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Covers, soap films and BV functions

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Abstract: In this paper we review the double covers method with constrained BV functions for solving the classical Plateau's problem. Next, we carefully analyze some interesting examples of soap films compatible with covers of degree larger than two: in particular, the case of a soap film only partially wetting a space curve, a soap film spanning a cubical frame but having a large tunnel, a soap film that retracts to its boundary, and various soap films spanning an octahedral frame.

MSC: 49Q05 (minimal surfaces), 57M10 (covering spaces), 49Q15 (geometric measure and integration theory)

1 Introduction

In [6] K. Brakke introduced the covering space method for solving a rather large class of one-codimensional Plateau type problems, including the classical case of an area-minimizing surface spanning a knot, a Steiner minimal graph connecting a given number of points in the plane, and an area-minimizing surface spanning a nonsmooth one-dimensional frame such as the one-skeleton of a polyhedron. The method does not impose any topological restriction on the solutions; it relies on the theory of currents and takes into account also unoriented objects. It consists essentially in the construction of a pair of covering spaces, and is based on the minimization of what the author called the soap film mass.

Recently, a slightly different approach has been proposed in [2]; it is based on the minimization of the total variation for functions defined on a single covering space and satisfying a suitable constraint on the fibers. Also this method does not impose any a priori topological restriction on the solutions. For a given Plateau problem, different coverings can be constructed, leading to distinct minimization problems. Choosing the *right* definition of cover depends on the structure of the minimizing solution that is desired, like e.g. the type of singularities that are allowed or orientability of the minimizing film. Moreover, it takes advantage of the full machinery known on the space of BV functions defined on a locally Euclidean manifold: for instance, and remarkably, it allows approximating the considered class of Plateau type problems by Γ -convergence. In the forthcoming paper [5] we shall deepen this Γ -convergence regularization for finding minimal networks in the plane.

The interest in the covering space method is also illustrated in the recent paper [4], where is shown a triple cover of $\mathbb{R}^3 \setminus (S \cup C)$, S a tetrahedral frame and C two disk boundaries, compatible with a soap film spanning S and having higher topological type, more precisely with two tunnels (see Figure 1 in the case of the *regular* tetrahedron).

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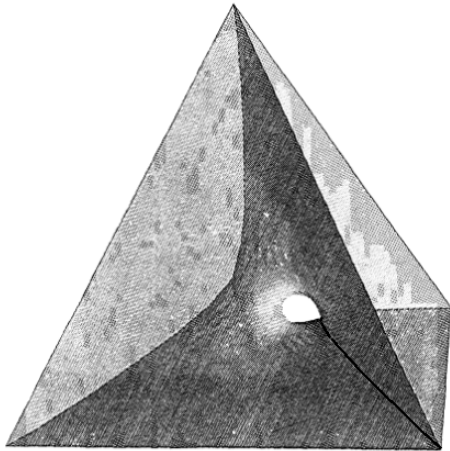


Figure 1: A slightly retouched version of [12, fig. 1.1.1], see also [13, fig. 11.3.2]. This soap film has two tunnels, one clearly visible in the picture. This figure was done by Jean Taylor, following an idea due to Bob Hardt.

The cover described in [4] has the particular feature of being not normal; in addition, it is constructed using the above mentioned disks. Similar disks were firstly introduced in [6] in other examples, and called *invisible wires* by the author. In the case of the tetrahedron, they play a crucial role. From one side, they are necessary to complete the construction of the triple cover; from the other side, they act as an obstacle. In addition, they allow one to distinguish *tight* loops around particular edges of the frame S from loops turning *far* from the edges: this distinction turns out to be crucial for the modelization of a higher genus soap film. The results of [4] strongly suggest that, for a tetrahedron sufficiently elongated in one direction, the higher-genus surface has area strictly less than the conical configuration.

