper pipes with a productivity of 7.5 l/h-m^2 .

126 With the aim of improving the NCS's performance, several implementations have been proposed.
127 Duff and Hodgson (2001) built and tested a simple NCS prototype composed of a collector tube and

128 a riser tube. The water in the riser tube is not warmed by the solar radiation and even if the fluid
129 inside the collector tube reaches the required temperature, it impedes the natural circulation and the
130 water inside the collector continues warming up until it boils. To avoid such problem, they
131 introduced an internal loop ensuring that the temperature of the water in the riser tube is always
132 close to the temperature inside the collector (Duff and Hodgson, 2002). Taking inspiration from
133 Duff and Hodgson's idea, Dainelli et al. (2017) and Manfrida et al. (2017) studied a new NCS
134 system (**Fig. 1**) working as follows: untreated water flows through the makeup pipe from the supply
135 water tank to a regenerative heat exchanger (HE) where it is preheated by the outlet water.
136 Afterwards, inside the circuit, the inlet water flows across a compensation tank (CT) and enters a
137 solar collectors (SCs) array where it is warmed by solar radiation. The concomitant volume increase
138 ensures that the water flows across the riser pipe and, thanks to the difference of volume, enters the
139 holding pipe where, only if the temperature is $\geq 85^{\circ}$ (enough to kill or inactivate pathogens almost
140 instantly (Burch and Thomas, 1998)), the thermal expansion is sufficient to allow water reaching
141 the treated water tank through the discharge pipe. In that case, the outlet flux is replaced by the
142 same mass of raw water because of the communicating vessels principle. The down-comer pipe
143 brings in the non-overtopped water to close the loop. The mixture of inflow and circulating water
144 goes around the pipes system until a low level of solar radiation causes the flow to stop.

145

146



147

148 **Fig. 1: NCS technical configuration and representative points of the plant (adapted from Manfrida et al. 2017).**

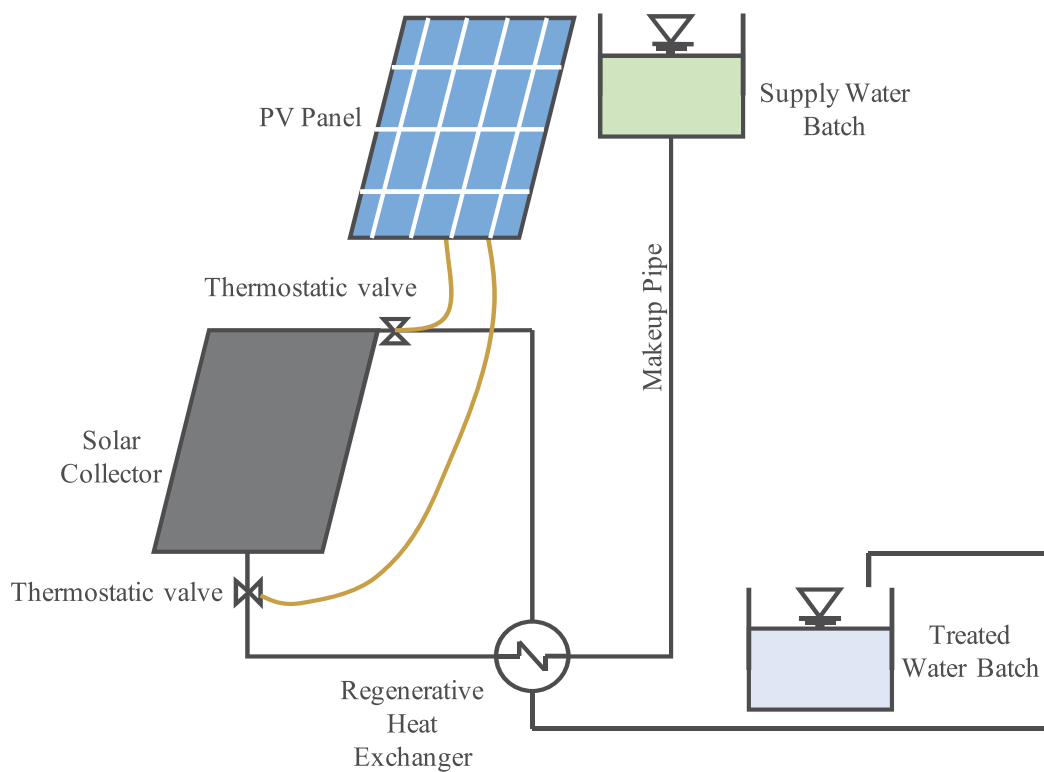
149 (0 = inlet cold water flow inside HE; 1= inlet flow inside the circuit; 2= inlet water inside the SCs; 3= outlet water
 150 inside the SCs; 4= outlet water from riser pipe; 5= end of the circuit; 6= inlet hot water flow inside the HE; 7=
 151 outlet hot water flow from the HE; 8= Inlet water to treated WT; 9= outlet cold water from HE).

152 The NCS developed by Manfrida et al. (2017) is sized to warm water up to 85°C and its
 153 productivity is estimated using a mathematical model of the thermo-hydraulics of the system in off-
 154 design conditions. Based on the same mathematical model for the estimation of system
 155 performances, Dainelli and co-workers performed an exergy analysis and the results were applied
 156 for an exergo-economic study of the system (Dainelli et al., 2017).

157 Duff and Hodgson (Duff and Hodgson, 2005) also reviewed the studies related to the TVSSs. In a
 158 TVSS, the presence of an electronic control device, composed of thermostatic valves and time and
 159 temperature sensors, allows the setting of the disinfection conditions for treated water. Thus,
 160 contrarily to NCSs, TVSSs face the problem of obsolescence and malfunctioning of the thermostatic

161 valves (Duff and Hodgson, 2005) that, as every electronic device, can be damaged. The TVS
162 simplest scheme consists of a flat plate SC between two reservoirs with a thermostatic valve (TV) to
163 regulate the flow of water (Jorgensen, A.J., Nohr, K., Sorensen, H., Boisen, 1998), its productivity
164 was estimated to 50 l/m²-d. The introduction of a HE to preheat the inlet raw water, improved this
165 value obtaining up to 55 l/h-m² as described in the study of Stevens et al. (1998) and up to 205 l/h-
166 m² as estimated by Safe Water Systems (2002). A Solar Pasteurization System with a parabolic
167 trough concentrator (PTC), which is estimated to produce 89.3 l/m²-day of drinking water, has been
168 used by Anderson (1996); after several years Bigoni et al., (2014) tested a very similar PTC
169 Pasteurization plant in order to analyse the efficiency of water disinfection. A prototype of an
170 automated Pasteurization System regulated by TVs has been built, tested and optimised by Carielo
171 da Silva et al. (2016) and Carielo et al. (2017). The layout of the system shown in **Fig. 2** is
172 composed of a flat plate SC, a HE, two water tanks (WTs) and a 10 W photovoltaic (PV) panel to
173 provide energy to the electric parts. The system was made operative from 7:00 a.m. to 4:00 p.m. and
174 a control algorithm was implemented so that five set-point conditions are defined: 55°C/3600 s,
175 60°C/2700 s, 65°C/1800 s, 75°C/900 s and 85°C/15 s.

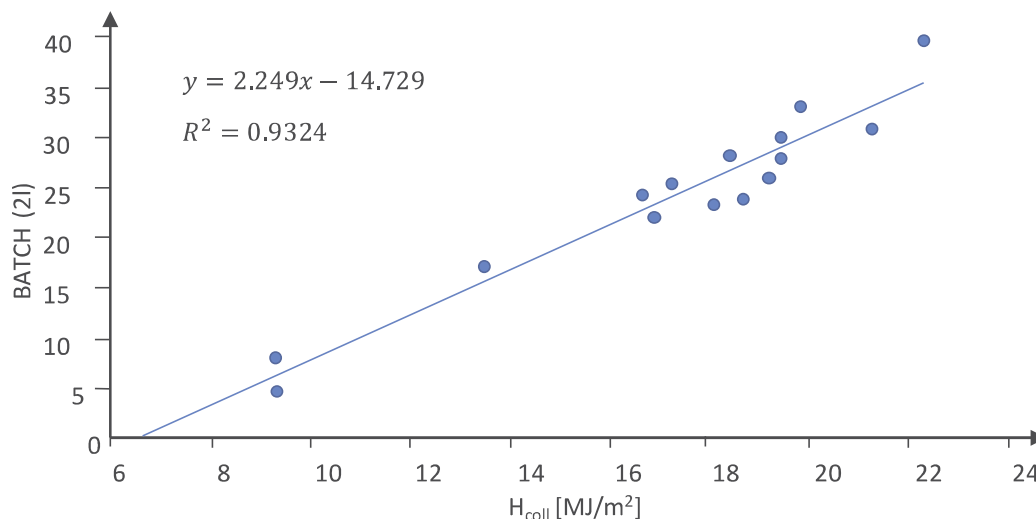
176



177

178 **Fig. 2: TVS technical configuration (adapted from Carielo et al., 2017).**

179 A linear regression (**Fig. 3**) correlated its productivity, expressed as number of refilled batches
 180 (vessels with 2 litres of capacity), with solar irradiation in order to estimate the performances of the
 181 system in each moment of the year and all over the world (Carielo et al., 2017).



