

# Synthesis of Polycyclic Fused Indoline Scaffolds through a Substrate-Guided Reactivity Switch

Cecilia Ciccolini, Giacomo Mari, Francesco G. Gatti, Giuseppe Gatti, Gianluca Giorgi, Fabio Mantellini, and Gianfranco Favi\*

Cite This: *J. Org. Chem.* 2020, 85, 11409–11425

Read Online

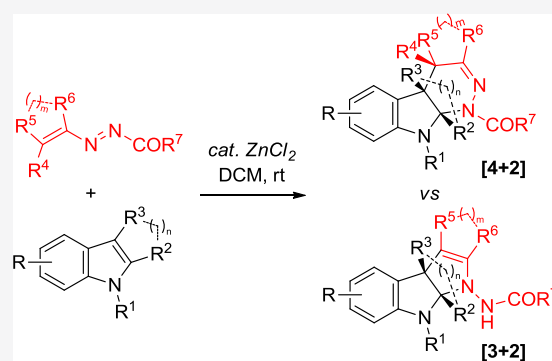
ACCESS |

Metrics & More

Article Recommendations

Supporting Information

**ABSTRACT:** Zn(II)-catalyzed divergent synthesis of functionalized polycyclic indolines through formal [3 + 2] and [4 + 2] cycloadditions of indoles with 1,2-diaza-1,3-dienes (DDs) is reported. The nature and type of substituents of substrates are found to act as a chemical switch to trigger two distinct reaction pathways and to obtain two different types of products upon the influence of the same catalyst. The mechanism of both [4 + 2] and [3 + 2] cycloadditions was investigated and fully rationalized by density functional theory (DFT) calculations.



## INTRODUCTION

Functionalized polycyclic fused indoline frameworks are central molecular architectures in nature and pharmaceuticals.<sup>1</sup> As one of the indolines, C2,C3-fused indolines<sup>2</sup> have attracted extensive research effort over the past decades because scaffolds of this type lead to relatively rigid structures that might be expected to show substantial selectivity in their interactions with enzymes or receptors.<sup>3</sup> Representative naturally occurring polycyclic indolines such as vincorine, minfiensine, gliocladin C, kopsnone, pleiomaltinine, and communesin F are shown in Figure 1.

Among the annelated indolines, the pyrroloindoline, pyridazino indoline skeletons and their related structures, can

be found in numerous natural bioactive products, marketed drugs, and other functional molecules.<sup>4,5</sup> The desire to build such appealing polycyclic frameworks, particularly those with bridgehead amino acetal C2 carbons, has inspired the development of elegant methodologies over the past several years. Among the reported methods, dearomatization of indoles *via* cycloaddition reactions<sup>6</sup> has been demonstrated as a reliable approach in converting simple planar aromatic molecules into structurally complex and stereoselective ring systems.

Following the initial discovery of the inverse electron-demand [4 + 2] cycloaddition reaction of electron-rich alkenes (furans, pyrroles, and indoles) with 1,2-diaza-1,3-dienes (DDs) by Gilchrist et al.,<sup>7</sup> other elegant studies by the groups of Wang<sup>8</sup> and Tan<sup>9</sup> have been recently reported exploiting indoles as nucleophiles.

By taking advantage of the unique reactivity of DDs<sup>10</sup> and intrigued by these and our recent findings in the manipulation of indolyl cores,<sup>11</sup> we reasoned that the proper combination of indole and 1,2-diaza-1,3-diene elements might allow us to design a substrate-controlled divergent approach. In this design, DDs would be used as C2N1 or C2N2 units (1,3 or 1,4 dipole synthons) to realize [3 + 2] and [4 + 2] annulation

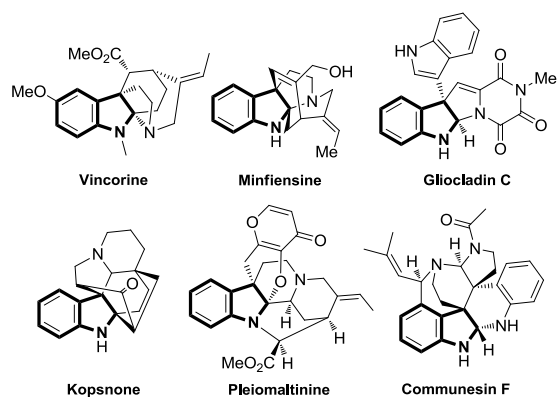
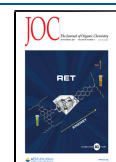


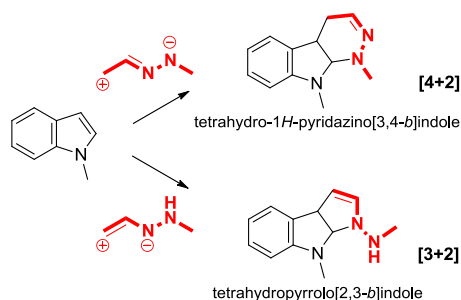
Figure 1. Examples of naturally occurring compounds containing 2,3-fused indolines.

Received: June 23, 2020  
Published: August 12, 2020



reactions of indoles, respectively (Scheme 1). Thus, by tuning the substituents of both substrates upon the influence of the

### Scheme 1. Working Hypothesis: Chemodivergent Synthesis of Polycyclic Fused Indoline Scaffolds



same catalyst, two series of fused indoline-based scaffolds such as tetrahydro-1*H*-pyridazino[3,4-*b*]indoles and tetrahydropyrrolo[2,3-*b*]indoles would be generated with chemodivergence.

Distinct from previous findings, we herein report our successful development of a substituent-controlled divergent synthesis of fused indoline-based scaffolds. These [4 + 2] and [3 + 2] cycloadditions were realized in a straightforward, pretty challenging, and highly atom-economical/diastereoselective manner from rationally designed indole and 1,2-diaza-1,3-diene substrates with C3 and/or C4 position(s) substituted, respectively.

## RESULTS AND DISCUSSION

We began our work by studying the reaction between indole **1a** and cyclic 1,2-diaza-1,3-diene **2a** (Table S1, Supporting Information (SI)). No reaction took place, and both compounds remained inactive in the absence of a Lewis acid catalyst. A series of Lewis acid catalysts [such as Sc(OTf)<sub>3</sub>, Zn(OAc)<sub>2</sub>, ZnSO<sub>4</sub>, Zn(OTf)<sub>2</sub>, SmCl<sub>3</sub>·6H<sub>2</sub>O, LiClO<sub>4</sub>, LiCl, CuCl<sub>2</sub>, Cu(OTf)<sub>2</sub>, CuBr<sub>2</sub>, InBr<sub>3</sub>, ZnBr<sub>2</sub>, and ZnCl<sub>2</sub>] and solvents [such as dichloromethane (DCM), acetone, tetrahydrofuran, acetonitrile, and cyclohexane] were examined, and the combination of ZnCl<sub>2</sub> and CH<sub>2</sub>Cl<sub>2</sub> (heterogeneous catalytic system) was found to be superior for this transformation. Noteworthy, compound **3a** was obtained as a single regio- and diastereoisomer (50% yield).

The substrate scope with respect to various 2,3-unsubstituted indoles **1a–n** and cyclic DDs **2a–h** (see the SI for details) was then examined under the optimized reaction conditions, and a variety of tetrahydro-1*H*-pyridazino[3,4-*b*]indoles (tetracyclic fused ring (6-5-6-6/7/8) systems) **3a–x** was synthesized (Table 1). As shown in Table 1, indoles **1a–n** with different electronic characters were suitable for the reaction, with six-membered cyclic DDs giving the relative fused indoline heterocycles **3a–d** in moderate to good yields. The Zn-catalyzed [4 + 2] cycloaddition reactions were further extended to seven- and eight-membered cyclic DDs. We were glad to find that the use of seven-membered DDs gave rise to the best results in terms of isolated yields. Also, the wide functional group tolerance was well demonstrated by the fact that both electron-donating (5-OMe, 5-, 7-Me) and electron-withdrawing (6-Cl, 5-CO<sub>2</sub>Me, 5-CN, 5-CHO, 5-NO<sub>2</sub>) groups were well tolerated, providing efficient access to the fused indoline heterocycles **3e–s**. Interestingly, the use of the 7-azaindole substrate also worked well to give the product **3t** in

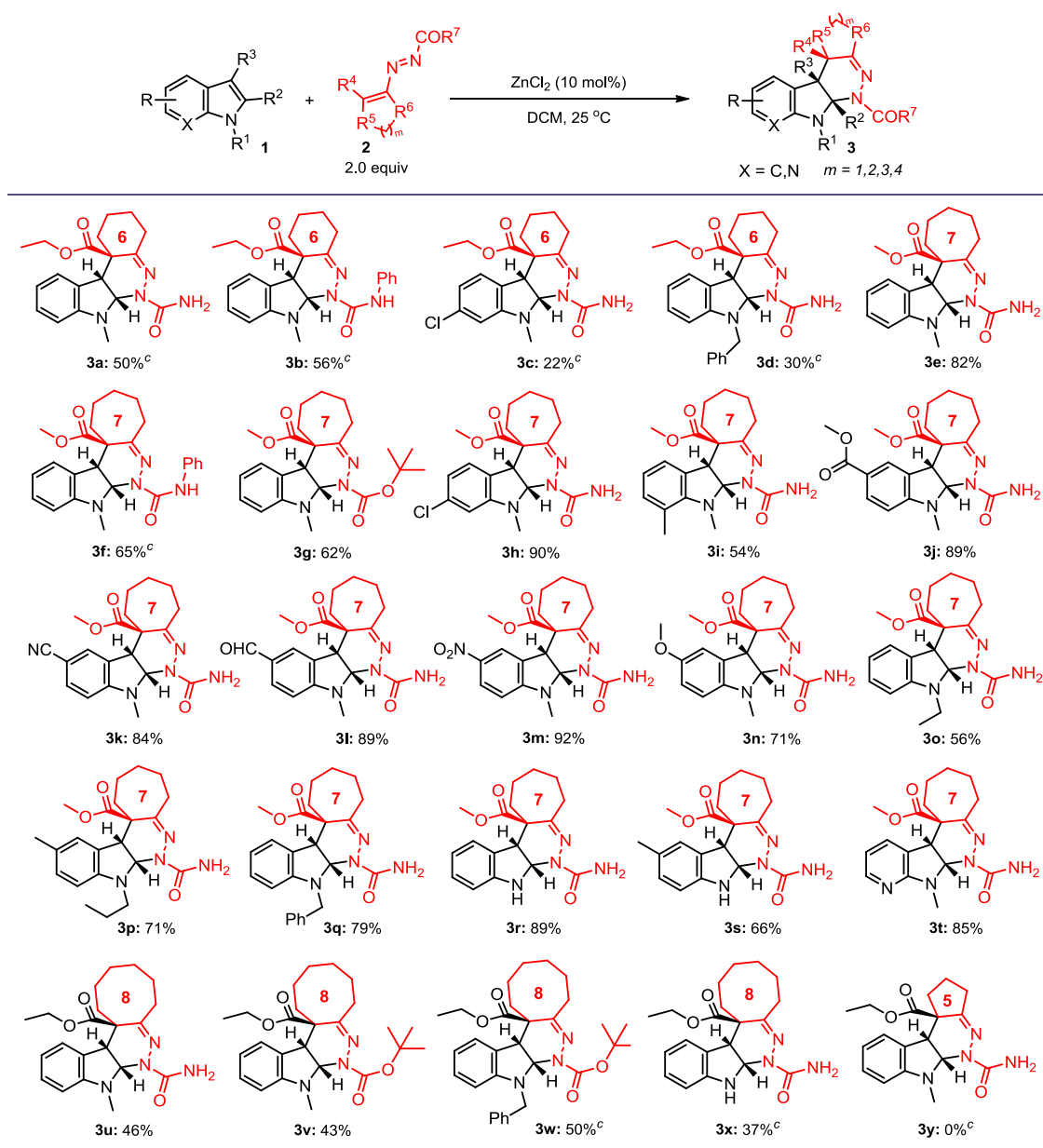
85% isolated yield. The formal [4 + 2] annulation was then extended to DDs bearing cyclooctane, and the reactions furnished the relative products **3u–x** with lower yields than those of seven-membered cyclic DDs. Additionally, the generality of the N-terminal protective group on DDs as well as for the N atom of indoles was explored. Remarkably, free N–H indoles were also compatible with this protocol, albeit slightly lower yields were observed, probably owing to the reduced nucleophilicity at C3 and the reduced electrophilicity at C2 of the starting indole (Scheme 1, **3s** vs **3p**, and **3x** vs **3u**).