In this paper, for convenience of the reader we recall (Section 2) the double covers method and BV functions for treating the classical Plateau problem. In Section 3 we point out the main modifications of the construction in the case of covers of degree larger than two. Next, in Section 4 we continue the analysis in the spirit of [4], discussing various interesting examples. In Example 4.1 we discuss with some care a classical example due to F.J. Almgren of a soap film only partially wetting an unknotted curve, see also [6]. In Example 4.2 we describe a cover of $\mathbb{R}^3 \setminus S$, where S is the one-skeleton of a cube, which is compatible with the soap film depicted in Figure 3. This is obviously not the most common soap film one usually finds in pictures, which has no holes and has triple curves starting in the corners [17, Figure 6]. It is worthwhile to notice that such a soap film has area larger than the area of the soap film in Figure 3. In Example 4.3 we show how to construct a triple cover compatible with the soap film of Figure 5, which is a surface that retracts on its boundary, and therefore for which we cannot apply the Reifenberg method. In Example 4.4 we discuss the case when S is the one-skeleton of an octahedron.

We conclude this introduction by mentioning that calibrations, applied to the covering space method, have been considered in [6], [7] and, more recently, in [8] in connection with the BV approach in dimension two.

2 Double covers of $\Omega \setminus S$

In this section we describe the cut and paste method for constructing a double cover of the base space $M := \Omega \setminus S$ where, for simplicity, S is a smooth compact embedded two-codimensional manifold without boundary and Ω is a sufficiently large ball of \mathbb{R}^n containing S , $n \geq 2$. Just to fix ideas, one can consider $n = 3$ and S

a tame knot or link¹. Next, to model the area minimization problem with S as boundary datum, we define a minimum problem on a class of BV functions defined on the cover and satisfying a suitable constraint. The projection over the base space of the jump set of a minimizer will be our definition of solution to the Plateau problem; this is a simplified version of the construction described in [2], to which we refer for all details. Before starting the discussion, it is worth to recall that, in more general cases (such as those in Section 4), the cut and paste procedure needs not be the most convenient method to work with. Indeed, the cover can be equivalently described in two other ways. In the first one it is sufficient to declare an orientation of the cut, and a family of permutations of the strata along the cut; this family must be consistent, a condition that is obtained from the local triviality of the cover. The second method is based on an abstract construction, by taking the quotient of the universal cover of M with respect to a subgroup of the fundamental group of M ; at the end of the section we recall this construction, while in Section 4 we shall use both these two latter methods.

In what follows we shall always assume that the cover is trivial in a neighbourhood of $\partial\Omega$. Hence, in that neighbourhood we can speak without ambiguities of sheet one and sheet two, up to automorphisms of the cover.

2.1 Cut and paste construction of the double cover

We start by defining a *cut* (also called a cutting surface when $n = 3$), which is a $(n - 1)$ -dimensional compact embedded smooth oriented submanifold $\Sigma \subset \Omega$ with $\partial\Sigma = S$. Next we glue two copies (the sheets, or strata) of $M := \Omega \setminus S$ along Σ by exchanging the sheets. Equivalently, we associate the permutation $(1\ 2)$ to Σ .²

To figure out the construction, it is convenient to “double” Σ , namely to slightly separate two copies of Σ having boundary S and meeting only at S ; we call these two copies Σ and Σ' , and we denote by Σ the pair (Σ, Σ') , that we call pair of cuts. The orientability of Σ gives a unit normal vector field on $\Sigma \setminus S$ —hence, in particular, a direction to follow in order to “enlarge” the cut, separating its two “faces”. Let us denote by $O \subset \Omega$ (resp. $I \subset \Omega$) the open region exterior (resp. interior) to $\Sigma \cup \Sigma'$. We can explicitly describe the gluing procedure as follows:

we let

$$D := \Omega \setminus \Sigma, \quad D' := \Omega \setminus \Sigma',$$

and consider³

$$\mathcal{X} := (D, 1) \cup (D, 2) \cup (D', 3) \cup (D', 4);$$

we endow \mathcal{X} with the following equivalence relation: given $x, x' \in M$ and $j \in \{1, 2\}$, $j' \in \{3, 4\}$, $(x, j), (x', j') \in \mathcal{X}$, we say that (x, j) is equivalent to (x', j') if and only if $x = x'$, and one of the following conditions hold:

$$\begin{cases} x \in O, & \{j, j'\} \in \{\{1, 3\}, \{2, 4\}\}, \\ x \in I, & \{j, j'\} \in \{\{1, 4\}, \{2, 3\}\}. \end{cases} \quad (2.1)$$