182

183 **Fig. 3: TVS productivity (refilled batches, i.e. 2 litres vessels) as function of the solar irradiance (adapted from**
 184 **Carielo et al., 2017).**

185 Although a complete potabilization would require the removal of suspended and dissolved
 186 contaminants by physical or biological treatments, the NCSs and TVSs can be still used to disinfect
 187 water in rural villages where pathogens are the most relevant problem. Indeed, they are responsible
 188 for so many victims and their elimination represents the most critical issue for sanitation.
 189 Furthermore, physical and biological plants would require a massive consumption of electricity, the
 190 employment of expensive chemicals and onerous maintenance.

191 Thus, taking into account that rural areas are often very poor and unachievable by the electrical grid
 192 and transports, these technologies cannot be reliable and a Solar Pasteurization System can
 193 represent a suitable and affordable solution in that particular context.

194 As clarified in the introduction, the performances of the NCSs and the TVSs have been already
 195 discussed in several literature papers but none of them encompasses the whole life cycle of the
 196 system with an environmental, resource or energy consumption perspective approach. Evaluating
 197 the eco-profile of Solar Pasteurization Systems, and in general of technologies aimed at producing
 198 safe drinking water, represents an issue of major importance. This is relevant because an effective

199 solution must be, at the same time, environmentally and locally sustainable for a given geographical
200 context. The latter issue is particularly important to contribute to an integrated assessment
201 envisioning the environmental, social and economic dimensions on topics related to water's
202 sanitation and hygiene (Tilley et al., 2014) (Murphy et al., 2009). Such a comprehensive approach is
203 well within the directives of the United Nations (UN) collected in the Agenda for Sustainable
204 Development (United Nations, 2015) (United Nations, 2016) (United Nations, 2017). Indeed,
205 among the 17 Sustainable Development Goals (SDGs), the mission of Goal 6 is precisely to
206 "Ensure availability and sustainable management of water and sanitation for all". In this context,
207 water research and development is strongly encouraged (United Nations, 2016). However, the
208 present study could also contribute to reach the objectives of other SDGs concerning poverty, food
209 and energy matters (e.g., SDG 7: "Ensure access to affordable, reliable, sustainable and modern
210 energy for all").

211 The aim of this study is to apply the Life Cycle Assessment (LCA) and exergo-environmental
212 methodology to estimate the potential environmental advantages connected with the use of Solar
213 Pasteurization. The LCA is a powerful methodology to assess the potential environmental impacts
214 connected with a product system embracing all raw materials and energy flows involved in its life
215 cycle from a quantitative point of view. The exergo-environmental analysis is a very useful tool
216 integrating the quantitative approach of LCA with qualitative aspects. Exergy is defined as the
217 maximum useful work possible during a process that brings the system into equilibrium with a heat
218 reservoir (Perrot, 1998) and for such reason it is considered as an indicator of the quality of energy
219 Indeed, the quality of the energy flows, represented by the exergy content of water, decreases
220 because of the thermodynamic irreversibility. Thus, the goal of the exergo-environmental analysis is
221 to assess how this unavoidable problem affects the environmental performance of systems which
222 mainly work with thermal energy The LCA approach has already been successfully applied to

223 compare conventional and alternative non-solar Pasteurization Systems of tomato and watermelon
224 juice (Aganovic et al., 2017). An exergy analysis has been performed on a milk processing plant,
225 that also includes a pasteurization system, but no exergo-environmental analysis was implemented
226 as a further investigation (Mojarab Soufiyan et al., 2016). Thus, the application of exergo-
227 environmental analysis to pasteurization system represents an innovative approach. In this study the
228 environmental footprint of the NCS system described by Dainelli et al. (2017) and Manfrida et al.
229 (2017) is calculated and compared to that of the TVS system reported in Carielo da Silva et al.
230 (2016) and Carielo et al. (2017). The evaluation of the dependency of the NCS and TVS eco-
231 profiles on geographical boundaries have been performed through a sensitivity analysis considering
232 different installation sites. Moreover, as both NCS and TVS are powered by solar energy only, to
233 evaluate the environmental benefit associated with a renewable source of energy, a comparison is
234 performed with other technologies based on the combustion of fossil fuels or biomass employed to
235 provide the same amount of thermal energy to heat water. Indeed, the literature provides several
236 examples about how the use of a non renewable source of energy in traditional plants determines
237 high environmental footprints for traditional pasteurization systems (Pardo and Zufia, 2012),
238 especially concerning the global warming and energy depletion categories (Li et al., 2018). Finally,
239 to further investigate the potential of Solar Pasteurization, we perform a qualitative assessment of
240 the potential benefits concerning the human health issue that could be achieved with the
241 implementation of solar pasteurization systems in under-developed countries.

242 Such results would allow for improved knowledge about available solutions to guarantee potable
243 water supply and thus could contribute to inform and support in choosing the best options for a
244 specific geographical context.

245 **2. Methodological approach**

246

247 Life Cycle Assessment (LCA) is a very useful methodology to investigate and quantify the
248 environmental impacts connected to a product, process or service system. In this work, an LCA
249 study is presented according to the ISO 14040 (International Standards Organization 2010) and ISO
250 14044 (The International Standards Organisation 2006), regulations that standardize the method that
251 is composed of four phases:

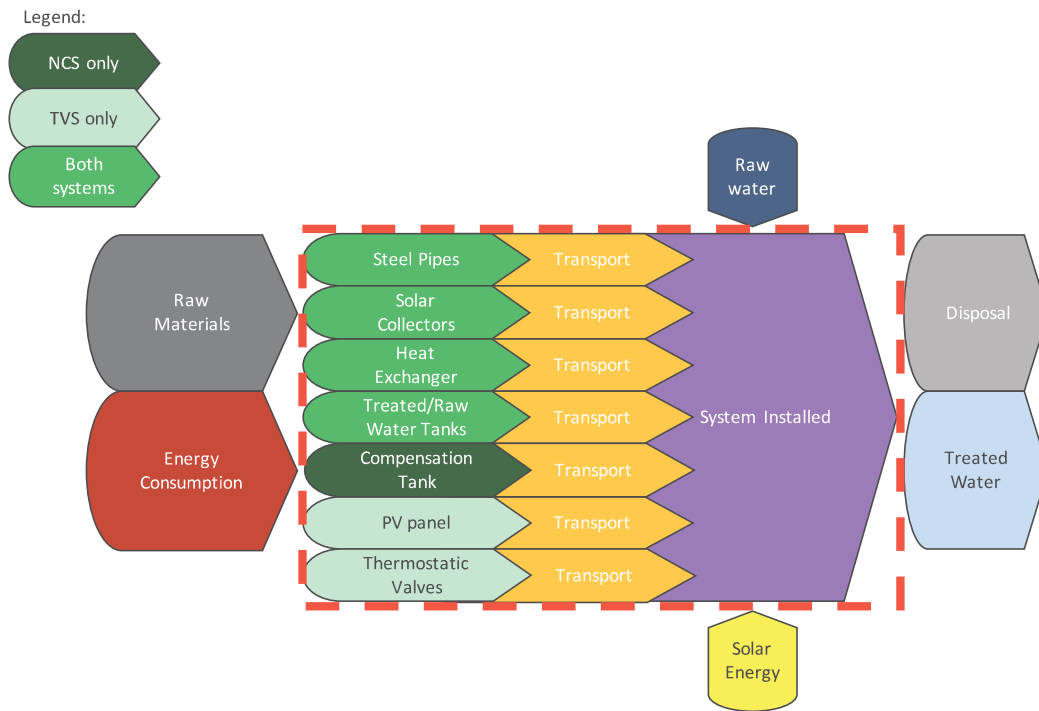
- 252 • *Definition of the goal and scope of the system*: includes the description of the model system
253 and its borders, along with the methodological framework;
- 254 • *Life Cycle Inventory, LCI*: lists and quantifies all the inlet and outlet flows of energy and
255 materials and releases to the environment;
- 256 • *Life Cycle Impact Assessment, LCIA*: impacts generated by the system are assessed through
257 the application of an impact calculation method that translate emissions, resources and
258 energy use into a limited number of environmental indicators;
- 259 • *Life Cycle Interpretation*: technical findings and critical points identified through the
260 analysis are employed to outline recommendations and conclusions to improve the
261 sustainability of the system and choosing the best available alternative.

262 Calculations have been performed with the open source software OpenLCA version 1.7 (developed
263 by Greendelta). As no prototypes for the NCS and TVS are available and, thus, no primary data
264 could be collected, their analytical models were built considering the configurations described in
265 Dainelli et al. (2017), Manfrida et al. (2017) and Carielo da Silva et al. (2016), Carielo et al. (2017),
266 respectively. Secondary data are taken from the database Ecoinvent 3.4, customized when
267 necessary.

268 *2.1. Definition of the goal and scope of the system*

269

270 The boundaries of the systems are defined according to a cradle-to-grave approach, including
 271 production, operation and disposal phases as represented in Fig. 4:



272

273 **Fig. 4: System boundaries of the solar pasteurization systems considered in this study. Green boxes are referred**
 274 **to common systems components, dark green box refers to the NCS and light green to TVS only.**

275

276 As already stated in the introduction, the goal of the present analysis is to evaluate and compare the
 277 environmental performances of the NCS and TVS systems. The functional unit is defined as 1 litre
 278 of treated water. In more detail, the study aims to: (i) compare the environmental footprints of solar
 279 pasteurization systems in three different locations and to point out the most sustainable solution; (ii)
 280 point out the most impactful components and processes involved in the systems; and (iii) evaluate
 281 the potential advantages connected with the implementation of Solar Pasteurization Systems respect
 282 to the effect of diseases connected with the consumption of unsafe water in the three different
 283 geographical context. Concerning these last, the following installation sites have been considered:

284

- Somalia: a country most affected by diarrhoeal diseases, as highlighted in the introduction;

- 285 • Brazil: the country where Carielo da Silva et al. (2016) and Carielo et al. (2017) built and
 286 tested the system;
- 287 • Italy: one of the countries where Dainelli et al. (2017) and Manfrida et al. (2017) simulated
 288 their Solar Pasteurization System.