No annulation occurred when five-membered cyclic DD was employed under the optimized reaction conditions (**3y**, 0%).<sup>12</sup> The relative configurations of cycloadducts **3** were determined by X-ray diffraction analysis of **3e**<sup>13</sup> (see the SI for detailed X-ray crystallography data), and those of other compounds were assigned by analogy.

During the investigation on the ring size effect of the 1,2-diaza-1,3-diene substrate, it was also noted the formation of ring-opened [4 + 2] byproduct **4**, highlighting the ease of rearomatization of **3** to give a more stable indole derivative. The sensitivity of **3** to the rearomatization process was confirmed by complete transformation of **3b** into **4e** in the presence of Amberlyst 15(H) (*vide infra*, Scheme 4b). This undesirable event appears to be the cause for lowering the [4 + 2] cycloaddition product yields found in some cases. Notably, this pathway remains dominant when the reaction was conducted using *N*-methyl indole (**1a**) or 1,2-dimethyl indole (**1o**) with linear DDs **2j** and **2n** (Scheme 2) in line with what was previously observed in the reactions of 2,3- (and 3-)unsubstituted indoles with cyclic and noncyclic DDs.<sup>7a,10e</sup>

More precisely, the reaction of *N*-methyl indole (**1a**) with linear DD **2n** afforded the more polar ring-opened [4 + 2] product **4a** (48% yield). However, thin-layer chromatography (TLC) analysis revealed the presence of a mixture of the diastereoisomers of pyridazine **3z**. Consistent with Gilchrist's observation,<sup>7b</sup> monitoring the progress of the reaction by <sup>1</sup>H NMR, we detected an initial (preferential) formation of (*cis,cis*)-**3z**, which then partially isomerized to its isomer (*cis,trans*)-**3z** either during the course of the reaction or during chromatographic separation. Despite the isomerization side reaction, both diastereoisomers were isolated ((*cis,cis*)/(*cis,trans*) ~ 2:1, 32% combined yield) and characterized (see the SI for details). On the other hand, the reaction of *N*-methyl indole (**1a**) with DD **2j** or 1,2-dimethyl indole (**1o**) with DD **2j** or **2a** led to the formation of the sole ring-opened [4 + 2] products **4b–d** (Scheme 2). Therefore, given the results with the use of both 2,3- and 3-unsubstituted indoles (associated with the [4 + 2] pyridazine-ring-opening reaction) and to further showcase the flexibility of this catalytic annulation strategy, we next moved our attention to exploring the reactivity of C3-blocked indoles (e.g., 3-substituted and 2,3-disubstituted indoles) with DDs. To our surprise, the reaction of 3-methyl indole (**1p**) with linear DD **2n** led to a mixture of two cycloadducts, the expected tetrahydro-1*H*-pyridazino[3,4-*b*]indole compound **3ab** and the tetrahydropyrrolo[2,3-*b*]indole compound **5a**<sup>14</sup> in a ratio of approximately 1:1, which could possibly be the result of the above-mentioned two competitive reaction pathways<sup>15</sup> (Scheme 2). Interestingly, when 1,3-dimethyl indole (**1q**) was used in combination with DD **2j**, the exclusive formation of product **5b** (46% yield) was detected. As expected, when the reaction was repeated using cyclic DD **2c**, the exclusive formation of the corresponding [4 + 2] product **3ad** (40% yield) (Scheme 2) was observed.

**Table 1. Scope of the Zn(II)-Catalyzed [4 + 2] Cycloaddition Reaction of 2,3-Unsubstituted Indoles (1) and Cyclic Azoalkenes (2)<sup>a,b</sup>**



<sup>a</sup>Reaction conditions: **1** (2.0 mmol), **2** (1.0 mmol), ZnCl<sub>2</sub> (0.1 mmol, 10 mol %), DCM (2.0 mL), 25 °C. <sup>b</sup>Isolated yields. <sup>c</sup>Ring-opened product **4** was also isolated.

Intrigued by the starkly different reaction profile, we next focused our attention on the 2,3-disubstituted indole motif. Unfortunately, the reactions of 2,3-disubstituted indoles such as 2,3-dimethyl indole **1r** and 2,3,4,9-tetrahydro-1*H*-carbazole **1t** with cyclic DD such as **2c** did not work well, and only a trace amount of the respective formal [4 + 2] cycloaddition product was detected in the complex crude reaction mixture (Scheme 2). Explanations for these findings are not immediately intuited, but the steric effect seems to be playing a major role.

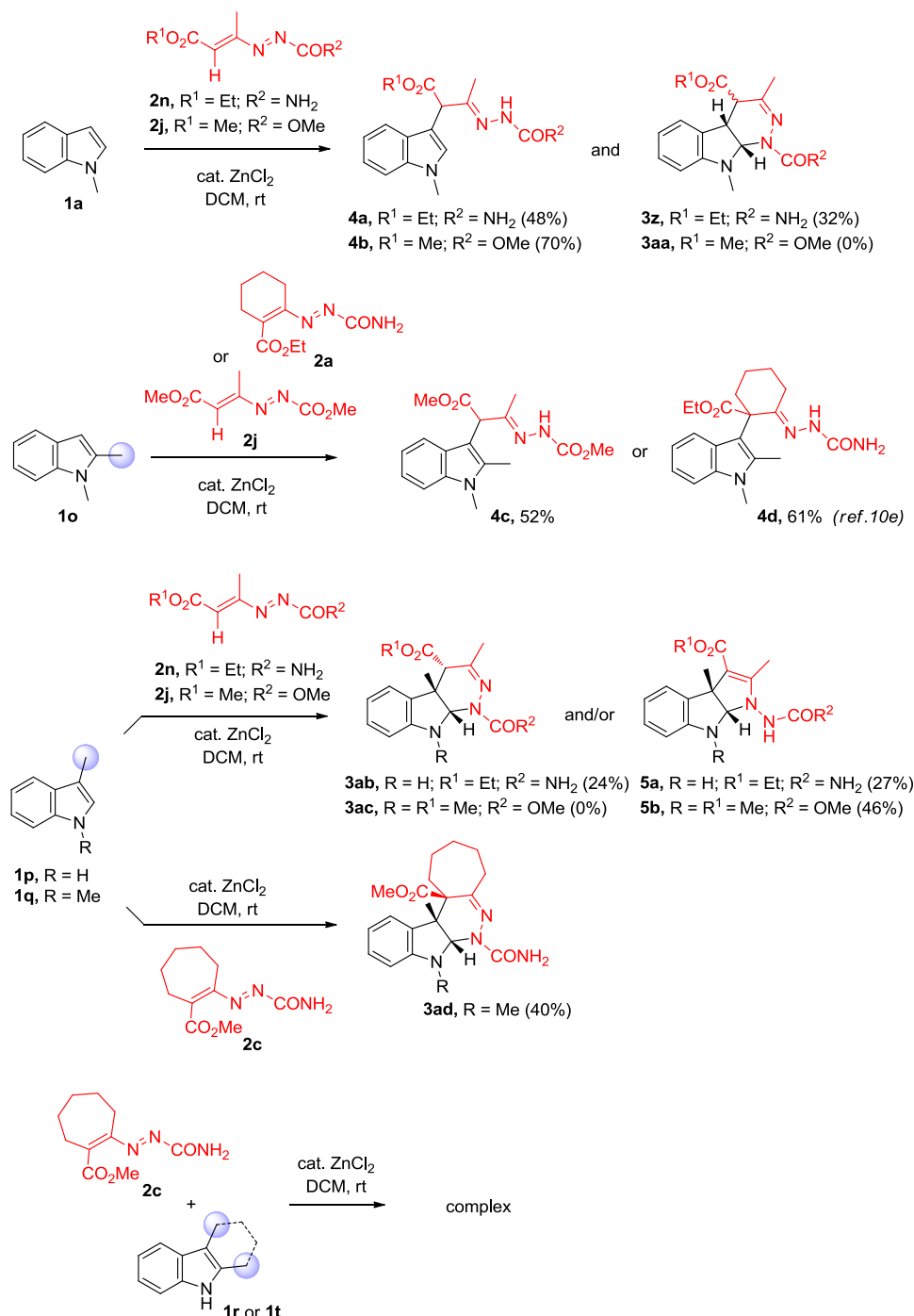
To our pleasure, the reaction of 2,3-dimethyl indole (**1r**) with DD **2j** proved efficient, leading to the relative [3 + 2] cycloadduct **5c** (58% yield) as the sole product. Thus, to further extend the substrate scope, a series of differently 2,3-disubstituted indole entities **1r–z** containing electron-donating

groups (5-OMe and 5-Me) or electron-withdrawing groups (EWGs) (5-Cl) and 4-ester, 4-amide, or 4-phosphonate N-protected linear DDs **2j–s** were tested. Pleasantly, all of the reactions proceeded smoothly and furnished the highly crowded tetrahydropyrrolo[2,3-*b*]indole products **5c–s** in good to excellent yields (Table 2).

The structures of compounds **5a–s** were confirmed by subjecting **5s** to N–N' bond cleavage using the Magnus method.<sup>16</sup> Treatment of compound **5s** with ethyl bromoacetate/Cs<sub>2</sub>CO<sub>3</sub>/MeCN at 50 °C followed by heating to 80 °C resulted in N–N' bond cleavage to the corresponding NH-free tetrahydropyrrolo[2,3-*b*]indole **6a** in 64% isolated yield (Scheme 4a).