We call Y_Σ the quotient space of \mathcal{X} by this equivalence relation (endowed with the quotient topology) and $\tilde{\pi} : \mathcal{X} \rightarrow Y_\Sigma$ the projection. The double cover of M is then

$$\pi_{\Sigma, M} : Y_\Sigma \rightarrow M \quad (2.2)$$

¹ No invisible wires will be taken into account in this section.

² Note that, being this permutation of order two, fixing an orientation of Σ is not necessary and Σ could even be nonorientable. For covers of degree larger than two and other type of permutations (see Sections 3 and 4) orientability of Σ is necessary.

³ In order to be consistent with the permutation $(1\ 2)$ mentioned above, it is sufficient to rename $(D', 3)$ and $(D', 4)$ as $(D', 1)$ and $(D', 2)$.

where $\pi_{\Sigma, M}(\tilde{\pi}(x, j)) := x$ for any $(x, j) \in \mathcal{X}$, which is well defined, since if $(x, j) \sim (x', j')$, then $\pi_{\Sigma, M}(\tilde{\pi}(x, j)) = \pi_{\Sigma, M}(\tilde{\pi}(x', j'))$. If we set $\pi: (x, j) \in \mathcal{X} \mapsto x \in M$, we have the following commutative diagram:

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{\tilde{\pi}} & Y_{\Sigma} \\ & \searrow \pi & \downarrow \pi_{\Sigma, M} \\ & & M \end{array} \quad (2.3)$$

The quotient Y_{Σ} admits a natural structure of differentiable manifold, with four local parametrizations given by $\Psi_1, \Psi_2, \Psi_3, \Psi_4$, where

$$\begin{aligned} \Psi_j: D \rightarrow \tilde{\pi}((D, j)), \quad \Psi_j &:= \tilde{\pi} \circ \pi_{|(D, j)}^{-1}, \quad j = 1, 2, \\ \Psi_{j'}: D' \rightarrow \tilde{\pi}((D', j')), \quad \Psi_{j'} &:= \tilde{\pi} \circ \pi_{|(D', j')}^{-1}, \quad j' = 3, 4. \end{aligned} \quad (2.4)$$

It is important here that the transition maps are the identity:

$$\Psi_{j'}^{-1} \circ \Psi_j = \text{id} = \Psi_j^{-1} \circ \Psi_{j'}, \quad j \in \{1, 2\}, j' \in \{3, 4\},$$

the equalities being valid where all members of the equation are defined. Notice that $\Psi_1(D) \cup \Psi_2(D) = Y_{\Sigma} \setminus \pi_{\Sigma, M}^{-1}(\Sigma \setminus S)$, and $\Psi_3(D') \cup \Psi_4(D') = Y_{\Sigma} \setminus \pi_{\Sigma, M}^{-1}(\Sigma' \setminus S)$.

The local parametrizations allow to read a function $u: Y_{\Sigma} \rightarrow \mathbb{R}$ in charts: for $j = 1, 2$ and $j' = 3, 4$ we let $v_j(u): D \rightarrow \mathbb{R}, v_{j'}(u): D' \rightarrow \mathbb{R}$ be

$$v_j(u) := u \circ \Psi_j, \quad v_{j'}(u) := u \circ \Psi_{j'}. \quad (2.5)$$

Recalling (2.1), we have

$$\begin{aligned} v_1(u) = v_3(u), \quad v_2(u) = v_4(u) & \quad \text{a.e. in } O, \\ v_1(u) = v_4(u), \quad v_2(u) = v_3(u) & \quad \text{a.e. in } I. \end{aligned} \quad (2.6)$$

2.2 Total variation on the double cover

The set Y_{Σ} is endowed with the push-forward μ of the n -dimensional Lebesgue measure \mathcal{L}^n in M via the local parametrizations. We set $L^1(Y_{\Sigma}) := L^1_{\mu}(Y_{\Sigma})$.