289

290 *2.2. LCI*

291

292 In the framework of LCI, all processes included within the system boundaries are modelled as
 293 operational units: for each one of these inlet and outlet flows of matter and energy, environmental
 294 releases to the atmosphere, ground and water compartments are accounted. Detailed LCIs for the
 295 systems investigated in this study are provided in the supporting information. (SI, section 1). Data
 296 collected for the LCI analysis of the components are reported in **Table 1**.

297

298

299 **Table 1: LCI of the systems.**

| Components | NCS | | TVS | |
|------------------------|-------------|---|-------------|--|
| | n° of items | Description | n° of items | Description |
| Solar collectors (SCs) | 2 | flat plate evacuated tube SCs with a surface of 1.95 m ² (VPsolar (accessed on 05/04/2018)). | 2 | Flat plate SC with a copper absorber and a surface of 1.34 m ² (Heliotek Bosch Group (accessed on 05/04/2018)). |
| Compensation Tank (CT) | 1 | expansion vessel with volume 80l. | - | - |

| | | | | |
|---------------------------|---|--|---|---|
| Heat Exchangers (HEs) | 1 | pipe-in-pipe Heat Exchanger composed of steel pipes and rockwool thermal insulation. | 1 | Heat exchanger composed of a copper conductive part, rockwool insulation and an external iron box (Carielo da Silva et al., 2016),(Carielo et al., 2017). |
| Water Tanks (WTs) | 2 | Polyethylene tanks for inlet and outlet water. | 2 | Polyethylene tanks for inlet and outlet water. |
| Photovoltaic (PV) panels | - | - | 1 | PV panel with nominal rated power equal to 10 W (Carielo da Silva et al., 2016),(Carielo et al., 2017) |
| Thermostatic valves (TVs) | - | - | 6 | Electronic control devices with 6 years operative life. |
| Wires | - | - | - | bipolar copper wire with a length of 7 m (estimated from the arrangement in. Carielo et al., 2017). |

300

301

2.2.1. Transportation

302

303 The transportation of the components of the plant can be at the origin of a substantial impact.

304 Jorgensen and Ywema (Jorgensen and Ywema, 1996) focus on the relevance but also on the

305 variability of transportation contribution to the LCA of a product, underlining that is noteworthy to

306 estimate how influencing the transportation parameters (mass of the products, which is set by the

307 physical properties of the components, distances and modes of transport, which vary depending on

308 the starting and arriving points, etc) can affect the results of the analysis. As a definite fabrication

309 site does not exist for the NCS and TVS in this study, an average of all the production and

310 transportation processes connected with the same reference flow characterizing the various

311 components is considered. To take into account the sensitivity of the results to transportation

312 distances and modes, a common starting point is set in Milan and the installation sites are supposed
 313 to be rural villages 130 km distant from the nearest city centre and, in particular:

- 314 • for Somalia, the components are transported to Ancona port by an EURO6 lorry (about 400
 315 km), to Mogadishu by boat (about 9800 km) and finally to the installation site by an EURO3
 316 lorry (130 km);
- 317 • for Brazil, the components are transported to Lisbon by rail (about 2100 km), to Recife by
 318 boat (about 5800 km) and finally to the installation site by an EURO3 lorry (130 km);
- 319 • for Italy, the components are transported to the installation site near Brindisi by an EURO6
 320 lorry (about 1100 km).

321 Such a transportation system is schematically represented in **Fig. 5**



322

323 **Fig. 5 Sketch of the transportation routes and modes.**

324 2.2.2. *Installation and maintenance*

325

326 The installation and maintenance phases of the investigated systems do not require complex
 327 procedures nor material nor energy consuming processes (Dainelli et al., 2017) thus their
 328 contribution to the analysis has been neglected. The same assumption applies for human labour

329 because, considering the use of plug-and-play components allowing for an easy set-up of the NCS
 330 and TVS without the need for qualified operators, its contribution to the total environmental impact
 331 would be quite low. On the other hand, the direct occupation and transformation of land connected
 332 with systems' installation have been taken into account and evaluated according to an estimation of
 333 the area occupied by the plants (**Table 2**).

334

335 **Table 2: LCI of the system's installation.**

| Components | Representative Dimension | NCS | TVS |
|--|--------------------------|------|-------|
| Direct Land Occupation [m ² ·a] | Surface Time | 210 | 163.8 |
| Direct Land Transformation [m ²] | Surface | 17.8 | 10.92 |

336

337 For each installation site, the types of landscape considered are:

- 338 • Somalia: pasture and meadow as it covers most of the Somalian territory (Hadden and Lee,
 339 2007);
- 340 • Brazil (Pernambuco): equatorial forest;
- 341 • Italy (Puglia): agricultural landscape.

342

343

2.2.3. Operative phase

344

345 The operative life of the two systems, defined as the period of time during which they work to
 346 produce drinking water, is considered to be fifteen years (Dainelli et al., 2017). The thermostatic
 347 valves included in TVSs have a shorter service life, assumed about six years, thus it is necessary to

348 consider their replacement for at least three times during the whole TVS life cycle, for a total of six
 349 valves employed.

350 Concerning the production of drinking water, for the NCS case, the volume produced is estimated
 351 using the numerical model developed by Dainelli et al. (2017) and Manfrida et al. (2017); the
 352 performances of the TVS are evaluated using the linear regression relation defined by Carielo et al.,
 353 (2017). The meteorological data are provided by the Meteonorm libraries ([dataset] Meteonorm
 354 Information (accessed on 05/04/2018)) and simulated using the software TRNSYS16 (developed by
 355 The University of Wisconsin Madison).

356 The volume of drinking water produced by the NCS and TVS is reported in **Table 3**. The
 357 productivity of the NCS is provided by a mathematical model and has not been validated by
 358 experimental tests. The model is based on thermodynamics equations and does not consider that, in
 359 real operative conditions, many unpredictable factors could lower the productivity (for instance the
 360 growth of seaweeds or the sedimentation of solids inside the pipes). Thus, values reported in **Table**
 361 **3** represent the maximum productivity of the system in ideal conditions. To further investigate the
 362 environmental performance of the Solar Pasteurization Systems, two more uncertainty scenarios are
 363 analysed: in the first one, a load loss of 20% is assumed (NCS_80) and in the latter the two systems
 364 are considered to have the same yearly productivity (NCS_eq).

365

366 **Table 3: Drinking water productivity for one year of the system.**

| Location | Production [l/year] | |
|----------|---------------------|--------|
| | NCS | TVS |
| Somalia | 75,718 | 20,562 |
| Brazil | 87,935 | 23,011 |
| Italy | 28,342 | 16,783 |

367

368 2.2.4. *End-of-Life-phase*

369

370 Concerning the end-of-life phase, it should be noticed that waste management strategies are very
 371 different depending on the countries where the installation sites are set and characterized by
 372 variable average recycling rates. In particular:

- 373 • Somalia: in under-developed countries waste management options are basically reduced to
 374 waste collection without any further treatment, thus no recycling or recovering processes
 375 have been taken into account and all the components are supposed to be landfilled;
- 376 • Brazil: an average recycling percentage has been set according to [dataset] Waste TM
 377 (accessed on 05/04/2018); the remaining part is supposed to be landfilled;
- 378 • Italy: an average recycling percentage has been set according to [dataset] Eurostat-waste
 379 (accessed on 05/04/2018) , the remaining part is supposed to be landfilled;

380 According to these considerations, the recycling rates reported in **Table 4** have been implemented
 381 in the model:

382

383 **Table 4: Recycling rate by installation site.**

| Location | Somalia | Brazil | Italy |
|----------------|---------|--------|-------|
| Recycling Rate | 0.00 % | 1.00% | 45.1% |

384

385 2.3. *LCIA*

386

387 After having collected all the energy and raw materials flows which enter and exit the system, the
388 LCIA phase allows the calculation of the eco-profile of the systems according to several
389 environmental impact categories. To this aim, various calculation methods are available.

390 In this study the *ReCiPe 2008, Endpoint (H) [v1.11, December 2014]* method, composed by 17
391 impact categories, is applied to perform the analysis. As the purpose of this paper is to provide
392 results as general as possible, a hierarchist approach is selected. Endpoint results estimate the
393 damages to the environment of a process or a product grouping them into issues of concern
394 (damage-oriented approach) while midpoint ones express a measurement of effect before damage
395 occurs (problem-oriented approach).

396 The classification of Endpoint results considers three damage categories:

- 397 • *Ecosystem*: damage to ecosystems is expressed as number of natural species lost per year
398 (*species/year*);
- 399 • *Human Health*: damage to humans is expressed as *disability-adjusted life year (DALY)*;
- 400 • *Resources*: damage to natural resources is expressed as the economic value in dollars of
401 exploitation (\$).

402 Normalisation and weighting are applied (*World ReCiPe H/A [person/year]*) in order to express the
403 impact into points allowing for a global comparison among different systems.