As a synthetic strategy, this [3 + 2] annulation affords, in a single operation, the structurally rigid 6-5-5 tricyclic subunit

Scheme 2. Other Substrates Scope Studies

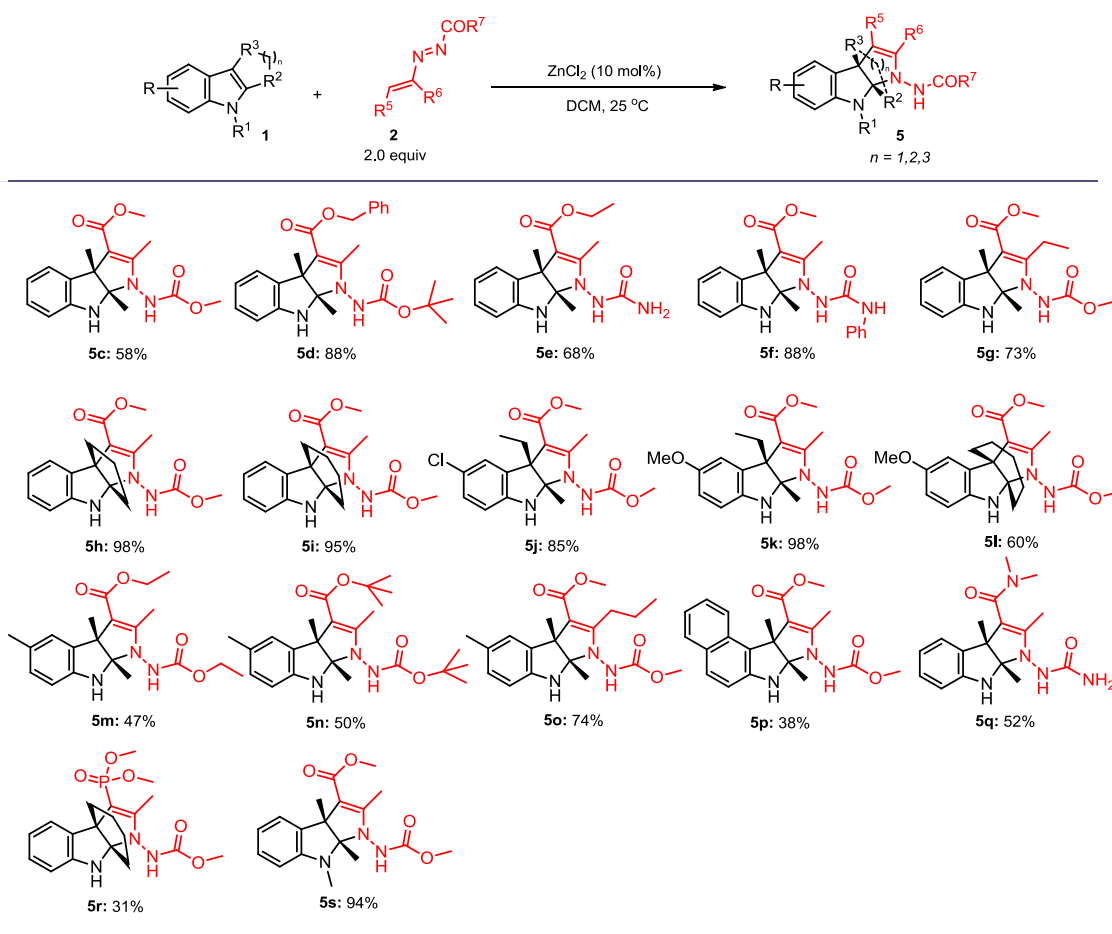


with a substituent at the 3-position of the indole nucleus, which is the basic structure of pharmaceutically valuable natural products.<sup>4</sup> Besides, this nonclassical approach provides access to functionalized pyrroloindoline systems with substitution patterns that are otherwise inaccessible using tryptamines<sup>17</sup> as precursors.

The mechanism of the two divergent cycloadditions was studied by density functional theory (DFT) computational chemistry (model chemistry: B3LYP/6-31-G(d)/SCRF = PCM, solvent = DCM,<sup>18,19</sup> Gaussian16 software;<sup>20</sup> all details are available in the SI). We focused our attention on the reaction of 1,2-diaza-1,3-diene **2n** (**DD**) with 3-methyl indole **1p** (**In**), since such a combination affords both cycloaddition

products, *i.e.*, (*cis,cis*)-**3ab** (with a de of 99% by <sup>1</sup>H NMR) and **5a**, in the ratio of about 1:1, after column chromatography separation (Scheme 2). To begin with, we assumed a concerted mechanism for the [4 + 2] cycloaddition (Figure 2a) and a two-step mechanism for the nonpericyclic [3 + 2] cycloaddition (Figure 2b).

The computed energy reaction paths starting from the *cisoid*-1,2-diaza-1,3-diene·ZnCl<sub>2</sub> catalytic complex (*cisoid*-**DD**·ZnCl<sub>2</sub>) leading to the complex *endo*-cycle·ZnCl<sub>2</sub> and to *exo*-cycle·ZnCl<sub>2</sub> are reported in Figure 3a; since the reaction is highly exoergonic, both reaction trajectories go through a typical reactant-like transition state [TS]<sup>‡</sup> having pericyclic

Table 2. Scope of the Zn(II)-Catalyzed [3 + 2] Cycloaddition Reaction of 2,3-Substituted Indoles (1) and Linear Azoalkenes (2)<sup>a,b</sup>

<sup>a</sup>Reaction conditions: **1** (0.6 mmol), **2** (0.4 mmol), ZnCl<sub>2</sub> (0.04 mmol, 10 mol %), DCM (2.0 mL), 25 °C. <sup>b</sup>Isolated yields.

topology. Both *exo* and *endo* transition states ([TS]<sub>exo</sub><sup>‡</sup> and [TS]<sub>endo</sub><sup>‡</sup>) are shown in Figure 3b.

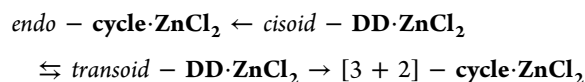
The computations show clearly that the observed high diastereoselectivity toward the formation of the slightly less stable (*cis,cis*)-**3ab** pyridazino indoline ((*cis,cis*) → (*cis,trans*),  $\Delta G^\circ = -2.66$  kcal mol<sup>-1</sup>) is obtained under kinetic control. Indeed, since its *endo* cyclic precursor is substantially more stable than the *exo* adduct ( $\Delta\Delta G^\ddagger = -1.70$  kcal mol<sup>-1</sup>, mainly for the lack of the steric clashes of the two methyl groups; see Figure 2a), the two associated activation energy barriers are very different ( $\Delta G^\ddagger = 9.02$  vs 10.46 kcal mol<sup>-1</sup>); thus, the *endo* path is kinetically more favorable. Interestingly, in both [TS]<sup>‡</sup>, the ratio between the two forming C–C and C–N single bonds is about 1.3 (Figure 3b), which is symptomatic of an asynchronous concerted transition state.<sup>21</sup>

The comparison of the [3 + 2] cycloaddition energy diagram of the two stepwise mechanisms with that of the concerted cycloaddition suggested by Gilchrist et al. with very similar substrates<sup>7b,8</sup> shows clearly that the latter mechanism is not active in our case (Figure 4).

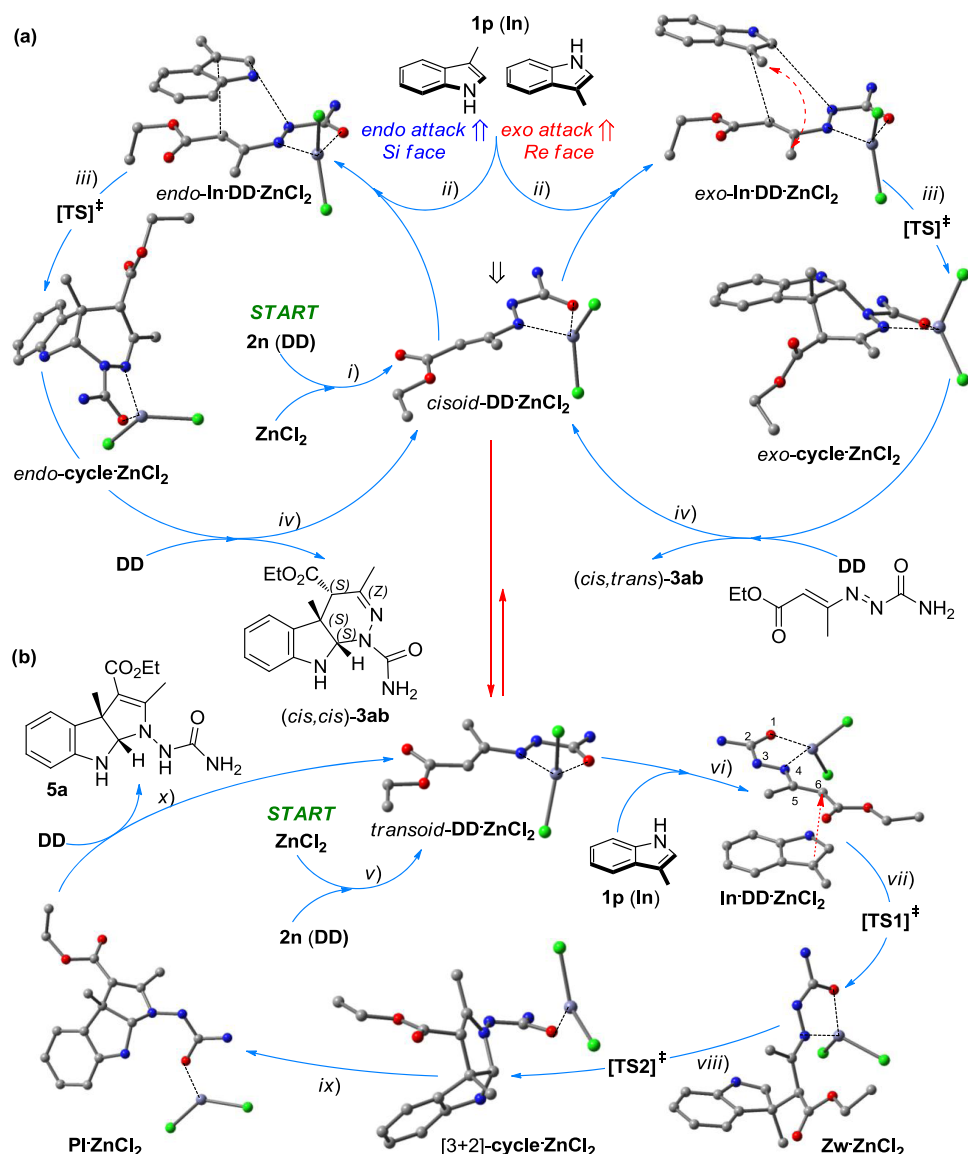
The stepwise catalytic cycle is based on the formation of the very stable *transoid*-DD·ZnCl<sub>2</sub> (*transoid/cisoid*, 99.4:0.6; see the SI), followed by the [1,6]-addition of indole to give the zwitterionic intermediate (Zw·ZnCl<sub>2</sub>) through [TS1]<sup>‡</sup>; then, the latter ring closes to form the nonchelated [3 + 2]-*cycle*·ZnCl<sub>2</sub> complex through [TS2]<sup>‡</sup>. According to our computa-

tions, the energy barriers associated with these two steps are very similar ( $\Delta G_1^\ddagger = 13.41$  kcal mol<sup>-1</sup> vs  $\Delta G_2^\ddagger = 12.04$  kcal mol<sup>-1</sup>). However, the catalytic cycle ends through the following non-rate-limiting steps: [1,3]-H shift (tautomerization), product delivery, and *transoid*-DD·ZnCl<sub>2</sub> catalytic complex restoration by substitution with a new molecule of DD.