We say that u is in $BV(Y_{\Sigma})$ if its distributional gradient $Du: \phi \in C_c^1(Y_{\Sigma}) \mapsto -\int_{Y_{\Sigma}} u D\phi d\mu \in \mathbb{R}^n$ is a bounded vector-valued Radon measure on Y_{Σ} . We denote by $|Du|$ the *total variation* measure of Du .

Let $u \in BV(Y_{\Sigma})$ and $E \subseteq Y_{\Sigma}$ be a Borel set; E can be written as the union of the following four disjoint Borel sets:

$$E \cap \tilde{\pi}((D, 1)), \quad E \cap \tilde{\pi}((D, 2)), \quad E \cap \tilde{\pi}((\Sigma \setminus S, 3)), \quad E \cap \tilde{\pi}((\Sigma \setminus S, 4)), \quad (2.7)$$

and we have

$$|Du|(E) = \sum_{j=1,2} |Dv_j(u)| \left(\pi_{\Sigma, M}(E \cap \tilde{\pi}((D, j))) \right) + \sum_{j'=3,4} |Dv_{j'}(u)| \left(\pi_{\Sigma, M}(E \cap \tilde{\pi}((\Sigma \setminus S, j'))) \right). \quad (2.8)$$

Notice that Σ' does not appear in (2.7). Choosing D' in place of D amounts in considering Σ' in place of Σ and does not change the subsequent discussion.

Example 2.1. Suppose the simplest case $n = 2$, and S two distinct points q_1, q_2 . Let $u \in BV(Y_{\Sigma})$ be such that $v_1(u)$ is equal to $a \in \mathbb{R}$ inside a disk B of radius $r > 0$ contained in I (or in O) and $b \in \mathbb{R}$ outside, and $v_2(u)$ is equal to $c \in \mathbb{R}$ in B and $d \in \mathbb{R}$ outside. Then, owing to (2.6),

$$\begin{aligned} |Du|(Y_{\Sigma}) &= |Dv_1(u)|(B \cap D) + |Dv_2(u)|(B \cap D) + |Dv_3(u)|(\Sigma \setminus \{q_1, q_2\}) + |Dv_4(u)|(\Sigma \setminus \{q_1, q_2\}) \\ &= (|b - a| + |d - c|) 2\pi r + 2\mathcal{H}^1(\Sigma)|d - b|. \end{aligned} \quad (2.9)$$

On the other hand, if B is centered at a point of Σ , and $B \cap \Sigma' = \emptyset$, then

$$\begin{aligned} |Du|(Y_\Sigma) &= |Dv_1(u)|(B \cap D) + |Dv_2(u)|(B \cap D) + |Dv_3(u)|(\Sigma \setminus \{q_1, q_2\}) + |Dv_4(u)|(\Sigma \setminus \{q_1, q_2\}) \\ &= (|b - a| + |d - c|) 2\pi r + 2|c - a|\mathcal{H}^1(\Sigma \cap B) + 2|d - b| \left(\mathcal{H}^1(\Sigma) - \mathcal{H}^1(\Sigma \cap B) \right). \end{aligned} \quad (2.10)$$

If in particular $a = 1$, $b = 0$, $c = 0$, $d = 1$, we have that (2.9) and (2.10) become

$$|Du|(Y_\Sigma) = 2 \left(2\pi r + \mathcal{H}^1(\Sigma) \right).$$

2.3 The constrained minimum problem on the double cover

We let

$$BV(Y_\Sigma; \{0, 1\}) := \left\{ u \in BV(Y_\Sigma) : u(y) \in \{0, 1\} \text{ for } \mu \text{ a.e. } y \in Y_\Sigma \right\}.$$