404

405 *2.4. Energy and Exergo-environmental analysis*

406

407 The comparison among NCS and TVS and conventional pasteurization systems is performed to
408 assess the advantages associated with the use of solar energy for water heating. The environmental
409 burden related to the conventional technologies (boilers burning oil, gas, or wood) has been

410 estimated using secondary data from the database Ecoinvent 3.4, (“Ecoinvent,”
 411 <https://www.ecoinvent.org/>). Oil is burned in a traditional 10 kW boiler for residential applications;
 412 all energy and material flows involved during its life cycle are provided directly by the producers.
 413 The same technology is applied to the natural gas combustion as Ecoinvent assumes that the same
 414 material and energy flows are involved in the production of oil boilers with similar size. Mixed logs
 415 are burned in a furnace developed in Switzerland and considered by Ecoinvent as the average
 416 technology for domestic applications. These processes are also inclusive of all the required ancillary
 417 technologies, such as fuel storage systems and electronic control devices.

418 In Solar Pasteurization Systems, water is warmed up to 85°C and has a sensible energy and exergy
 419 content that allows it to be considered as an energy carrier. In the analysed system, the exergy
 420 content of water is different in each point of the plant. For such reason the following equations are
 421 evaluated at representative points of the plant (**Fig. 1**) indicated by the subscript “j”. Considering
 422 the environmental conditions as the reference and water as a non-reactive species, the exergy rate of
 423 the j-flow $\dot{E}x_j$ (J/s) can be evaluated by Eq.1:

$$\dot{E}x_j = \dot{m}_j[(h_j - h_0) - T_0(s_j - s_0)] \quad (1)$$

424

425 Where \dot{m}_j , h_j and s_j are respectively the water mass flow rate (kg/s), the specific enthalpy (J/kg)
 426 and the specific entropy (J/(kg*K)) related to the j-th flow; h_0 , T_0 and s_0 are the specific enthalpy
 427 (J/kg), the temperature (K) and the specific entropy (J/(kg*K)) of the environment.

428 We see that temperature rise of water inside the SCs represents an increase of exergy, and thus a
 429 quality improvement but it has a cost in terms of environmental impact.

430 Thus, a damage can be allocated to the exergy content of water applying the definition of specific
 431 impact rate (Buchgeister, 2010) b_j (points/J):

$$b_j = \frac{\dot{B}_j}{\dot{E}x_j} \quad (2)$$

432

433 Where \dot{B}_j is the environmental impact rate of j-th flow (points/s).

434 On the other hand, the environmental impact rate related to the construction, operation and
 435 maintenance and the disposal of the k-component of a system is evaluated by Eq.3 (Buchgeister,
 436 2010):

$$\dot{Y}_k = \dot{Y}_k^{CO} + \dot{Y}_k^{OM} + \dot{Y}_k^{DI} \quad (3)$$

437 Where \dot{Y}_k is the total environmental impact rate associated with the life cycle of the k-th component
 438 (points/s) while \dot{Y}_k^{CO} , \dot{Y}_k^{OM} and \dot{Y}_k^{DI} are the contributions of the construction, the operation and
 439 maintenance and disposal phase (points/s).

440 The Exergo-environmental analysis is based on the impact balances for each entering and exiting j-
 441 flow related to each k-component (Buchgeister, 2010):

442

$$\sum \dot{B}_{j,k,in} + \dot{Y}_k = \sum \dot{B}_{j,k,out} \quad (4)$$

443 Where $\dot{B}_{k,in}$ are the environmental impact rates related to all the flows entering the k-th component
 444 (points/s) and $\dot{B}_{k,out}$ are the environmental impact rates related to all the flows exiting from the k-th
 445 component (points/s).

446 An exergy analysis of a NCS has been performed by Manfrida et al. (2017) estimating the exergy
 447 content of water in each point of the plant, and \dot{Y}_k is provided by the LCA analysis (a mass based

448 allocation approach has been used for the calculation of the environmental impacts of transports,
 449 packaging and direct land occupation for all the system components). These inputs permit us to
 450 solve the system of equations in integral form referring to the average day of each month.

451 Furthermore, inside each component, several exergy destructions occur: they are due to different
 452 forms of irreversibility such as non-ideal mixing of fluids, heat exchanges with finite difference of
 453 temperature and frictions across the pipes. An environmental impact $\dot{B}_{D,k}$ (points/s) can be
 454 associated to them because they vanquish part of such costly increasing exergy and it is calculated
 455 by Eq.5 (Buchgeister, 2010; Buchgeister et al., 2009):

$$\dot{B}_{D,k} = b_{F,k} \cdot \dot{E}x_{D,k} \quad (5)$$

457

458 Where $b_{F,k}$ is the specific environmental impact related to the exergetic fuel (Lazzaretto and
 459 Tsatsaronis, 2006) of the k-th component.

460 So, the total environmental impact for each k-component $\dot{B}_{TOT,k}$ is obtained by Eq.6 while the
 461 contribution of \dot{Y}_k respect to $\dot{B}_{TOT,k}$ is named exergo-environmental factor ($f_{d,k}$) and is defined by
 462 Eq.7. The relative environmental impact difference $r_{d,k}$ is another parameter expressing, as
 463 percentage, how much the environmental cost of a water stream is increased by flowing across each
 464 component and it is defined by Eq.8 (Buchgeister, 2010; Buchgeister et al., 2009).

465

$$\dot{B}_{TOT,k} = \dot{B}_{D,k} + \dot{Y}_k \quad (6)$$

$$f_{d,k} = \frac{\dot{Y}_k}{\dot{B}_{D,k} + \dot{Y}_k} \quad (7)$$

$$r_{d,k} = \frac{b_{p,k} - b_{F,k}}{b_{F,k}} \quad (8)$$

466 3. Results and discussions

467 In this paragraph, the description of results is organized as follows:

- 468 • In Section 3.1, the endpoint results and contribution analysis of both NCS and TVS for
469 Somalia, (i.e., the country with the most critical sanitary situation related to diarrhoeal
470 diseases among the three investigated installation sites) are reported;
- 471 • In Section 3.2, the total environmental impact profiles for Somalia and, for comparison, for
472 Brazil and Italy are reported;
- 473 • In Section 3.3 and 3.4, the energy, exergo-environmental results and sensitivity analysis
474 outcomes for Somalia are reported.

475 3.1. Endpoint results and contribution analysis - Somalia

476 **Fig. 6** shows the endpoint results for the NCS, NCS_80, NCS_eq and TVS in Somalia. The NCS
477 system turns out to be the most sustainable solution for each category thanks to its higher
478 productivity of treated water, even if a higher amount of materials is required. Furthermore, for each
479 damage category, some major environmental burden can be identified. More in details, the
480 *Agricultural land occupation and Climate Change* impact categories represent together about 70%
481 of the contribution to the *Ecosystem*; the *Climate Change, Human Toxicity and Particulate matter*
482

483 *formation* impact categories represent together more than 99% of the contribution to the *Human*
484 *Health*; and finally the *Metal depletion impact category* represents about 60% of the contribution to
485 the *Resources*.

486 **Fig. 6** also shows that the productivity is a pivotal parameter for the NCS: if it is decreased of 20%
487 with respect to ideal conditions (NCS_80) the environmental burden on all the damage categories
488 increases but it is still lower than the TVS profile, while in the case of equivalent productivity of the
489 two plants (NCS_eq), the resulting NCS environmental burden would turn out to be higher than
490 TVS. This last outcome clearly depends on the larger amount of materials required for the NCS's
491 construction but it cannot be considered as a drawback for the NCS as this equal productivity
492 limiting case represents the worst scenario for NCS and it has been simulated to understand the
493 sensitivity of the model (NCS productivity has been assessed through a mathematical model and the
494 productivity of the TSV has been measured experimentally).

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Fig. 6: Weighting results at the endpoint level for the NCS, NCS_80, NCS_eq and TVS installed in Somalia. For each damage category, the contribution analysis of the impact categories affecting the total environmental burden score is shown at the bottom of the figure.

Observing the contribution analysis results reported in **Table 5**, another major output is that the SCs are largely the most impactful components among the most relevant categories.

509 **Table 5: Contribution analysis of the most relevant categories (>25% of the global impact on each damage**
 510 **category as shown in Fig. 6).**