Finally, as a corollary of the above-reported computations, we used them to evaluate the order of magnitude of the product ratio [(*cis,cis*)-pyridazino indoline (**3ab**)]/[pyrazolo indoline (**5b**)] in comparison with the value experimentally obtained (~1:1, after column chromatography separation). To this end, we have conveniently summarized the scheme of the two divergent cyclization reactions as follows



Since the two-reactant catalytic complexes (the *cisoid*-DD·ZnCl<sub>2</sub> and the *transoid*-DD·ZnCl<sub>2</sub>) are in equilibrium, and their interconversion is much faster than the cycloaddition reaction rates, it is possible to apply the Curtin–Hammett equation,<sup>22</sup> which, in our case with a  $\Delta\Delta G^\ddagger = [\text{TS}]_{\textit{endo}}^\ddagger - [\text{TS1}]^\ddagger = 0.50$  kcal mol<sup>-1</sup>, gave a ratio of 7:3, (*cis,cis*)-**3ab** and pyrazolo indoline **5b**, respectively. We reckon that this result is



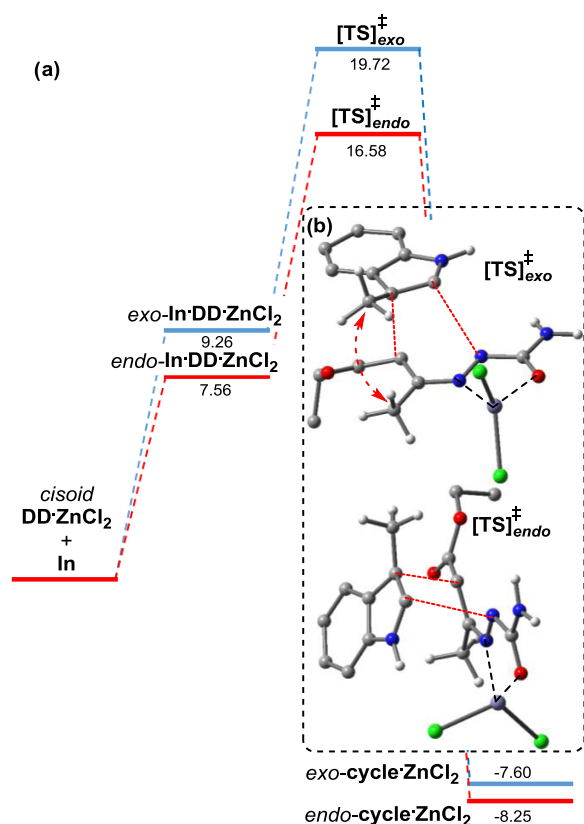
**Figure 2.** Catalytic cycles for the model reactants **2n** (DD) and **1p** (In) catalyzed by  $\text{ZnCl}_2$ . (a) [4 + 2] cycloaddition: (i) *cisoid*-DD· $\text{ZnCl}_2$  catalytic complex formation; (ii) exo or endo adduct formation, *exo*-In·DD· $\text{ZnCl}_2$  or *endo*-In·DD· $\text{ZnCl}_2$ ; (iii) cycloaddition through the transition state [TS]<sup>‡</sup> affording the pyridazino indoline product complex, *endo*-cycle· $\text{ZnCl}_2$  or *exo*-cycle· $\text{ZnCl}_2$ ; (iv) substitution with DD affording (*cis,trans*)-**3ab** and *cisoid*-DD· $\text{ZnCl}_2$  restoration. (b) [3 + 2] Cycloaddition: (v) *transoid*-DD· $\text{ZnCl}_2$  catalytic complex formation; (vi) nonpericyclic In·DD· $\text{ZnCl}_2$  adduct formation; (vii) [1,6]-addition to form the zwitterionic intermediate *Zw*· $\text{ZnCl}_2$  through the transition state [TS1]<sup>‡</sup>; (viii) ring-closure through [TS2]<sup>‡</sup> affording the nonchelated [3 + 2]-cycle· $\text{ZnCl}_2$  complex; (ix) [1,3]-H shift (tautomerization) giving the pyrazolo indoline product complex, *PI*· $\text{ZnCl}_2$ ; (x) substitution with DD affording **5a** and restoring the *transoid*-DD· $\text{ZnCl}_2$ . For clarity, the H atoms of the DFT-optimized structures are omitted.

fair enough, considering the chemical accuracy attainable via the used model chemistry.

Combining the above experimental results, DFT studies, and available literature,<sup>7,10e</sup> a reasonable mechanism for these annulation processes is summarized in Scheme 3. Two competing (and independent) reaction pathways for both the tetrahydro-1*H*-pyridazino[3,4-*b*]indole and tetrahydropyrrolo[2,3-*b*]indole derivatives appeared to take place upon initial  $\text{ZnCl}_2$  activation of the 1,2-diaza-1,3-diene substrate. The [4 + 2] cycloaddition (path a) can be simply rationalized as a concerted inverse hetero-Diels–Alder reaction. The preference for an *endo* cycloaddition transition state, which requires the *cisoid* conformation for DD **2** (II), supports the high observed diastereoselectivity for product **3**.<sup>23</sup> Alternatively, [3 + 2] annulation (path b) can be viewed as proceeding via a stepwise

process. Regioselective 1,6-addition of the indole nucleophile **1** on activated DD **2** (I) that is in a *transoid* conformation affords the zwitterionic intermediate **IV**, which undergoes intramolecular 5-*exo*-trig cyclization collapsing to the five-membered azomethine imide **V**. The subsequent 1,3-H shift furnishes via intermediate **VI** the tetrahydropyrrolo[2,3-*b*]indole product **5** and restores the  $\text{ZnCl}_2$ –diene catalytic complex.<sup>24</sup> The fact that the indole **1q** gave both [4 + 2] and [3 + 2] cycloadducts using cyclic ( $\text{R}^3 \neq \text{H}$ ) and linear ( $\text{R}^3 = \text{H}$ ) DDs (**3ad** vs **5b**) supported this mechanism scenario.

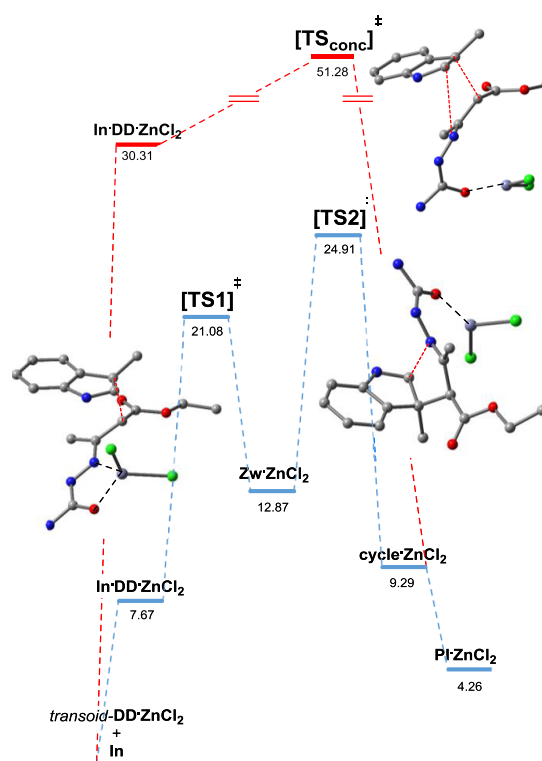
Likewise, the borderline example of Scheme 2 in which both cycloadducts **3ab** and **5a** concurrently formed<sup>15</sup> from **1p** and **2n** illustrates the delicate balance and subtle nuances between the two annulation processes. It is evident that, in the presence of additional substituents on the indole ring ( $\text{R}^3 \neq \text{H}$ ), the [3 +



**Figure 3.** (a) DFT-computed Gibbs free energy profile of the rate-limiting step of the [4 + 2] cycloaddition in CH<sub>2</sub>Cl<sub>2</sub> at 298 K for reagents 1,2-diaza-1,3-diene **2n** and indole **1p**. The energies (kcal mol<sup>-1</sup>) are reported with respect to the *cisoid*-DD·ZnCl<sub>2</sub> and In species. (b) Structures of endo and exo transition states; for clarity, some H atoms have been omitted.

2] mode of addition becomes competitive since the concerted [4 + 2] pathway is more susceptible to steric inhibition. Moreover, it was quite interesting to note that when six-membered cyclic 1,2-diaza-1,3-diene **2i** was reacted with **1s**, the exclusive formation of the [4 + 2] cycloaddition product **3ae** was observed (Scheme 4c). Similarly, the use of linear 1,2-diaza-1,3-diene **2t** yielded the product **3af** (Scheme 4d). Our control experiments illustrate that the absence of EWG groups like esters, amides, or phosphonates in the C4 position of the starting DD (R<sup>4</sup> = H; R<sup>5</sup> ≠ CO<sub>2</sub>R, CONR<sub>2</sub>, and PO(OR)<sub>2</sub>), which likely disfavors the proton transfer process (V → VI), also privileged the [4 + 2] mode of addition.

With this work, we have demonstrated that the nature and type of substituents of both 1,2-diaza-1,3-diene and indole substrates are critical factors dictating chemoselectivity in the annulation process. Notably, the presence of a H atom in the C3 position of the indole ring is responsible for the observed ring-opened [4 + 2] product **4**. As already evidenced, this event becomes prevailing when *N*-methyl indole (**1a**) or 1,2-dimethyl indole (**1o**) is used as the nucleophile. To our surprise, when R<sup>3</sup> = H, neither the formation of the [3 + 2] annulation product nor the ring-opened [3 + 2] product of type **7** described by Tan and co-workers was observed.<sup>25</sup> This result shows that when R<sup>3</sup> = H, the indole rearomatization process from **3** (and/or eventually from intermediate **IV**) to **4** is the preferred one.



**Figure 4.** Computed Gibbs free energy profile of the [3 + 2] cyclization: stepwise mechanism (blue path) vs the concerted mechanism (red path) in CH<sub>2</sub>Cl<sub>2</sub> at 298 K. The energies (kcal mol<sup>-1</sup>) are reported with respect to the *transoid*-DD·ZnCl<sub>2</sub> and In species. For clarity, the H atoms of transition-state structures have been omitted.

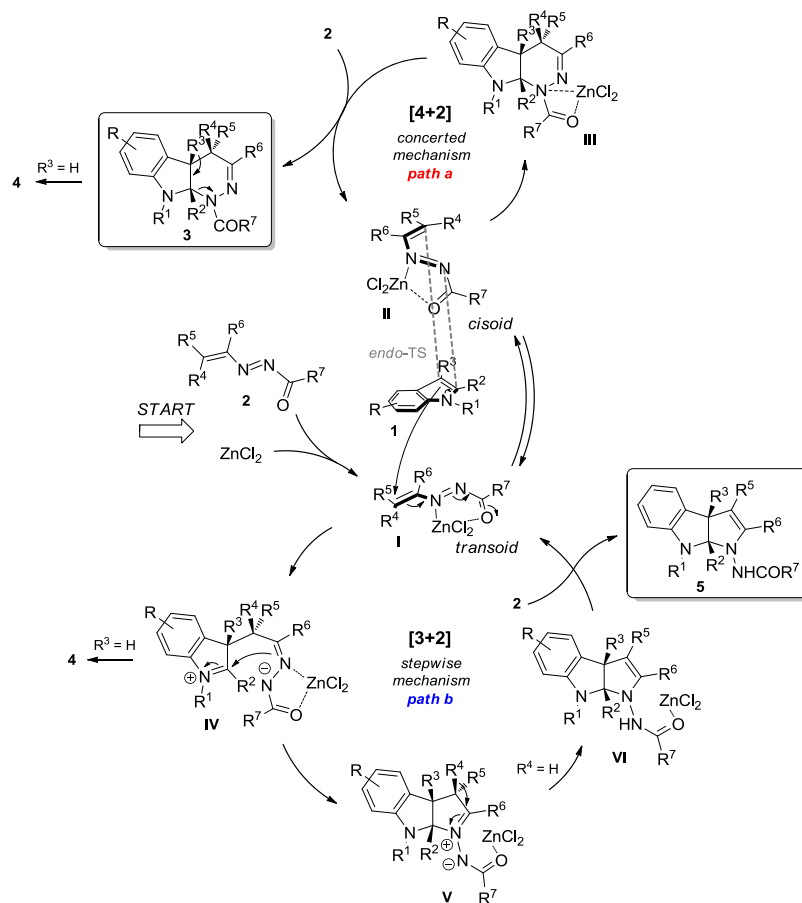
## CONCLUSIONS

In conclusion, we have developed substrate-dependent divergent annulation reactions<sup>26</sup> of indoles with 1,2-diaza-1,3-dienes. By virtue of the versatility of these latter in switching reactivities, efficient synthesis of two types of polycyclic fused indoline scaffolds tetrahydro-1*H*-pyridazino-[3,4-*b*]indoles and tetrahydropyrrolo[2,3-*b*]indoles was achieved. The DFT study revealed that [4 + 2] cycloadditions are concerted but quite asynchronous, while [3 + 2] reactions go undoubtedly through a stepwise mechanism. Our approach expands the scope of polycyclic fused indoline synthesis and increases the flexibility of synthetic strategies toward heterocycle-based scaffolds. Remarkably, the reactions feature a high step- and atom-economy, high chemo- and diastereoselectivity, broad substrate scope, good functional group tolerance, and readily accessible starting materials. The successful construction of unique rigid polycyclic skeletons, particularly those with challenging bridgehead *N,N*-aminal quaternary centers, enriches the chemistry of both indoles and 1,2-diaza-1,3-dienes.