The domain of \mathcal{F} is defined by

$$D_\Sigma(\mathcal{F}) := \left\{ u \in BV(Y_\Sigma; \{0, 1\}) : \sum_{\pi_{\Sigma, M}(y)=x} u(y) = 1 \text{ for a.e. } x \text{ in } M \right\}.$$

Unless otherwise specified, we drop the dependence on Σ in the notation of the domain of \mathcal{F} , thus denoting $D_\Sigma(\mathcal{F})$ by

$$D(\mathcal{F}).$$

The functional \mathcal{F} is then defined by

$$\mathcal{F}(u) := |Du|(Y_\Sigma), \quad u \in D(\mathcal{F}).$$

Therefore the values of $u \in D(\mathcal{F})$ on the two points of a fiber are 0 and 1: this is what we call the *constraint on the fibers*. Hence, for any $u \in D(\mathcal{F})$ we have

$$v_1(u) = 1 - v_2(u) \text{ a.e. in } D, \quad v_3(u) = 1 - v_4(u) \text{ a.e. in } D'. \quad (2.11)$$

For this reason, in formulas (2.12) and (2.15) below the functions $v_2(u)$ and $v_4(u)$ are not present. Moreover, the following splitting formula holds:

$$\pi_{\Sigma, M}(J_u) = \left(J_{v_1(u)} \setminus (\Sigma \setminus S) \right) \cup \left(J_{v_3(u)} \cap (\Sigma \setminus S) \right). \quad (2.12)$$

Indeed, as in (2.7), let us split J_u as the union of the following four disjoint sets:

$$J_u \cap \tilde{\pi}((D, 1)), J_u \cap \tilde{\pi}((D, 2)), J_u \cap \tilde{\pi}((\Sigma \setminus S, 3)), J_u \cap \tilde{\pi}((\Sigma \setminus S, 4)). \quad (2.13)$$

By the constraint on the fibers, to each point in the first set of (2.13) there corresponds a unique point in the second set, belonging to the same fiber, and vice versa. A similar correspondence holds between the third and the fourth set. Hence

$$\pi_{\Sigma, M}(J_u) = \pi_{\Sigma, M} \left(J_u \cap \tilde{\pi}((D, 1)) \right) \cup \pi_{\Sigma, M} \left(J_u \cap \tilde{\pi}((\Sigma \setminus S, 3)) \right).$$

By the definitions of J_u , $J_{v_1(u)}$ and $J_{v_3(u)}$, using also the local parametrizations Ψ_1, Ψ_3 , it follows that $\pi_{\Sigma, M}(J_u \cap \tilde{\pi}((D, 1))) = J_{v_1(u)} \setminus (\Sigma \setminus S)$, and $\pi_{\Sigma, M}(J_u \cap \tilde{\pi}((\Sigma \setminus S, 3))) = J_{v_3(u)} \cap (\Sigma \setminus S)$, and (2.12) follows.

Definition 2.2 (Constrained lifting). *Let $v \in BV(D; \{0, 1\})$. Then the function*

$$u := \begin{cases} v & \text{in } \Psi_1(D), \\ 1 - v & \text{in } \Psi_2(D), \end{cases} \quad (2.14)$$

is in $D(\mathcal{F})$, and $v_1(u) = v$. We call u the *constrained lifting* of v .

In particular, when v is identically equal to 1 (or 0), we have

$$\pi_{\Sigma, M}(J_u) = \Sigma \setminus S.$$

The next result clarifies which is the notion of area we intend to minimize.