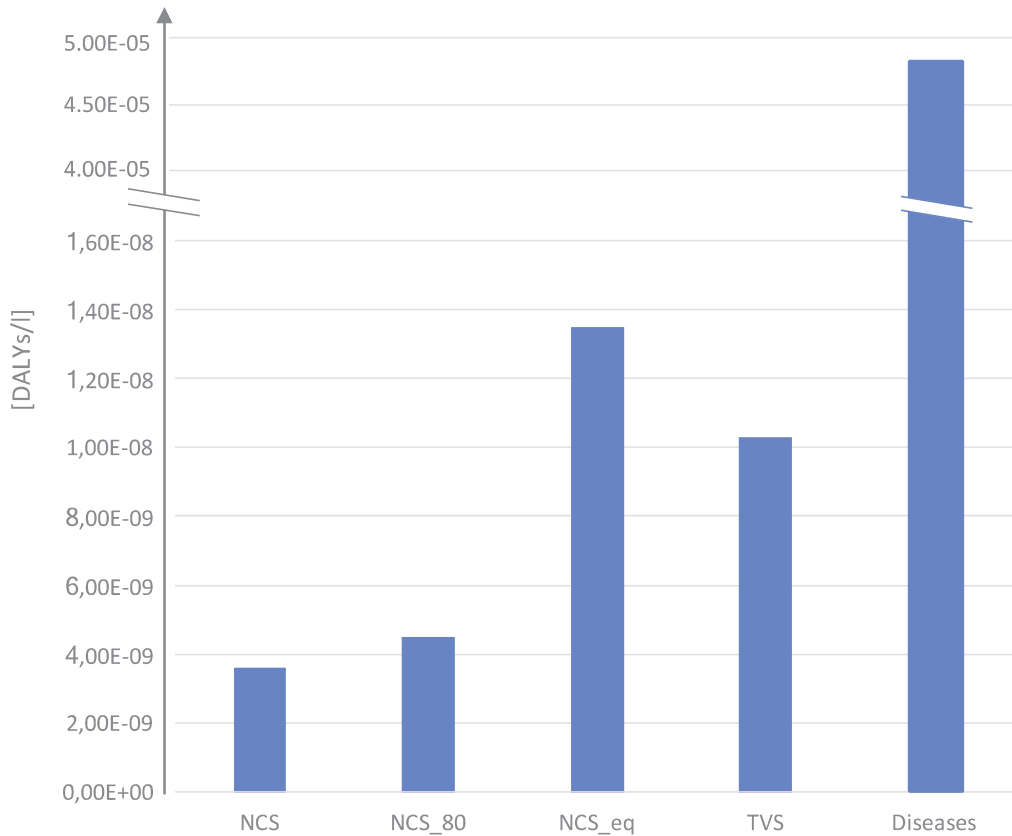
| Components | Agricultural land occupation | | Climate change | | Particulate matter formation | | Human toxicity | | Metal depletion | | Fossil depletion | |
|---|------------------------------|--------|----------------|--------|------------------------------|--------|----------------|--------|-----------------|--------|------------------|--------|
| | NCS | TVS | NCS | TVS | NCS | TVS | NCS | TVS | NCS | TVS | NCS | TVS |
| Solar Collectors | 15.02% | 11.29% | 46.00% | 47.69% | 57.65% | 52.66% | 91.33% | 53.52% | 59.93% | 62.28% | 42.03% | 39.47% |
| Compensation Tank | 1.10% | 0.00% | 5.81% | 0.00% | 3.84% | 0.00% | 1.73% | 0.00% | 3.52% | 0.00% | 4.92% | 0.00% |
| Water Tanks | 0.96% | 1.43% | 10.26% | 13.44% | 4.04% | 5.46% | 1.34% | 1.34% | 0.58% | 0.66% | 17.40% | 23.12% |
| Heat Exchanger | 1.28% | 3.48% | 9.01% | 9.49% | 10.24% | 18.18% | 1.84% | 32.87% | 15.65% | 19.02% | 6.89% | 8.60% |
| Pipes | 1.56% | 1.24% | 10.99% | 7.81% | 12.63% | 9.17% | 2.26% | 1.23% | 19.54% | 12.16% | 8.38% | 6.04% |
| PV Panel and connections | 0.00% | 0.39% | 0.00% | 2.10% | 0.00% | 1.21% | 0.00% | 0.52% | 0.00% | 0.27% | 0.00% | 1.94% |
| Thermostatic Valve | 0.00% | 1.25% | 0.00% | 6.75% | 0.00% | 4.86% | 0.00% | 9.70% | 0.00% | 5.11% | 0.00% | 6.17% |
| Transports | 0.44% | 0.38% | 16.77% | 11.89% | 11.01% | 8.03% | 1.34% | 0.72% | 0.73% | 0.47% | 19.31% | 13.87% |
| Direct Land Occupation and transformation | 78.37% | 79.51% | 0.00% | -0.02% | 0.00% | -0.01% | 0.03% | 0.03% | 0.03% | 0.02% | -0.02% | -0.01% |
| Packaging | 1.27% | 1.03% | 1.16% | 0.85% | 0.59% | 0.44% | 0.13% | 0.07% | 0.02% | 0.01% | 1.09% | 0.80% |
| Recycling | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% | 0.00% |

511

512 The contribution analysis allows us to highlight that all the calculated impacts are mainly due to the
 513 manufacturing of the SCs except for the *Agricultural land occupation*, for which the surface
 514 physically occupied by the plant is the most important contributing factor. Moreover, the toxic

515 emissions of arsenic and manganese in the life cycle of the SCs and the HEs are the main factors
516 responsible for the impact on the *Human Toxicity* category, whereas for the *Metal and fossil*
517 *depletion* category the major impacts are associated with the consumption of natural resources,
518 especially metals (iron and copper) and fossil fuels (coal, gas and oil).

519 From the perspective of a possible beneficial contribution of solar pasteurization systems to the
520 sanitary problem, a significant observation could be made by comparing, on a qualitative basis, the
521 obtained LCA results with data regarding impact of diseases and life expectancy related with unsafe
522 water and sanitation issues. Indeed, the WHO provides comprehensive useful data for the
523 estimation of the sanitary conditions by countries and regions expressed in DALYs; for Somalia the
524 reference value is estimated to be 4,465 *DALYs*/100,000 ab ([dataset] World Health Organization
525 (WHO) (accessed on 05/04/2018), 2012). To compare with the results obtained for the *Human*
526 *Health* category within the LCA analysis, this value has been normalized to the same functional unit
527 (*DALYs*/l). To do this, it has been multiplied by the inhabitants (ab) of Somalia (considering a
528 population of 15,181.925 ab (World Health Organization (WHO) (accessed on 05/04/2018)) and
529 divided by water consumption data (the Food and Agriculture Organization of the United Nations
530 (FAO) estimates a water withdrawal for municipal use of $0.15 \cdot 10^9$ m³/year ($0.15 \cdot 10^{12}$ l/year)
531 ([dataset] Food and Agriculture Organization (FAO) (accessed on 05/04/2018), 2003).



532

533 **Fig. 7: Human Health damage category results at the endpoint level comparing the whole life cycle NCS and**
 534 **TVS impacts with that connected with unsafe water consumption impact value calculated according to the WHO**
 535 **and FAO estimation in Somalia.**

536

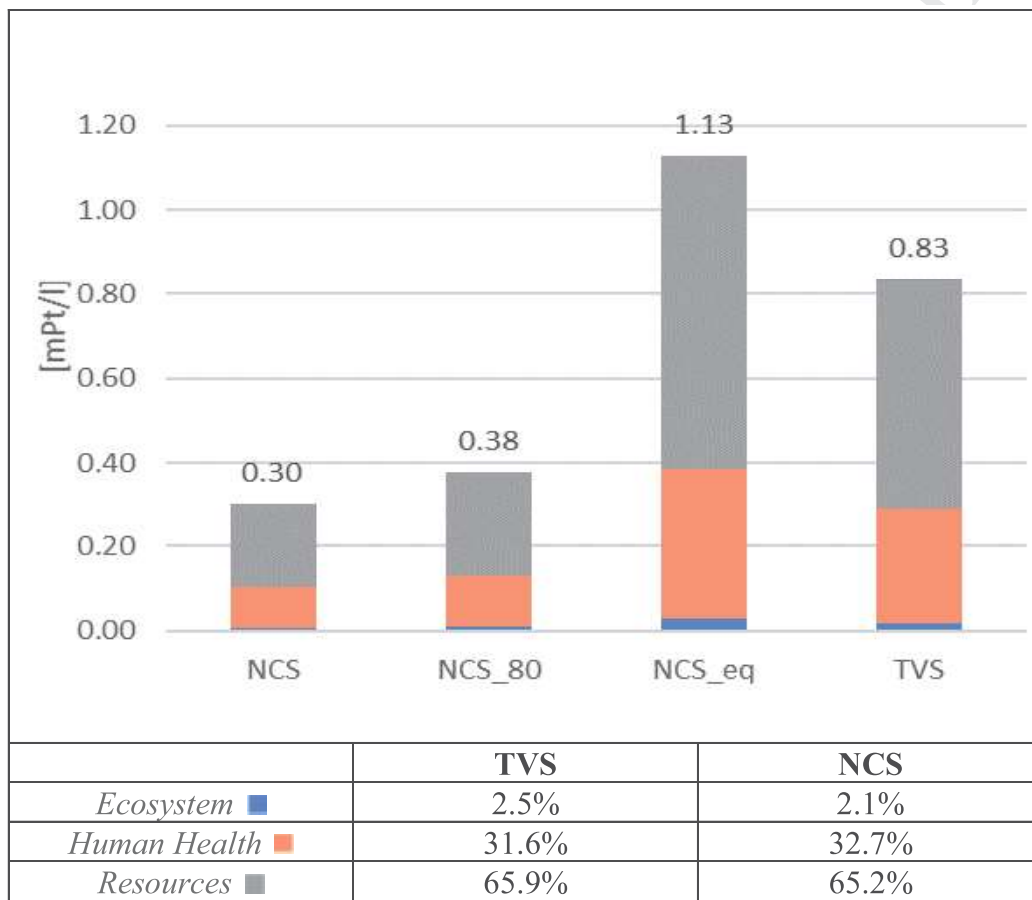
537 Inspection of **Fig. 7** allows the observation that the implementation of NCS and TVS could allow a
 538 decrease in the burden of diarrhoeal diseases that is several orders of magnitude higher for the
 539 actual scenario in Somalia. Moreover, considering the above-mentioned water consumption data, a
 540 NCS would be able to satisfy the needs of about 77 people whose life expectancy is estimated to
 541 increase by 2.5 years compared to the average, that actually ranges between 54 and 57 years
 542 (World Health Organization (WHO) (accessed on 05/04/2018)). This qualitative assessment shows
 543 that in general the NCS and TVS systems could offer an effective contribution, at a limited
 544 environmental cost, to face the sanitary problems linked to unsafe water consumption.