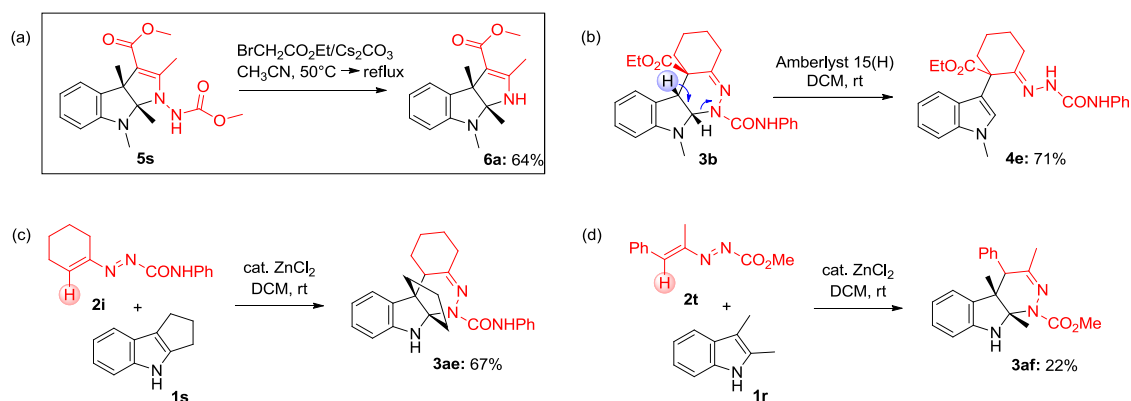
## EXPERIMENTAL SECTION

**General Experimental Details.** Indoles **1a**, **1l**, **1m**, **1o**, **1p**, **1r**, and **1s** are commercially available reagents and used without further purification. *N*-Alkylindole derivatives **1b–k**, **1n**, and **1q** were prepared from corresponding commercially available NH-indoles following literature procedures.<sup>27</sup> 3,4-Disubstituted indoles **1t–z** were synthesized from corresponding phenylhydrazine hydrochlorides as starting materials via Fisher indole synthesis according to the literature.<sup>28</sup> 1,2-Diaza-1,3-dienes (DDs) **2a–t** were synthesized from

Scheme 3. Plausible Reaction Mechanism for Zn(II)-Catalyzed Annulation Reactions



Scheme 4. Control Experiments



the corresponding hydrazones following literature procedures.<sup>29</sup> Chromatographic purification of compounds was carried out on silica gel (60–200  $\mu\text{m}$ ). TLC analysis was performed on preloaded (0.25 mm) glass-supported silica gel plates (Kieselgel 60); compounds were visualized by exposure to UV light and by dipping the plates in 1%  $\text{Ce}(\text{SO}_4)_4 \cdot 4\text{H}_2\text{O}$  and 2.5%  $(\text{NH}_4)_6\text{Mo}_7\text{O}_{24} \cdot 4\text{H}_2\text{O}$  in 10% sulfuric acid, followed by heating on a hot plate. All  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were recorded at 400 and 100 MHz, respectively, using dimethyl sulfoxide ( $\text{DMSO}-d_6$ ) or  $\text{CDCl}_3$  on  $\text{K}_2\text{CO}_3$  as the solvent. Chemical shifts ( $\delta$  scale) are reported in parts per million (ppm) relative to the central peak of the solvent and are sorted in a descending order within each group. The following abbreviations are used to describe peak patterns where appropriate: s, singlet; d, doublet; t, triplet; q, quartet; sex, sextet; m, multiplet; and br, broad signal. All coupling constants ( $J$  value) are given in hertz (Hz).

Structural assignments were made with additional information from gradient correlation spectroscopy (gCOSY), gradient heteronuclear multiple quantum correlation (gHMQC), gradient heteronuclear multiple bond correlation (gHMBC), and nuclear Overhauser enhancement spectroscopy (NOESY) experiments. Fourier transform infrared (FT-IR) spectra were obtained as Nujol mulls or neat. High- and low-resolution mass spectroscopies were performed on a Micromass Q-ToF Micro mass spectrometer (Micromass, Manchester, U.K.) using an electrospray ionization (ESI) source. Melting points were determined in open capillary tubes and are uncorrected. Elemental analyses were within  $\pm 0.4$  of the theoretical values (C, H, N).

**General Procedure for the Formal [4 + 2] Cycloaddition Reactions of Indoles 1 with Cyclic Azoalkenes 2.** A mixture of indole 1 (2.0 mmol), azoalkene 2 (1.0 mmol), and zinc dichloride













chromatography (ethyl acetate/cyclohexane 90:10) in 31% yield (50.6 mg); white solid; mp: 198–200 °C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 9.14 (s, 1H), 7.20 (d, *J* = 7.6 Hz, 1H), 6.91 (t, *J* = 7.6, 1H), 6.59 (t, *J* = 7.6, 1H), 6.46 (d, *J* = 7.6 Hz, 1H), 6.01 (s, 1H), 3.62 (s, 3H), 3.35 (s, 3H), 3.18 (s, 3H), 1.91 (s, 3H), 1.75–1.96 (m, 1H), 0.91–1.54 (m, 7H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 160.0, 157.0, 149.7, 131.5, 127.0, 124.1, 117.4, 108.4, 97.0, 91.7, 59.7, 56.1 (<sup>2</sup>*J*<sub>CP</sub> = 10.1 Hz), 51.9, 50.9 (<sup>2</sup>*J*<sub>CP</sub> = 4.3 Hz), 31.5, 26.3, 20.7, 19.3, 11.6; IR (nujol): *v*<sub>max</sub> = 3319, 3283, 1695 cm<sup>-1</sup>; MS (ESI) *m/z* = 408 [M + H]<sup>+</sup>; anal. calcd for C<sub>19</sub>H<sub>26</sub>N<sub>3</sub>O<sub>3</sub>P (407.40): C 56.01, H 6.43, N 10.31; found: C 56.16, H 6.35, N 10.18.

(3*aR*\*,8*aS*\*)-Methyl 1-((Methoxycarbonyl)amino)-2,3*a*,8,8*a*-tetramethyl-1,3*a*,8,8*a*-tetrahydropyrrolo[2,3-*b*]indole-3-carboxylate (5*s*). The product 5*s* was isolated by column chromatography (ethyl acetate/cyclohexane 25:75) in 94% yield (129.9 mg); white solid; mp: 155–157 °C. Notably, compound 5*q* at NMR analysis shows two sets of peaks. This fact is probably ascribable to the presence of a second axis along the N–N bond that determines the existence of syn/anti rotamers of carbamates.<sup>9,30</sup> <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 9.59 and 9.38 (s, 1H), 7.32 and 7.29 (d, *J* = 7.6 Hz, 1H), 7.01 and 6.96 (dt, *J*<sub>1</sub> = 7.6 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.59 and 6.56 (dt, *J*<sub>1</sub> = 7.6 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.37 and 6.33 (d, *J* = 7.6 Hz, 1H), 3.67 and 3.66 (s, 3H), 3.63 and 3.59 (s, 3H), 2.75 and 2.69 (s, 3H), 1.99 and 1.95 (s, 3H), 1.45 and 1.39 (s, 3H), 1.30 and 1.26 (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 166.1 and 165.5, 160.9 and 158.8, 157.0 and 156.4, 149.4 and 148.8, 134.4 and 133.1, 127.4 and 127.2, 124.2 and 123.4, 117.5 and 117.1, 105.7 and 104.9, 102.8 and 102.5, 95.5 and 95.1, 55.6 and 55.0, 52.3 and 52.1, 50.1 and 49.8, 29.8 and 27.9, 21.1 and 19.8, 14.2 and 13.6, 12.0 and 11.8; IR (nujol): *v*<sub>max</sub> = 3369, 1741, 1693 cm<sup>-1</sup>; MS (ESI) *m/z* = 346 [M + H]<sup>+</sup>; anal. calcd for C<sub>18</sub>H<sub>23</sub>N<sub>3</sub>O<sub>4</sub> (345.39): C 62.59, H 6.71, N 12.17; found: C 62.43, H 6.80, N 12.31.

Ethyl 3-(2-Carbamoylhydrazono)-2-(1-methyl-1*H*-indol-3-yl)-butanoate (4*a*). The product 4*a* was isolated by column chromatography (ethyl acetate/cyclohexane 80:20) in 48% yield (151.8 mg); white solid; mp: 189–191 °C, <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 8.73 (br, 1H), 7.56 (d, *J* = 7.6 Hz, 1H), 7.30 (d, *J* = 7.6 Hz, 1H), 7.23 (t, *J* = 7.6 Hz, 1H), 7.08–7.13 (m, 2H), 5.99 (br, 1H), 5.67 (br, 1H), 4.87 (s, 1H), 4.23 (q, *J* = 7.2 Hz, 2H), 3.76 (s, 3H), 1.86 (s, 3H), 1.29 (s, *J* = 7.8 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, CDCl<sub>3</sub>) δ 171.0, 158.2, 147.4, 137.0, 128.0, 127.2, 121.9, 119.5, 119.2, 109.4, 108.1, 61.2, 52.0, 32.8, 14.2, 13.9; IR (nujol): *v*<sub>max</sub> = 3502, 3387, 3177, 1738, 1693 cm<sup>-1</sup>; MS (ESI) *m/z* = 317 [M + H]<sup>+</sup>; anal. calcd for C<sub>16</sub>H<sub>20</sub>N<sub>4</sub>O<sub>3</sub> (316.35): C 60.75, H 6.37, N 17.71; found: C 60.61, H 6.25, N 17.82.

Methyl 2-(4-Methoxy-3-(1-methyl-1*H*-indol-3-yl)-4-oxobutan-2-ylidene)hydrazinecarboxylate (4*b*). The product 4*b* was isolated by column chromatography (ethyl acetate/cyclohexane 40:60) in 70% yield (222.2 mg); white solid; mp: 189–191 °C, <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 9.88 (s, 1H), 7.45 (d, *J* = 8.0 Hz, 1H), 7.41 (d, *J* = 8.0 Hz, 1H), 7.33 (s, 1H), 7.16 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 7.03 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 4.87 (s, 1H), 3.77 (s, 3H), 3.68 (s, 6H), 1.79 (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 171.6, 155.1, 151.5, 137.0, 129.0, 127.3, 121.8, 119.5, 119.2, 110.3, 108.0, 52.4, 52.3, 51.8, 32.9, 14.9; IR (nujol): *v*<sub>max</sub> = 3354, 1740, 1726, 1696 cm<sup>-1</sup>; MS (ESI) *m/z* = 318 [M + H]<sup>+</sup>; anal. calcd for C<sub>16</sub>H<sub>19</sub>N<sub>3</sub>O<sub>4</sub> (317.33): C 60.56, H 6.03, N 13.24; found: C 60.42, H 6.12, N 13.31.