Proposition 2.3. *Let $u \in D(\mathcal{F})$. Then*

$$\begin{aligned} |Du|(Y_\Sigma) &= 2 \left(\mathcal{H}^{n-1}(J_{v_1(u)} \setminus \Sigma) + \mathcal{H}^{n-1}(J_{v_3(u)} \cap \Sigma) \right) \\ &= 2 \mathcal{H}^{n-1}(\pi_{\Sigma, M}(J_u)). \end{aligned} \quad (2.15)$$

Proof. Recall the splitting in (2.8), with the choice $E := Y_\Sigma$. By (2.11), we have

$$|Dv_1(u)|(D) = |Dv_2(u)|(D), \quad |Dv_3(u)|(\Sigma) = |Dv_4(u)|(\Sigma). \quad (2.16)$$

By the properties of BV functions we have

$$|Dv_1(u)|(D) = \mathcal{H}^{n-1}(J_{v_1(u)} \setminus \Sigma), \quad |Dv_3(u)|(\Sigma) = \mathcal{H}^{n-1}(J_{v_3(u)} \cap \Sigma). \quad (2.17)$$

Substituting (2.17) into (2.8), and recalling (2.16), we get the first equality in (2.15). The second equality is now a consequence of (2.12). \square

Remark 2.4. The factor 2 in (2.15) is obtained by multiplying the absolute value of the difference of the values of u (which gives a factor 1), with the number of the sheets (which gives a factor 2).

A particular case of a result proven in [2] is the following.

Theorem 2.5 (Existence of minimizers). *We have*

$$\inf \left\{ |Du|(Y_\Sigma) : u \in D(\mathcal{F}) \right\} = \min \left\{ |Du|(Y_\Sigma) : u \in D(\mathcal{F}) \right\} > 0. \quad (2.18)$$

Positivity follows from (2.20) below, with the choice $A := \Omega$. We denote by u_{\min} a minimizer of problem (2.18).

Lemma 2.6. *Let $A \subseteq \Omega$ be a nonempty open set such that $\pi_{\Sigma, M}^{-1}(A \setminus S)$ is connected. Then for any $u \in D(\mathcal{F})$,*

$$\mathcal{H}^{n-1}(A \cap \pi_{\Sigma, M}(J_u)) > 0. \quad (2.19)$$

Moreover, if A is bounded with Lipschitz boundary, then

$$\inf \left\{ \mathcal{H}^{n-1}(A \cap \pi_{\Sigma, M}(J_u)) : u \in D(\mathcal{F}) \right\} > 0. \quad (2.20)$$

Proof. By contradiction, suppose that

$$\mathcal{H}^{n-1}(A \cap \pi_{\Sigma, M}(J_u)) = 0. \quad (2.21)$$

Applying (2.12) to (2.21), we get

$$0 = \mathcal{H}^{n-1}(A \cap (J_{v_1(u)} \setminus \Sigma)) + \mathcal{H}^{n-1}(A \cap J_{v_3(u)} \cap \Sigma). \quad (2.22)$$

Now, set $A^S := A \setminus S$. Applying (2.8) with the choice $E := \pi_{\Sigma, M}^{-1}(A^S)$, we get

$$\begin{aligned} |Du|(\pi_{\Sigma, M}^{-1}(A^S)) &= 2|Dv_1(u)| \left(\pi_{\Sigma, M}^{-1}(\pi_{\Sigma, M}^{-1}(A^S) \cap \tilde{\pi}((D, 1))) \right) + 2|Dv_3(u)| \left(\pi_{\Sigma, M}^{-1}(\pi_{\Sigma, M}^{-1}(A^S) \cap \tilde{\pi}(\Sigma \setminus S, 3)) \right) \\ &= 2 \left(|Dv_1(u)| \left(A^S \setminus \Sigma \right) + |Dv_3(u)| \left(A^S \cap \Sigma \right) \right) \\ &= 2 \left(\mathcal{H}^{n-1}(A \cap (J_{v_1(u)} \setminus \Sigma)) + \mathcal{H}^{n-1}(A \cap J_{v_3(u)} \cap \Sigma) \right), \end{aligned} \quad (2.23)$$

which, coupled with (2.22), implies $|Du|(\pi_{\Sigma, M}^{-1}(A^S)) = 0$. Then u is constant on $\pi_{\Sigma, M}^{-1}(A^S)$, which contradicts the validity of the constraint on the fibers. This proves (2.19).