545 3.2. Total environmental impact

546

547 In order to make a global evaluation of the systems based on a single score metric, weighted results
 548 are calculated referring to Somalia and are illustrated in **Fig. 8**:

549



550

551 **Fig. 8: Single scores of the total environmental impact (mPt/l) for the TVS and NCS (and relative operational**
 552 **scenarios) installed in Somalia.**

553

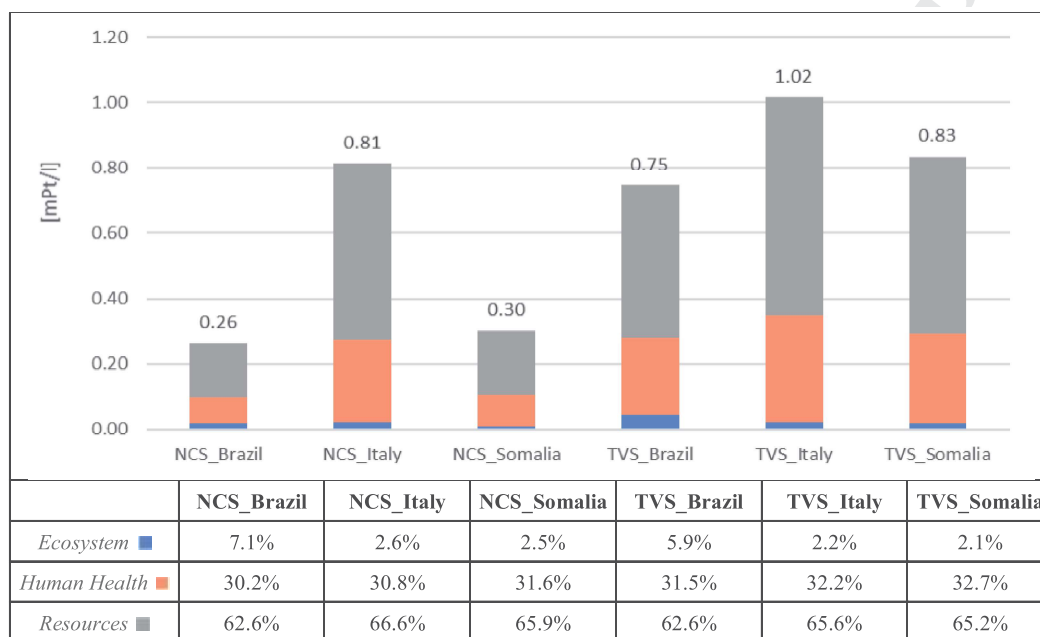
554 **Table 6: Contribution analysis of the global environmental impacts shown in Fig.8 connected to NCS and TVS**
 555 **components.**

| Components | NCS | TVS |
|--|--------|--------|
| <i>Solar Collectors</i> | 49.32% | 47.93% |
| <i>Compensation Tank</i> | 4.04% | 0.00% |
| <i>Water Tanks</i> | 7.12% | 9.19% |
| <i>Heat Exchanger</i> | 10.01% | 13.09% |
| <i>Pipes</i> | 12.38% | 8.43% |
| <i>Photovoltaic Panel and connections</i> | 0.00% | 1.10% |
| <i>Electronics</i> | 0.00% | 5.86% |
| <i>Transports</i> | 8.66% | 6.10% |
| <i>Direct Land Occupation and transformation</i> | 7.17% | 7.26% |
| <i>Packaging</i> | 1.30% | 1.02% |
| <i>Recycling</i> | 0.00% | 0.00% |

556

557 The NCS is confirmed to be the most sustainable solution in ideal conditions but also in this case
 558 the conclusion strongly depends on the real productivity of the systems. The impact to the
 559 Resources category represents the main contribution to the total single score, followed by the
 560 impact on the Human Health category, while the impact to the Ecosystem category only accounts
 561 for a very low percentage. The SCs is still the most impactful component of the systems. As NCS,
 562 NCS_80 and NCS_eq scenarios only differ for the water output productivity, the damage categories
 563 percentage weights along the environmental profiles shown in **Fig. 8** do not change. In **Table 6** the
 564 contribution analysis implemented for the total environmental impact allows the investigation of the
 565 system in more detail.

566 **Fig. 9** shows the variations of the previous results as a function of different installation sites,
 567 according to the methodological setting described in **Fig. 8**. As a matter of fact, the types of land,
 568 the installation site, distances between the installation and production site, transport modalities,
 569 water productivity and recycling rate are parameters that strongly affect the eco-profiles of the two
 570 systems.



571

572 **Fig. 9: Single scores of the total environmental impact (mPt/l) for the TVS and NCS installed in Brazil, Italy and**
 573 **Somalia.**

574

575 **Table 7: Contribution analysis of the global environmental impacts shown in Fig.9 connected to NCS and TVS**
 576 **components for different installation sites.**

| Components | NCS_Brazil | NCS_Italy | NCS_Somalia | TVS_Brazil | TVS_Italy | TVS_Somalia |
|--------------------------|------------|-----------|-------------|------------|-----------|-------------|
| <i>Solar Collectors</i> | 39.53% | 48.17% | 49.32% | 38.94% | 46.80% | 47.93% |
| <i>Compensation Tank</i> | 3.16% | 3.95% | 4.04% | 0.00% | 0.00% | 0.00% |
| <i>Water Tanks</i> | 5.72% | 6.95% | 7.12% | 7.08% | 8.95% | 9.19% |

| | | | | | | |
|--|--------|--------|--------|--------|--------|--------|
| <i>Heat Exchanger</i> | 8.55% | 9.81% | 10.01% | 10.80% | 12.79% | 13.09% |
| <i>Pipes</i> | 10.60% | 12.13% | 12.38% | 7.00% | 8.26% | 8.43% |
| <i>Photovoltaic Panel and connections</i> | 0.00% | 0.00% | 0.00% | 0.77% | 1.08% | 1.10% |
| <i>Electronics</i> | 0.00% | 0.00% | 0.00% | 4.36% | 5.70% | 5.86% |
| <i>Transports</i> | 3.89% | 12.45% | 8.66% | 2.64% | 8.75% | 6.10% |
| <i>Direct Land Occupation and transformation</i> | 27.96% | 11.09% | 7.17% | 27.92% | 9.93% | 7.26% |
| <i>Packaging</i> | 0.68% | 1.25% | 1.30% | 0.51% | 0.98% | 1.02% |
| <i>Recycling</i> | -0.04% | -2.90% | 0.00% | -0.02% | -1.62% | 0.00% |

577

578 On the basis of results shown in **Fig. 9** and details given by the contribution analysis reported in
579 **Table 7**, some major conclusions can be drawn as follows:

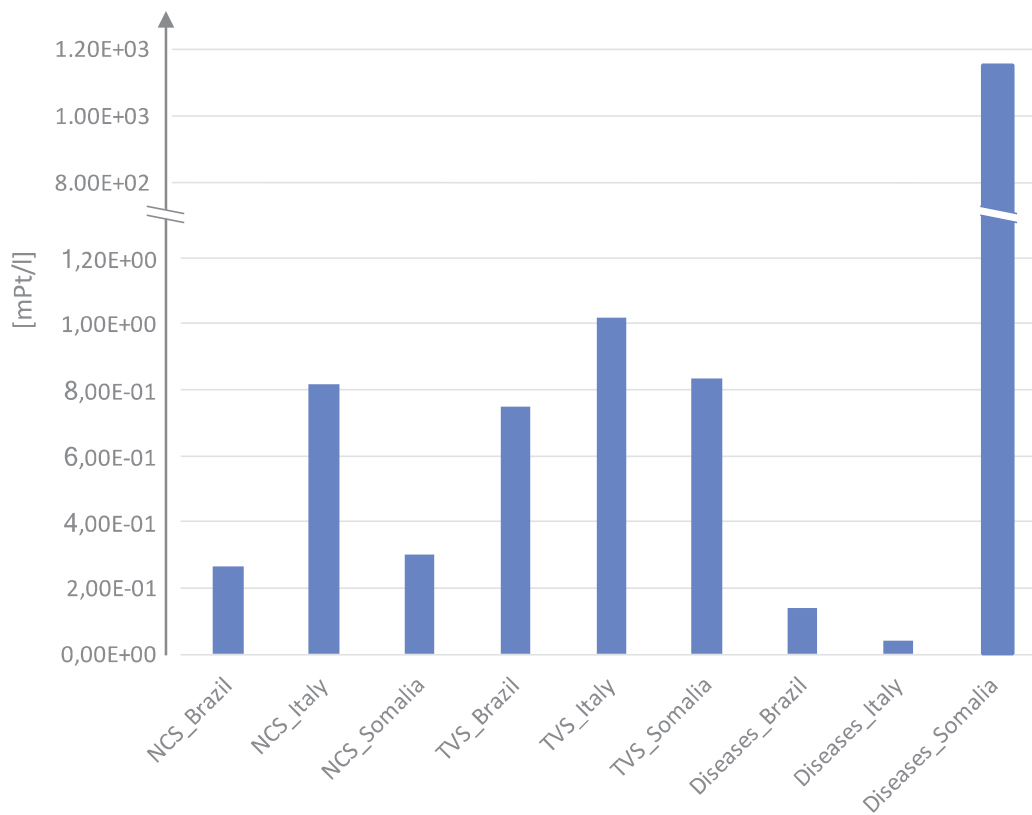
- 580 • the NCSs are less impactful than the TVSSs at any installation site;
- 581 • comparing these results with the productivity data from **Table 3**, it is evident that in sites
582 with higher productivity, such as Brazil, the environmental impact is lower for both NCS
583 and TVS; on the other hand, when the productivities are more similar, like for the Italian
584 installation site, it can be noted that differences between the eco-profiles of NCS and TVS
585 are quite smaller; thus confirming that the productivity of any technological solution is
586 crucial for the environmental assessment.