Methyl 2-(3-(1,2-Dimethyl-1*H*-indol-3-yl)-4-methoxy-4-oxobutan-2-ylidene)hydrazinecarboxylate (4*c*). The product 4*c* was isolated by column chromatography (ethyl acetate/cyclohexane 30:70) in 52% yield (172.3 mg); white solid; mp: 223–225 °C, <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 9.84 (s, 1H), 7.35–7.42 (m, 2H), 7.08 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.97 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 4.85 (s, 1H), 3.68 (s, 3H), 3.66 (s, 3H), 3.63 (s, 3H), 2.34 (s, 3H), 1.75 (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 172.1, 155.0, 152.3, 136.7, 136.1, 127.0, 120.7, 119.4, 118.6, 109.7, 104.6, 52.2, 52.1, 51.5, 29.9, 15.5, 10.6; IR (nujol): *v*<sub>max</sub> = 3365, 1743, 1732, 1701 cm<sup>-1</sup>; MS (ESI) *m/z* = 332 [M + H]<sup>+</sup>; anal. calcd for C<sub>17</sub>H<sub>21</sub>N<sub>3</sub>O<sub>4</sub> (331.36): C 61.62, H 6.39, N 12.68; found: C 61.57, H 6.50, N 12.52.

Ethyl 2-(2-Carbamoylhydrazono)-1-(1,2-dimethyl-1*H*-indol-3-yl)cyclohexanecarboxylate (4*d*).<sup>10e</sup> The product 4*d* was isolated by column chromatography on silica gel (ethyl acetate/cyclohexane 60:40) in 61% yield (113.0 mg); white solid; mp: 207–210 °C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 9.57 (s, 1H), 7.37 (t, *J* = 9.2 Hz, 2H), 7.04 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 0.8 Hz, 1H), 6.91 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 0.8 Hz, 1H), 5.84 (br, 2H), 3.94–4.13 (m, 2H), 3.64 (s, 3H), 2.67–2.91 (m, 2H), 2.25 (s, 3H), 2.14–2.23 (m, 2H), 1.38–1.59 (m, 4H), 1.09 (t, *J* = 7.2 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 173.2, 157.4, 152.6, 136.2, 135.0, 126.3, 119.8, 119.4, 118.6, 109.3, 108.1, 60.3, 56.9, 35.7, 29.3, 25.5, 24.8, 21.5, 13.9, 11.6; IR (nujol): *v*<sub>max</sub> = 3510, 3393, 3182, 1734, 1687 cm<sup>-1</sup>; MS (ESI) *m/z* = 371 [M + H]<sup>+</sup>; anal. calcd for C<sub>20</sub>H<sub>26</sub>N<sub>4</sub>O<sub>3</sub> (370.45): C 64.84, H 7.07, N 15.12; found: C 64.69, H 6.99, N 14.99.

**Procedure for the Ring-Opening Reaction of Tetrahydro-1*H*-pyridazino[3,4-*b*]indole (3*b*).** To a solution of compound 3*b* (0.4 mmol) in dichloromethane (2 mL), Amberlyst 15(H) (500 mg/mmol) was added. After the disappearance of starting 3*b* (TLC check, 20 h), the crude mixture was purified by column chromatography on silica gel to afford product 4*e*.

Ethyl 1-(1-Methyl-1*H*-indol-3-yl)-2-(2-(phenylcarbamoyl)hydrazono)cyclohexanecarboxylate (4*e*). The product 4*e* was isolated by column chromatography (ethyl acetate/cyclohexane 25:75) in 71% yield (122.8 mg); white solid; mp: 210–212 °C, <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 10.02 (s, 1H), 7.51 (t, *J* = 8.4 Hz, 2H), 7.33 (s, 1H), 7.25 (s, 1H), 7.18 (dt, *J*<sub>1</sub> = 8.4 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 7.07 (t, *J* = 8.4 Hz, 2H), 6.96 (dt, *J*<sub>1</sub> = 8.4 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.85 (dt, *J*<sub>1</sub> = 8.4 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.48 (d, *J* = 8.4 Hz, 2H), 4.05–4.19 (m, 2H), 3.79 (s, 3H), 3.04 (d, *J* = 14.4 Hz, 1H), 2.68 (d, *J* = 14.4 Hz, 1H), 2.11–2.23 (m, 2H), 1.79–1.85 (m, 2H), 1.44–1.55 (m, 2H), 1.13 (t, *J* = 7.2 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 172.5, 153.3, 151.0, 138.1, 137.1, 128.3, 127.5, 126.6, 121.9, 120.9, 120.8, 118.8, 117.5, 113.5, 109.8, 60.7, 55.5, 35.6, 32.4, 25.5, 25.3, 22.6, 13.9; IR (nujol): *v*<sub>max</sub> = 3190, 3088, 1726, 1681 cm<sup>-1</sup>; MS (ESI) *m/z* = 433 [M + H]<sup>+</sup>; anal. calcd for C<sub>25</sub>H<sub>28</sub>N<sub>4</sub>O<sub>3</sub> (432.51): C 69.42, H 6.53, N 12.95; found: C 69.57, H 6.44, N 12.87.

Ethyl 2-(2-Carbamoylhydrazono)-1-(1-methyl-1*H*-indol-3-yl)cyclopentanecarboxylate (4*f*). The product 4*f* (see Scheme 1) was isolated by column chromatography (ethyl acetate/cyclohexane 90:10) in 67% yield (229.4 mg); white solid; mp: 168–170 °C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 9.14 (s, 1H), 7.44 (d, *J* = 8.0 Hz, 1H), 7.38 (d, *J* = 8.0 Hz, 1H), 7.12 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 0.8 Hz, 1H), 7.09 (s, 1H), 6.98 (dt, *J*<sub>1</sub> = 8.0 Hz, *J*<sub>2</sub> = 0.8 Hz, 1H), 5.92 (br, 2H), 4.04–4.12 (m, 2H), 3.73 (s, 3H), 2.43–2.56 (m, 3H), 2.29–2.36 (m, 1H), 1.62–1.87 (m, 2H), 1.08 (t, *J* = 7.2 Hz, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 172.7, 156.9, 156.4, 137.2, 127.5, 126.1, 121.0, 120.2, 118.4, 113.4, 109.8, 60.6, 56.8, 36.2, 32.3, 27.9, 21.4, 14.1; IR (nujol): *v*<sub>max</sub> = 3470, 3200, 3160, 1733, 1696 cm<sup>-1</sup>; MS (ESI) *m/z* = 343 [M + H]<sup>+</sup>; anal. calcd for C<sub>18</sub>H<sub>22</sub>N<sub>4</sub>O<sub>3</sub> (342.39): C 63.14, H 6.48, N 16.36; found: C 62.98, H 6.58, N 16.45.

**Ethyl Bromoacetate-Assisted Cleavage of the N–N Bond in 5*s* (Magnus' Procedure<sup>16</sup>).** Ethyl 2-bromoacetate (1.5 equiv) and Cs<sub>2</sub>CO<sub>3</sub> (2.5 equiv) were added to a solution of compound 5*s* (0.3 mmol) in acetonitrile (2 mL). The reaction mixture was stirred in an oil bath heated at 50 °C until the starting material was consumed (TLC check, 1 h) and then refluxed for an additional 1.5 h (TLC check). The crude mixture was filtered and then purified by column chromatography on silica gel to afford the product 6*a*. The NMR experiments show that the title compound has no rotamers.

(3*aR*\*,8*aR*\*)-Methyl 2,3*a*,8,8*a*-Tetramethyl-1,3*a*,8,8*a*-tetrahydropyrrolo[2,3-*b*]indole-3-carboxylate (6*a*). The product 6*a* was isolated by column chromatography (ethyl acetate/cyclohexane 30:70) in 64% yield (52.3 mg); whitish oil; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 7.45 (s, 1H), 7.26 (dd, *J*<sub>1</sub> = 7.6 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.92 (dt, *J*<sub>1</sub> = 7.6 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.52 (dt, *J*<sub>1</sub> = 7.6 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 6.30 (dd, *J*<sub>1</sub> = 7.6 Hz, *J*<sub>2</sub> = 1.2 Hz, 1H), 3.55 (s, 3H), 2.67 (s, 3H), 2.03 (s, 3H), 1.39 (s, 3H), 1.27 (s, 3H); <sup>13</sup>C{<sup>1</sup>H} NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ 165.9, 159.3, 149.3, 134.7, 126.8, 123.8, 116.9, 104.9, 101.6, 90.9, 56.4, 49.3, 28.2, 19.8, 17.4, 14.5; IR (nujol): *v*<sub>max</sub> = 3369, 1741 cm<sup>-1</sup>; MS (ESI) *m/z* = 273 [M + H]<sup>+</sup>; anal. calcd

for C<sub>16</sub>H<sub>20</sub>N<sub>2</sub>O<sub>2</sub> (272.34): C 70.56, H 7.40, N 10.29; found: C 70.41, H 7.47, N 10.39.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.joc.0c01489>.

Structures of starting materials (Figure S1), optimization for reaction of **1a** with cyclic azoalkene **2a** (Table S1), copies of NMR spectra for all products (PDF)

Crystal data of compounds **3e** (CIF)

Cartesian coordinates of all computed structures for the reaction of **2n** with **1p** (PDF)

## ■ AUTHOR INFORMATION

### Corresponding Author

**Gianfranco Favi** – Department of Biomolecular Sciences, Section of Chemistry and Pharmaceutical Technologies, University of Urbino “Carlo Bo”, 61029 Urbino, Italy; [orcid.org/0000-0003-3112-819X](https://orcid.org/0000-0003-3112-819X); Email: [gianfranco.favi@uniurb.it](mailto:gianfranco.favi@uniurb.it)

### Authors

**Cecilia Ciccolini** – Department of Biomolecular Sciences, Section of Chemistry and Pharmaceutical Technologies, University of Urbino “Carlo Bo”, 61029 Urbino, Italy

**Giacomo Mari** – Department of Biomolecular Sciences, Section of Chemistry and Pharmaceutical Technologies, University of Urbino “Carlo Bo”, 61029 Urbino, Italy; [orcid.org/0000-0002-5076-942X](https://orcid.org/0000-0002-5076-942X)

**Francesco G. Gatti** – Department of Chemistry, Materials and Chemical Engineering “G. Natta”, 20133 Milano, Italy; [orcid.org/0000-0003-0837-4616](https://orcid.org/0000-0003-0837-4616)

**Giuseppe Gatti** – Department of Biomolecular Sciences, Section of Chemistry and Pharmaceutical Technologies, University of Urbino “Carlo Bo”, 61029 Urbino, Italy

**Gianluca Giorgi** – Department of Biotechnologies, Chemistry & Pharmacy, University of Siena, 53100 Siena, Italy

**Fabio Mantellini** – Department of Biomolecular Sciences, Section of Chemistry and Pharmaceutical Technologies, University of Urbino “Carlo Bo”, 61029 Urbino, Italy; [orcid.org/0000-0002-1140-5404](https://orcid.org/0000-0002-1140-5404)

Complete contact information is available at: <https://pubs.acs.org/doi/10.1021/acs.joc.0c01489>

### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

The authors thank the University of Urbino “Carlo Bo” for the financial support. The authors also thank Dr. A. A. Massaccesi for his precious advice and Dr. Anna Maria Gioacchini who competently performed the mass spectra. F.G.G. thanks POR-Lombardia, VIPCAT project (Value Added Innovative Protocols for Catalytic Transformations-ID 228775), for the financial support. We acknowledge CINECA for the high-performance computing resources and support (Class C Research Projects ISC21, ISC29, ISC37).

## ■ REFERENCES

(1) For reviews, see: (a) Silva, T. S.; Rodrigues, M. T., Jr.; Santos, H.; Zeoly, L. A.; Almeida, W. P.; Barcelos, R. C.; Gomes, R. C.; Fernandes, F. S.; Coelho, F. Recent advances in indoline synthesis.