Now, let us suppose, still by contradiction, that there exists a sequence $(u_k)_k \subset D(\mathcal{F})$ such that $\lim_{k \rightarrow +\infty} \mathcal{H}^{n-1}(A \cap \pi_{\Sigma, M}(J_{u_k})) = 0$. Thanks to the assumption on A , $\pi_{\Sigma, M}^{-1}(A^S)$ is a double nontrivial cover of A^S . In particular, for each $k \in \mathbb{N}$, the restriction $\hat{u}_k := u_k|_{\pi_{\Sigma, M}^{-1}(A^S)}$ is in $BV(\pi_{\Sigma, M}^{-1}(A^S); \{0, 1\})$ and satisfies the constraint on the fibers, and reasoning as above, $|D\hat{u}_k|(\pi_{\Sigma, M}^{-1}(A^S)) = 2\mathcal{H}^{n-1}(A \cap \pi_{\Sigma, M}(J_{u_k}))$. By compactness, up to a not relabelled subsequence, there exists $\hat{u} \in BV_{\text{constr}}(\pi_{\Sigma, M}^{-1}(A^S); \{0, 1\})$ such that $\hat{u}_k \rightarrow \hat{u}$ in $L^1(\pi_{\Sigma, M}^{-1}(A^S))$, and by lower semicontinuity,

$$|D\hat{u}|(\pi_{\Sigma, M}^{-1}(A^S)) \leq \liminf_{k \rightarrow +\infty} |D\hat{u}_k|(\pi_{\Sigma, M}^{-1}(A^S)) = 2 \lim_{k \rightarrow +\infty} \mathcal{H}^{n-1}(A \cap \pi_{\Sigma, M}(J_{u_k})) = 0.$$

Hence \hat{u} is constant on $\pi_{\Sigma, M}^{-1}(A^S)$, contradicting the constraint on the fibers. □

Lemma 2.6 shows, in particular, that the nontrivial topology of the cover coupled with the constraint on the fibers forces u to jump in suitable open sets. As a further consequence of Lemma 2.6, the boundary datum S is attained by any constrained function on the cover, in the following sense.

Corollary 2.7. *Let $u \in D(\mathcal{F})$. Then*

$$\overline{\pi_{\Sigma, M}(J_u)} \setminus \pi_{\Sigma, M}(J_u) \supseteq S. \tag{2.24}$$

Proof. The relation $S \cap \pi_{\Sigma, M}(J_u) = \emptyset$ is trivial, recall also (2.12). Now, suppose by contradiction that there exists a point $p \in S \setminus \pi_{\Sigma, M}(J_u)$. Take an open ball B centered at p , with $B \subset \Omega \setminus \pi_{\Sigma, M}(J_u)$, and apply Lemma 2.6 with the choice $A := B$. Then, since $A \cap \pi_{\Sigma, M}(J_u) = \emptyset$, we end up with a contradiction with (2.19). □

If $2 \leq n \leq 7$ and u is a minimizer, it is possible to show that equality holds in (2.24) (see [2, Theorem 4.3] for the details).

The definition of solution to the Plateau problem in the sense of double covers⁴ is as follows.

Definition 2.8 (Constrained double – cover solutions). *We call*

$$\pi_{\Sigma, M}(J_{u_{\min}})$$

a constrained double – cover solution (in Ω) to Plateau’s problem with boundary S .

We say that a portion P of S is wetted if $\overline{\pi_{\Sigma, M}(J_{u_{\min}})} \supseteq P$, see also Section 4.

2.4 Independence of the pair of cuts

In this section we show that constrained double – cover solutions are independent of admissible cuts. A different proof of such an independence is given in Proposition 2.13.

Let us define the notion of unoriented linking number, see for instance [10, Chapter 5, Section 2] for related concepts.