- 587 • an environmental benefit (i.e. a positive impact) from recycling option is appreciable only in
588 Italy, even if it can counter-balance the lower productivity of the systems only in a
589 somewhat limited way;
- 590 • the contribution of direct land occupation and transformation is always quite low, except for
591 Brazil where the calculation methods associate a high impact factor to the transformation of
592 forest, considered as natural land.
- 593 • the percentage impact of transportations is always low and ranges between 2.64% and
594 12.45% of the total, that is a quite limited contribution considering the variability of
595 distances and modes of transports assumed in this study. The main reason is that the impact
596 of transports also depends on the weight of the transported goods, that in this case is
597 represented by systems designed to be not massive. Furthermore, we can observe that,
598 despite the shorter distances, transportation in Italy gives a higher contribution with respect
599 to the other countries because transport by road is the most impactful mode according to the
600 impact weighting factors employed by the calculation method.
- 601 • SCs represent the most impactful components in every country.

602 To perform a qualitative assessment aiming at understanding the advantages or limitations
603 connected with the implementation of solar pasteurization systems and, consequently, to evaluate
604 our analytic model, we compare the cradle-to-gate eco-profiles of NCS and TVS virtually
605 functioning in Somalia, Brazil and Italy with the impact single score values of unsafe water related
606 diseases in the different geographical contexts.

607 The environmental impact of diseases connected with unsafe water consumption in Brazil and
608 Somalia has been estimated using the same approach described for the Somalian case (paragraph
609 3.1) and a single score has been obtained considering the burden to the *Human Health* damage

610 category as the only relevant impact, neglecting the effect of the diseases on the *Ecosystems* and
 611 *Resources* damage categories (**Fig. 10**).



612

613 **Fig. 10: Single score values of the total environmental impact comparing the whole life cycle of NCS and TVS**
 614 **burdens with that connected with unsafe water consumption impact value calculated according to the WHO and**
 615 **FAO estimation in Brazil, Italy and Somalia.**

616

617 **Fig. 10** is useful to understand the order of magnitude and the diffusion of the sanitary problem in
 618 the analysed situations giving an idea of how and to which extent a NCS or a TVS could be
 619 effective in a particular geographical context. In Brazil and in Italy, the impact of the unsafe water
 620 consumption is lower than the impact of Pasteurization systems, so a Pasteurization system would
 621 be convenient only in few specific emergency situations. Indeed, these results are estimated using
 622 data on a national scale. Different conclusions would be reached if data from more specific regional
 623 case studies (not available) would have been used. For instance, some of Brazilian regions still have

624 sanitary problems connected to water consumption (Marques et al., 2013) thus the use of solar
625 pasteurization systems could be very advantageous for these sites. In Somalia, however, since the
626 burden of sanitary problems related to unsafe water consumption is significantly higher, the
627 installation of solar pasteurization systems would be extremely beneficial.

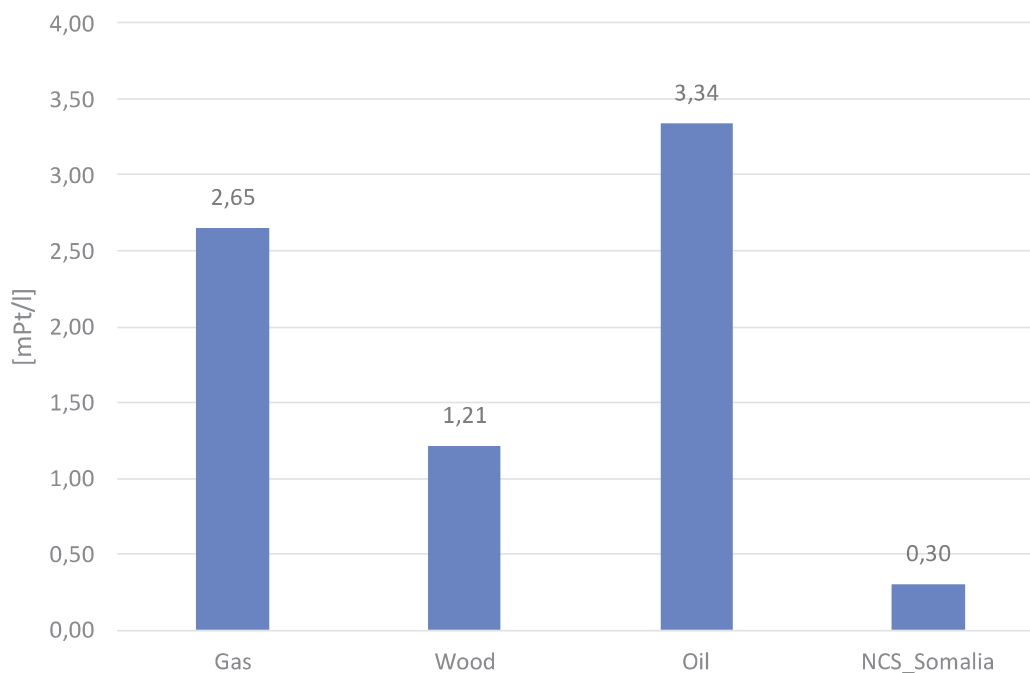
628 From a general methodological point of view, the outcomes of such assessment allowed us to prove
629 the robustness of our product system model finding out that it responds rather well to the water's
630 sanitary conditions context. In the perspective of providing an assessment procedure to support the
631 political decision making, this model would allow to perform ex-ante qualitative assessment to
632 investigate the environmental advantages and costs of several technological solutions and to
633 recommend the best option for a given geographical context.

634 *3.3. Energy and exergo-environmental analysis*

635

636 In this section a comparison between Solar Pasteurization systems and other technologies for which
637 the SCs are hypothetically replaced with fossil fuels combustion systems is presented. This
638 estimation is made replacing the SCs with a boiler in the model system and considering that boilers
639 useful life is longer and that they could be re-used after 15 years.

640 Based on the previous results according to which the most environmentally sustainable solution is
641 the NCS, the following analysis is focused on this system installed in Somalia, as it is the country
642 experiencing the highest need for water sanitation intervention among those considered in this
643 study. The amount of heat required by a NCS in Somalia is estimated by the mathematical model
644 described by Dainelli et al. (2017) and Manfrida et al. (2017).



645

646 **Fig. 11: Single score values of the total environmental impact calculated for different pasteurization systems. The**
 647 **comparison is performed based on an equivalent heat amount provided by solar energy, biomass and fossil fuels.**

648

649 Inspection of **Fig. 11** reveals that, as expected, the use of solar energy is responsible for a lower
 650 environmental impact than the other analysed options, even if the SCs result to be a very impactful
 651 components, as shown in the contribution analysis in **Table 7**. Indeed, if boilers burning oil, gas or
 652 wood (some of the fuels mainly used in rural areas of Somalia (UNEP, 2015)) were used, the
 653 generated environmental impact would be at least four times higher.

654 The exergo-environmental analysis can provide a further insight: the environmental impact
 655 associated to the exergy content of treated water is equal to zero because solar energy is used and
 656 only the results calculated through the LCA study give a relevant contribution to the analysis. On
 657 the other hand, the exergy destructions inside the components occur anyway; they are due to the
 658 thermodynamic irreversibility such as the friction and the mixing of different flows of water inside
 659 the pipes and in the CT and the finite temperature heat exchange inside the SCs.

660 The exergo-environmental analysis results are averaged over each year and collected in **Table 8**
661 showing that the exergy destructions due to the irreversibility of water's warming inside the SCs
662 determine a sizeable environmental impact (B_D) compared to the one related to the SCs'
663 construction, maintenance and disposal (Y). The reason is that a source of energy at very high
664 temperature (Sun) is used to heat water up to a temperature lower than 100 °C. This observation is
665 coherent with the results obtained by Dainelli et al. (2017) and Manfrida et al. (2017) showing that
666 the exergy losses and destructions of the SCs are much higher than those of other components of the
667 plant. So, in the SC case, the exergo-environmental factor f_d (which represents the contribution of
668 Y to B_{TOT}), accounts for a very small percentage value. Despite the damage of exergy destructions,
669 the relative environmental impact difference (r_d) across the SCs is negative; this happens because
670 the direct input of renewable solar energy, which improves the exergy content of the fluid, takes
671 place at zero environmental cost.

672 The second most impactful component in terms of total environmental impact (B_{TOT}) is the CT; the
673 main reason is the exergy destructions burden (B_D) due to the time-variable exergy content of stored
674 water and to the mixing with the inlet stream. The effect of this irreversibility is an increase of the
675 specific environmental cost (r_d) of the outlet flow from the CT. For such reason the cradle to grave
676 LCA result (Y) accounts for a very low percentage (f_d) of the total damage.

677 The third largest contribution in absolute terms (B_{TOT}) is given by the HE; among the other
678 components, only the HE determines a very relevant increase of the environmental cost of exergy
679 (r_d). In this case the contribution of exergy destructions (B_D) is high, as demonstrated by the low
680 exergo-environmental factor (f_d). However the performance cannot be improved by simply using a
681 larger heat exchange surface because the ratio of recirculating and supply flows is limited by the
682 natural circulation mechanism and the system should be operative – after the warm-up – at
683 temperatures between 85 and 100°C (Dainelli et al., 2017; Manfrida et al., 2017).