*Tetrahedron* **2019**, *75*, 2063–2097. (b) Griffiths, B. M.; Burl, J. D.; Wang, X. Bioinspired Discovery of Chemical Reactions and Biological Probes. *Synlett* **2016**, 2039–2042. (c) Zi, W.; Zuo, Z.; Ma, D. Intramolecular Dearomative Oxidative Coupling of Indoles: A Unified Strategy for the Total Synthesis of Indoline Alkaloids. *Acc. Chem. Res.* **2015**, *48*, 702–711. (d) Zhang, D.; Song, H.; Qin, Y. Total Synthesis of Indoline Alkaloids: A Cyclopropanation Strategy. *Acc. Chem. Res.* **2011**, *44*, 447–457. (e) Liu, D.; Zhao, G.; Xiang, L. Diverse Strategies for the Synthesis of the Indoline Scaffold. *Eur. J. Org. Chem.* **2010**, 3975–3984.

(2) For examples of C2,C3-fused indolines, see: (a) Cheng, Q.; Zhang, F.; Cai, Y.; Guo, Y.-L.; You, S.-L. Stereodivergent Synthesis of Tetrahydrofuroindoles through Pd-Catalyzed Asymmetric Dearomative Formal [3+2] Cycloaddition. *Angew. Chem., Int. Ed.* **2018**, *57*, 2134–2138. (b) Feng, L.-W.; Ren, H.; Xiong, H.; Wang, P.; Wang, L.; Tang, Y. Reaction of Donor-Acceptor Cyclobutanes with Indoles: A General Protocol for the Formal Total Synthesis of (±)-Strychnine and the Total Synthesis of (±)-Akuammicine. *Angew. Chem., Int. Ed.* **2017**, *56*, 3055–3058. (c) Jing, C.; Cheng, Q.-Q.; Deng, Y.; Arman, H.; Doyle, M. P. Highly Regio- and Enantioselective Formal [3 + 2]-Annulation of Indoles with Electrophilic Enol Carbene Intermediates. *Org. Lett.* **2016**, *18*, 4550–4553. (d) Jia, M.; Monari, M.; Yang, Q.-Q.; Bandini, M. Enantioselective gold catalyzed dearomative [2+2]-cycloaddition between indoles and allenamides. *Chem. Commun.* **2015**, *51*, 2320–2323. (e) Ruchti, J.; Carreira, E. M. Ir-Catalyzed Reverse Prenylation of 3-Substituted Indoles: Total Synthesis of (+)-Aszonalenin and (–)-Brevicompanine B. *J. Am. Chem. Soc.* **2014**, *136*, 16756–16759. (f) Horning, B. D.; MacMillan, D. W. C. Nine-Step Enantioselective Total Synthesis of (–)-Vincorine. *J. Am. Chem. Soc.* **2013**, *135*, 6442–6445. (g) DeLorbe, J. E.; Horne, D.; Jove, R.; Mennen, S. M.; Nam, S.; Zhang, F.-L.; Overman, L. E. General Approach for Preparing Epidithiodioxopiperazines from Trioxopiperazine Precursors: Enantioselective Total Syntheses of (+)- and (–)-Gliocladine C, (+)-Leptosin D, (+)-T988C, (+)-Bionectin A, and (+)-Gliocladin A. *J. Am. Chem. Soc.* **2013**, *135*, 4117–4128. (h) Kim, J.; Ashenhurst, J. A.; Movassaghi, M. Total Synthesis of (+)-11,11'-Dideoxyverticillin A. *Science* **2009**, *324*, 238–241.

(3) Shaheen, R. M.; Davis, D. W.; Liu, W.; Zebrowski, B. K.; Wilson, M. R.; Bucana, C. D.; McConkey, D. J.; McMahon, G.; Ellis, L. M. Antiangiogenic therapy targeting the tyrosine kinase receptor for vascular endothelial growth factor receptor inhibits the growth of colon cancer liver metastasis and induces tumor and endothelial cell apoptosis. *Cancer Res.* **1999**, *59*, 5412–5416.

(4) For selected reviews of hexahydropyrrolo[2,3-b]indoles, see: (a) Song, J.; Chen, D.-F.; Gong, L.-Z. Recent progress in organocatalytic asymmetric total syntheses of complex indole alkaloids. *Natl. Sci. Rev.* **2017**, *4*, 381–396. (b) Ruiz-Sanchis, P.; Savina, S. A.; Albericio, F.; Alvarez, M. Structure, Bioactivity and Synthesis of Natural Products with Hexahydropyrrolo[2,3-b]indole. *Chem. – Eur. J.* **2011**, *17*, 1388–1408. (c) Steven, A.; Overman, L. E. Total synthesis of complex cyclotryptamine alkaloids: stereocontrolled construction of quaternary carbon stereocenters. *Angew. Chem., Int. Ed.* **2007**, *46*, 5488–5508. (d) Crich, D.; Banerjee, A. Chemistry of the Hexahydropyrrolo[2,3-b]indoles: Configuration, Conformation, Reactivity, and Applications in Synthesis. *Acc. Chem. Res.* **2007**, *40*, 151–161. For selected papers, see: (e) Jamison, C. R.; Badillo, J. J.; Lipshultz, J. M.; Comito, R. J.; MacMillan, D. W. C. Catalyst-controlled oligomerization for the collective synthesis of polypyrroloindoline natural products. *Nat. Chem.* **2017**, *9*, 1165–1169. (f) Lindovska, P.; Movassaghi, M. Concise Synthesis of (–)-Hodgkinsine, (–)-Calycosidine, (–)-Hodgkinsine B, (–)-Quadrigemine C, and (–)-Psycholeine via Convergent and Directed Modular Assembly of Cyclotryptamines. *J. Am. Chem. Soc.* **2017**, *139*, 17590–17596. (g) Trost, B. M.; Osipov, M. Palladium-catalyzed asymmetric construction of vicinal all-carbon quaternary stereocenters and its application to the synthesis of cyclotryptamine alkaloids. *Angew. Chem., Int. Ed.* **2013**, *52*, 9176–9181. (h) Spangler, J. E.; Davies, H. M. L. Catalytic Asymmetric Synthesis of Pyrroloindolines via a Rhodium(II)-Catalyzed Annulation of Indoles. *J. Am. Chem. Soc.*

2013, 135, 6802–6805. (i) DeLorbe, J. E.; Jabri, S. Y.; Mennen, S. M.; Overman, L. E.; Zhang, F.-L. Enantioselective Total Synthesis of (+)-Gliocladine C: Convergent Construction of Cyclotryptamine-Fused Polyoxopiperazines and a General Approach for Preparing Epidithiodioxopiperazines from Trioxopiperazine Precursors. *J. Am. Chem. Soc.* **2011**, 133, 6549–6552.

(5) Only sporadic examples of 1H-pyridazino[3,4-b]indole derivatives are reported: (a) Cao, W.-B.; Xu, X.-P.; Ji, S.-J. Synthesis of fused indoline heterocycles via dearomatization of indoles with  $\alpha$ -bromohydrazone: a systematic study on the substrates. *Org. Biomol. Chem.* **2017**, 15, 1651–1654. (b) Bleile, M.; Wagner, T.; Otto, H.-H. Synthesis of Substituted Pyrrolo[3,4-a]carbazoles. *Helv. Chim. Acta* **2005**, 88, 2879–2891. See also ref 7.

(6) For reviews on dearomatization strategies of indoles, see: (a) Huang, G.; Yin, B. Recent Developments in Transition Metal-Catalyzed Dearomative Cyclizations of Indoles as Dipolarophiles for the Construction of Indolines. *Adv. Synth. Catal.* **2019**, 361, 405–425. (b) Roche, S. P.; Youte Tendoung, J.-J.; Tréguier, B. Advances in dearomatization strategies of indoles. *Tetrahedron* **2015**, 71, 3549–3591.

(7) (a) Clarke, S. J.; Gilchrist, T. L.; Lemos, A.; Roberts, T. G. Reactions of azoalkenes derived from hydrazones of ethyl bromopyruvate with electron rich alkenes and heterocycles. *Tetrahedron* **1991**, 47, 5615–5624. (b) Clarke, S. J.; Davies, D. E.; Gilchrist, T. L. Competing [4 + 2] and [3 + 2] cycloaddition in the reactions of nucleophilic olefins with ethyl 3-(toluene-p-sulphonyloxy)but-2-enoate. *J. Chem. Soc., Perkin Trans. 1* **1983**, 1803–1807.

(8) Tong, M.-C.; Chen, X.; Li, J.; Huang, R.; Tao, H.; Wang, C.-J. Catalytic Asymmetric Synthesis of [2,3]-Fused Indoline Heterocycles through Inverse-Electron-Demand Aza-Diels–Alder Reaction of Indoles with Azoalkenes. *Angew. Chem., Int. Ed.* **2014**, 53, 4680–4684.

(9) Qi, L.-W.; Mao, J.-H.; Zhang, J.; Tan, B. Organocatalytic asymmetric arylation of indoles enabled by azo groups. *Nat. Chem.* **2018**, 10, 58–64.

(10) For reviews on the chemistry of 1,2-diaza-1,3-dienes, see: (a) Attanasi, O. A.; De Crescentini, L.; Favi, G.; Filippone, P.; Mantellini, F.; Perrulli, F. R.; Santeusano, S. Cultivating the Passion to Build Heterocycles from 1,2-Diaza-1,3-dienes: the Force of Imagination. *Eur. J. Org. Chem.* **2009**, 3109–3127. (b) Lopes, S. M. M.; Cardoso, A. L.; Lemos, A.; Pinho e Melo, T. M. V. D. J. Recent Advances in the Chemistry of Conjugated Nitrosoalkenes and Azoalkenes. *Chem. Rev.* **2018**, 118, 11324–11352. For recent examples, see: (c) Mari, G.; Ciccolini, C.; De Crescentini, L.; Favi, G.; Santeusano, S.; Mancinelli, M.; Mantellini, F. Metal and Oxidant-Free Brønsted Acid-Mediated Cascade Reaction to Substituted Benzofurans. *J. Org. Chem.* **2019**, 84, 10814–10824. (d) Hu, S.; Du, S.; Yang, Z.; Ni, L.; Chen, Z. Synthesis of Multi-substituted Dihydropyrazoles by Copper-Mediated [4+1] Cycloaddition Reaction of N-Sulfonylhydrazones and Sulfoxonium Ylides. *Adv. Synth. Catal.* **2019**, 361, 3124–3136. (e) Ciccolini, C.; De Crescentini, L.; Mantellini, F.; Santeusano, S.; Favi, G. Zn(II)-Catalyzed Addition of Aromatic/Heteroaromatic C(sp<sup>2</sup>)-H to Azoalkenes: A Polarity-Reversed Arylation of Carbonyl Compounds. *Org. Lett.* **2019**, 21, 4388–4391. (f) Zhang, Y.; Cao, Y.; Lu, L.; Zhang, S.; Bao, W.; Huang, S.; Rao, Y. Perylenequinonoid-Catalyzed [4 + 1] and [4 + 2] Annulations of Azoalkenes: Photocatalytic Access to 1,2,3-Thiadiazole/1,4,5,6-Tetrahydropyridazine Derivatives. *J. Org. Chem.* **2019**, 84, 7711–7721. (g) Shao, J.; Chen, W.; Zhao, M.; Shu, K.; Liu, H.; Tang, P. Substrate-Controlled Synthesis of Spirocyclopropylpyrazolones and Bicyclic 4,5-Dihydropyrazoles from 1,2-Diaza-1,3-dienes with Sulfur Ylides. *Org. Lett.* **2018**, 20, 3992–3995. (h) Santeusano, S.; Majer, R.; Perrulli, F. R.; De Crescentini, L.; Favi, G.; Giorgi, G.; Mantellini, F. Divergent Approach to Thiazolylidene Derivatives: A Perspective on the Synthesis of a Heterocyclic Skeleton from  $\beta$ -Amidothioamides Reactivity. *J. Org. Chem.* **2017**, 82, 9773–9778. (i) Ran, G.-Y.; Gong, M.; Yue, J.-F.; Yang, X.-X.; Zhou, S.-L.; Du, W.; Chen, Y.-C. Asymmetric Cascade Assembly of 1,2-Diaza-1,3-dienes and  $\alpha,\beta$ -

Unsaturated Aldehydes via Dienamine Activation. *Org. Lett.* **2017**, 19, 1874–1877.

(11) (a) Ciccolini, C.; Mari, M.; Lucarini, S.; Mantellini, F.; Piersanti, G.; Favi, G. Polycyclic Indolines by an Acid-Mediated Intramolecular Dearomative Strategy: Reversing Indole Reactivity in the Pictet-Spengler-Type Reaction. *Adv. Synth. Catal.* **2018**, 360, 4060–4067. (b) Mantenuto, S.; Ciccolini, C.; Lucarini, S.; Piersanti, G.; Favi, G.; Mantellini, F. Palladium(II)-Catalyzed Intramolecular Oxidative C–H/C–H Cross-Coupling Reaction of C<sub>3</sub>N-Linked Biheterocycles: Rapid Access to Polycyclic Nitrogen Heterocycles. *Org. Lett.* **2017**, 19, 608–611.

(12) Only formation of ring-opened [4 + 2] product **4f** was recovered (see SI).

(13) Crystallographic data for **3e** have been deposited with the Cambridge Crystallographic Data Centre as deposition number CCDC 1950877.

(14) During the writing of this manuscript, Lu reported a similar reaction using chiral phosphoric acid as a catalyst. Mei, G.-J.; Tang, X.; Tasdan, Y.; Lu, Y. Enantioselective Dearomatization of Indoles by an Azoalkene-Enabled (3+2) Reaction: Access to Pyrroloindolines. *Angew. Chem., Int. Ed.* **2020**, 59, 648–652.

(15) No interconversion between two cycloaddition products was noted by subjecting separately compounds **3ab** and **5a** under the standard conditions.

(16) Magnus, P.; Garizi, N.; Seibert, K. A.; Ornholt, A. Synthesis of Carbamates from Diethoxycarbonyl Hydrazine Derivatives by E1cB Eliminative Cleavage of the N–N′-Bond Rather than Reduction. *Org. Lett.* **2009**, 11, 5646–5648.

(17) For a review on synthetic approaches to tryptamine and related bioactive compounds, see: (a) Lancianesi, S.; Palmieri, A.; Petri, M. Synthetic Approaches to 3-(2-Nitroalkyl) Indoles and Their Use to Access Tryptamines and Related Bioactive Compounds. *Chem. Rev.* **2014**, 114, 7108–7149. For some examples, see: (b) Bartolucci, S.; Mari, M.; Di Gregorio, G.; Piersanti, G. Observations concerning the synthesis of tryptamine homologues and branched tryptamine derivatives via the borrowing hydrogen process: synthesis of psilocin, bufotenin, and serotonin. *Tetrahedron* **2016**, 72, 2233–2238. (c) Shmatova, O. I.; Shevchenko, N. E.; Nenajdenko, V. G. Fischer Reaction with 2-Perfluoroalkylated Cyclic Imines — An Efficient Route to 2-Perfluoroalkyl-Substituted Tryptamines and Their Derivatives and Homologues. *Eur. J. Org. Chem.* **2015**, 6479–6488. (d) Schmidt, A. M.; Eilbracht, P. Synthesis of Pharmacologically Relevant Indoles with Amine Side Chains via Tandem Hydroformylation/Fischer Indole Synthesis. *J. Org. Chem.* **2005**, 70, 5528–5535.

(18) (a) Becke, A. D. Density-functional thermochemistry. III. The role of exact exchange. *J. Chem. Phys.* **1993**, 98, 5648–5652. (b) Ditchfield, R.; Hehre, W. J.; Pople, J. A. Self-Consistent Molecular-Orbital Methods. IX. An Extended Gaussian-Type Basis for Molecular-Orbital Studies of Organic Molecules. *J. Chem. Phys.* **1971**, 54, 724–728.

(19) Miertuš, S.; Scrocco, E.; Tomasi, J. Electrostatic interaction of a solute with a continuum. A direct utilization of AB initio molecular potentials for the prevision of solvent effects. *Chem. Phys.* **1981**, 55, 117–129.

(20) Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Scuseria, G. E.; Robb, M. A.; Cheeseman, J. R.; Scalmani, G.; Barone, V.; Petersson, G. A.; Nakatsuji, H.; Li, X.; Caricato, M.; Marenich, A. V.; Bloino, J.; Janesko, B. G.; Gomperts, R.; Mennucci, B.; Hratchian, H. P.; Ortiz, J. V.; Izmaylov, A. F.; Sonnenberg, J. L.; Williams-Young, D.; Ding, F.; Lipparini, F.; Egidi, F.; Goings, J.; Peng, B.; Petrone, A.; Henderson, T.; Ranasinghe, D.; Zakrzewski, V. G.; Gao, J.; Rega, N.; Zheng, G.; Liang, W.; Hada, M.; Ehara, M.; Toyota, K.; Fukuda, R.; Hasegawa, J.; Ishida, M.; Nakajima, T.; Honda, Y.; Kitao, O.; Nakai, H.; Vreven, T.; Throssell, K.; Montgomery, J. A., Jr.; Peralta, J. E.; Ogliaro, F.; Bearpark, M. J.; Heyd, J. J.; Brothers, E. N.; Kudin, K. N.; Staroverov, V. N.; Keith, T. A.; Kobayashi, R.; Normand, J.; Raghavachari, K.; Rendell, A. P.; Burant, J. C.; Iyengar, S. S.; Tomasi, J.; Cossi, M.; Millam, J. M.; Klene, M.; Adamo, C.; Cammi, R.; Ochterski, J. W.;



Martin, R. L.; Morokuma, K.; Farkas, O.; Foresman, J. B.; Fox, D. J. *Gaussian 09*; Gaussian, Inc.: Wallingford, CT, 2019.

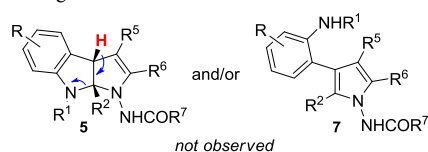
(21) Png, Z. M.; Zeng, H.; Ye, Q.; Xu, J. Inverse-Electron-Demand Diels–Alder Reactions: Principles and Applications. *Chem. – Asian J.* **2017**, *12*, 2142–2159.

(22) (a) Seeman, J. I. Effect of conformational change on reactivity in organic chemistry. Evaluations, applications, and extensions of Curtin–Hammett Winstein–Holness kinetics. *Chem. Rev.* **1983**, *83*, 83–131. (b) Eliel, E. L.; Wilen, S. H.; Mander, L. N. *Stereochemistry of Organic Compounds*; John Wiley & Sons: New York, 1994.

(23) Alternatively, one extreme mechanism in which the zwitterionic intermediate IV undergoes an intramolecular 6-*exo*-trig azacyclization (not shown) to afford product 3 is unlikely.

(24) Thus, a highly symmetrical concerted mode of the addition of reagents seems to be excluded. Sommer, S. [3+2]-Cycloadditions of Azoalkenes to Enamines—Criss-Cross Cycloadditions to Azoalkenes. *Angew. Chem., Int. Ed.* **1979**, *18*, 695–696. Also, a mechanism in which a 1,3-H shift (in practice, a CH/NH tautomerism) precedes the 5-*exo*-trig azacyclization seems not to be operative.

(25) [3 + 2] annulation product 5 ( $R^3 = H$ ) and/or its indole ring-opened product (aniline–indole) 7, whose structures are shown below, were not generated under our reaction conditions (see ref 9).



(26) For recent examples of substrate-controlled divergent annulation reactions, see: (a) Dhandabani, G. K.; Mutra, M. R.; Wang, J.-J. FeCl<sub>3</sub>-Promoted ring size-dictating diversity-oriented synthesis (DOS) of N-heterocycles using in situ-generated cyclic imines and enamines. *Chem. Commun.* **2019**, *55*, 7542–7545. (b) Li, M.; Li, W.; Lin, C.-D.; Wang, J.-H.; Wen, L.-R. One Base for Two Shots: Metal-Free Substituent-Controlled Synthesis of Two Kinds of Oxadiazine Derivatives from Alkynylbenziodoxolones and Amidoximes. *J. Org. Chem.* **2019**, *84*, 6904–6915. (c) Liu, S.; Qu, J.; Wang, B. Substrate-controlled divergent synthesis of polycyclic indoloazepines and indolodiazepines via 1,5-hydride shift/7-cyclization cascades. *Chem. Commun.* **2018**, *54*, 7928–7931. (d) Roslan, I. I.; Ng, K.-H.; Chuah, G.-K.; Jaenicke, S. Reagent-controlled regio-divergent intermolecular cyclization of 2-aminobenzothiazoles with  $\beta$ -ketoesters and  $\beta$ -ketoamides. *Beilstein J. Org. Chem.* **2017**, *13*, 2739–2750. (e) Zhang, Y.-S.; Tang, X.-Y.; Shi, M. *Org. Chem. Front.* **2015**, *2*, 1516–1520.

(27) N-Alkylindole derivatives **1b–k**, **1n**, and **1q** were prepared as described in **11b** [procedure B]. The N-methylindole **1e** was prepared as described in **11b** [procedure A].

(28) 3,4-Disubstituted indoles **1t–z** were synthesized as described in the literature: Gao, S.; Wu, Z.; Fang, X.; Lin, A.; Yao, H. Palladium-Catalyzed Dearomative Allylic Alkylation of Indoles with Alkynes To Synthesize Indolenines with C3-Quaternary Centers. *Org. Lett.* **2016**, *18*, 3906–3909.

(29) For cyclic azoalkenes **2a–i**, see: (a) Attanasi, O. A.; De Crescentini, L.; Favi, G.; Filippone, P.; Giorgi, G.; Mantellini, F.; Perrulli, F. R.; Spinelli, D. Simple construction of fused and spiro nitrogen/sulfur containing heterocycles by addition of thioamides or thioureas on cycloalkenyl-diazenes: examples of click chemistry. *Tetrahedron* **2008**, *64*, 3837–3858. For linear azoalkenes **2j–t**, see: (b) Attanasi, O. A.; Filippone, P.; Mei, A.; Santeusano, S. Effect of Metal Ions in Organic Synthesis; Part XXIII. Easy and High-Yield Direct Synthesis of 3-Aminocarbonyl-1-ureidopyrroles by the Copper(II) Chloride-Catalyzed Reaction of Aminocarbonylazoalkenes with 3-Oxoalkanamides. *Synthesis* **1984**, 671–672. (c) Attanasi, O. A.; Filippone, P.; Mei, A.; Santeusano, S. Effect of Metal Ions in Organic Synthesis; Part XXIV. Facile One-Flask Synthesis of 1-Alkoxy-carbonylamino-3-aminocarbonylpyrroles by Reaction of Alkoxy-carbonylazoalkenes with 3-Oxoalkanamides under Copper(II) Chloride Catalysis. *Synthesis* **1984**, 873–874. (d) Preti, L.; Attanasi, O. A.;

Caselli, E.; Favi, G.; Ori, C.; Davoli, P.; Felluga, F.; Prati, F. One-Pot Synthesis of Imidazole-4-Carboxylates by Microwave-Assisted 1,5-Electrocyclization of Azavinyl Azomethine Ylides. *Eur. J. Org. Chem.* **2010**, 4312–4320.

(30) Barrett, K. T.; Metrano, A. J.; Rablen, P. R.; Miller, S. J. Spontaneous transfer of chirality in an atropisomerically enriched two-axis system. *Nature* **2014**, *509*, 71–75.