684 The total environmental impact (B_{TOT}) of pipes is quite low and a significant contribution is
 685 represented by the exergy destructions burden (B_D) due to the frictions and the mixing of the supply
 686 and the recirculating flows.

687 The WTs are at the borders of the system, so no exergy balance is possible for them and thus their
 688 exergy destructions have been neglected (Dainelli et al., 2017; Manfrida et al., 2017).

689

690 **Table 8: Exergo-environmental analysis results of the NCS system concerning the impact of the irreversibility**
 691 **(B_D), the cradle to grave LCA result (Y), the global environmental impact (B_{TOT}), the exergo-environmental**
 692 **factor (f_d) and the relative environmental impact difference (r_d).**

| Components | B_D | Y | B_{TOT} | f_d | r_d |
|--------------------------|-------------------------|-----------------------|-----------------------------|-------------------------|-------------------------|
| | [mPt/ l] | [mPt/ l] | [mPt/ l] | [%] | [%] |
| <i>Solar Collectors</i> | 19.69 | 0.16 | 19.85 | 0.81% | -13.68% |
| <i>Compensation Tank</i> | 1.03 | 0.02 | 1.05 | 1.90% | 6.01% |
| <i>Heat Exchanger</i> | 0.70 | 0.04 | 0.74 | 5.41% | 124.50% |
| <i>Pipes</i> | 0.20 | 0.05 | 0.25 | 20.00% | 18.71% |
| <i>Water Tanks</i> | 0.00 | 0.03 | 0.03 | 100.00% | 0.00% |

693

694 3.4. Sensitivity analysis

695

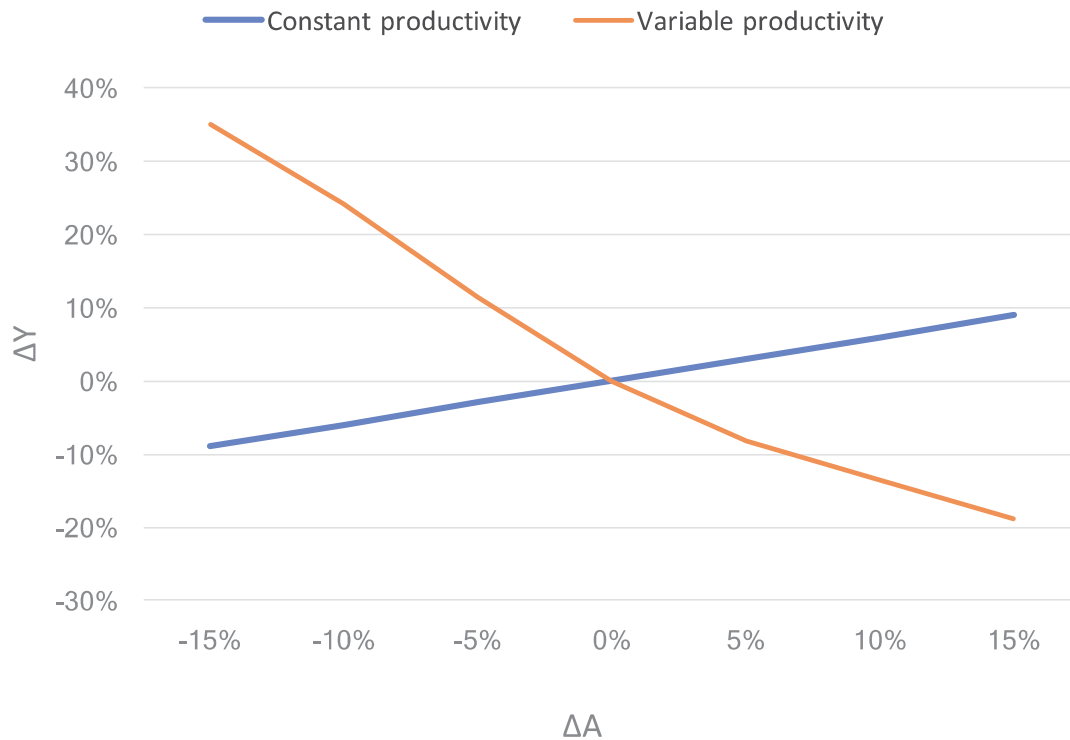
696 The sensitivity analysis is a significant tool for studying the robustness of LCA outputs and their
 697 sensitivity to uncertainty factors, thus enhancing the interpretation of results. (Saltelli, 2002). In
 698 previous sections, to investigate the dependency of the model system on operational and
 699 geographical factors, the variation of the productivity and of the installation sites have already been

700 considered. Nevertheless, considering the significant impact of the SCs on the environmental
701 impact assessment, a sensibility analysis of the SCs' dimension will be performed here.

702 The installation site of the NCS is set in Somalia; the surface occupied by the SC is supposed to
703 vary in the range $\pm 15\%$. As a preliminary speculation, the productivity of the system is set to be
704 constant and so the environmental impact increases linearly with the SC's area in a range of $\pm 10\%$
705 **(Fig. 12)**.

706 Applying the perturbation of the SC's area to the mathematical model described by Dainelli et al.,
707 (2017) and Manfrida et al., (2017), the corresponding variation of productivity can be evaluated. In
708 this case the results show a contrary non-linear trend because environmental impacts decrease
709 sensibly with an increasing of the SC's area and vice versa; variations are assessed between -20%
710 and $+35\%$.

711



712

713 Fig. 12: Sensitivity analysis of the environmental impact (ΔY) respect to the surface of the SC (ΔA) with constant
 714 and variable productivity.

715 4. Conclusions

716

717 Many regions of the world are affected by serious sanitary conditions due to the consumption of
 718 contaminated water. The role of this primary element as a vector of several kinds of diseases,
 719 especially diarrhoeal, has been investigated from a humanitarian and sanitary point of view, but a
 720 comprehensive approach to this problem cannot overlook also the strictly connected environmental
 721 and energetic issues in order to propose an integrated solution. In this context, the choice of the best
 722 technical solution to purify water from pathogens should be based on criteria that take into
 723 consideration these aspects to individuate simple, reliable and sustainable technologies. This is
 724 particularly relevant to address the objectives of SDGs of the Agenda adopted by the General
 725 Assembly of the United Nations, in particular those of Goal 6 which focuses on “Ensure availability

726 and sustainable management of water and sanitation for all". In this study, an LCA and an exergo-
727 environmental analysis have been integrated to propose a methodological framework useful for
728 environmental sustainability assessment to support the political decision making for the choice of
729 the best technically and environmentally solution for a specific geographical context.

730 The assessment of Solar Pasteurization Systems performances has been carried out in different
731 conditions. First, these plants are supposed to be installed in Somalia where sanitary problems
732 connected with unsafe water consumption are very critical. Indeed, we can conclude that a NCS
733 would be more sustainable than a TVS thanks to its higher productivity: under ideal conditions the
734 total environmental impact of the analysed NCS is calculated to be 0.3 mPt/l, versus 0.83 mPt/l of
735 the TVS. A significative output of the analysis is represented by the eco-profiles calculated for both
736 plants that show a substantial total environmental impact reduction compared to the actual sanitary
737 scenario. It is estimated that the human life expectancy could be at least two years longer thanks to
738 the application of a disinfection device. Different installation sites (Somalia, Brazil and Italy) have
739 been considered and in all cases the NCS results more sustainable than the TVS: in Brazil very
740 similar results to Somalia are evaluated and they are respectively 0.26 mPt/l for the NCS and 0.75
741 mPt for the TVS; in Italy the impact is higher because of a lower water productivity and thus the
742 difference between the two systems eco-profiles decreases (0.81 mPt/l for the NCS and 1.02 mPt/l
743 for TVS). The results show that the best installation site, from an environmental point of view, is in
744 Brazil but considering the burden related to the consumption of unsafe water, Somalia represents
745 the most critical situation and it would be very advantageous to employ these systems on a large
746 scale; in Brazil and in Italy the installation could be beneficial only in specific situations because, in
747 general, the impact of unsafe water related diseases is lower than the whole systems eco-profiles.
748 From the energetic point of view, the saving of fossil resources due to the use of solar energy
749 represents an environmental benefit respect to the installation of boilers burning gas, wood or oil to
750 produce an equivalent amount of heat because their environmental impacts are higher (respectively

751 2.65 mPt/l, 1.21 mPt/l, 3.32 mPt/l). The exergo-environmental analysis shows that no direct
752 environmental damages occur during the operative phase but considering the contribution of exergy
753 destructions, the SCs resulted to be the most impactful component. This conclusion is confirmed
754 also by the LCA that estimates their contribution to the global environmental impact in the range
755 39-49%. A sensitivity analysis performed for the NCS shows that the surface of the collector is a
756 very crucial parameter because variations from -15% to +15% of the surface determine an
757 increasing of the impact that varies between -10% to +10%, but the model is also very dependent on
758 the productivity because the increasing of treated water due to a higher thermal exchange surface
759 would balance it and make the environmental impact sensibly lower; the variations are assessed
760 between +40% and -20%.

761

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763

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770 the paper.

771 Notes

772 The authors declare no conflict of interest.

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- 871

Highlights

- LCA and exergo-environmental analysis are used to evaluate environmental impacts;
- NCS results more sustainable (0.30 mPt/l) than TVS (0.83 mPt/l) in Somalia;
- The most influencing parameter for the result is the systems' water productivity;
- The SCs represent the most impactful components in both systems (about 45%);
- The SCs exergy destructions are more impactful than their LCA burdens.