Definition 2.9. *Let $\rho \in C^1(\mathbb{S}^1; \mathbb{R}^n \setminus S)$ be transverse to Σ . The unoriented linking number between ρ and S is defined as*

$$\text{link}_2(\rho; S) := \begin{cases} 0 & \text{if } \#(\rho^{-1}(\Sigma)) \text{ is even,} \\ 1 & \text{if } \#(\rho^{-1}(\Sigma)) \text{ is odd.} \end{cases} \tag{2.25}$$

The right hand side of (2.25) turns out to be independent of the cut Σ . When ρ is just continuous, the unoriented linking number is defined using a C^1 loop homotopic to ρ and not intersecting S [10].

Note that paths that are not trasverse to Σ can be slightly perturbed to become trasversal. Although we expect the resulting unoriented linking number to be independent on the perturbation, we do not investigate

⁴ An analogous definition can be given for covers of degree larger than two.

such fact here. For $n = 2$ or $n = 3$ other definitions are available that do not involve Σ , e.g. for $n = 3$ the linking number between two closed curves as defined in knot theory. We believe such definitions to be essentially equivalent to the one given here.

Theorem 2.10. *Let $\Sigma = (\Sigma, \Sigma')$, $\Gamma = (\Gamma, \Gamma')$ be two pairs of cuts. Let $u \in BV(Y_\Sigma; \{0, 1\})$ satisfy the constraint on the fibers. Then there exists $u' \in BV(Y_\Gamma; \{0, 1\})$ satisfying the constraint on the fibers such that, up to a \mathcal{H}^{n-1} – negligible set,*

$$\pi_{\Sigma, M}(Ju) = \pi_{\Gamma, M}(Ju'). \quad (2.26)$$

Proof. Before giving the proof, we explain in a rough way the idea. First we fix a “base point” $x_0 \in M \setminus (\Sigma \cup \Sigma' \cup \Gamma \cup \Gamma')$ (we can think of x_0 as the position of an observer and take it “far away” from all the objects involved, i.e. outside a sphere containing $\Sigma \cup \Sigma' \cup \Gamma \cup \Gamma'$); the actual positioning of x_0 is however inessential) and count the parity of intersections of a path starting at x_0 and ending at a generic point x , with the cuts (see (2.27)), a number that turns out to be independent of the path itself. Next, we construct the function u' so that u' coincides with u when calculated at (x, j) for $j = 1, 2$, provided that the parity of the number of intersections with Σ coincides with the parity of the number of intersections with Γ , while u' coincides with $1 - u$ when calculated at (x, j) for $j = 1, 2$, provided that the parity of the number of intersections with Σ differs with the parity of the number of intersections with Γ . Similarly, u' coincides with u when calculated at (x, j') for $j' = 3, 4$, provided that the parity of the number of intersections with Σ' coincides with the parity of the number of intersections with Γ' , while u' coincides with $1 - u$ when calculated at (x, j') for $j' = 3, 4$, provided that the parity of the number of intersections with Σ' differs with the parity of the number of intersections with Γ' .

Let us now come to the proof. Without loss of generality, we can suppose that $\Sigma \neq \Gamma$. Fix $x_0 \in M \setminus (\Sigma \cup \Sigma' \cup \Gamma \cup \Gamma')$. Let $x \in M \setminus (\Sigma \cup \Sigma' \cup \Gamma \cup \Gamma')$, and let $\gamma_x \in C^1([0, 1]; M)$ be such that $\gamma_x(0) = x_0$, $\gamma_x(1) = x$, and γ_x is transverse both to Σ and to Γ ; such a γ_x will be called an admissible path from x_0 to x . We set

$$h(\gamma_x; \Sigma, \Gamma) := \#(\gamma_x^{-1}(\Sigma)) + \#(\gamma_x^{-1}(\Gamma)). \quad (2.27)$$

If we consider another admissible path λ_x from x_0 to x , we have that $h(\gamma_x; \Sigma, \Gamma)$ and $h(\lambda_x; \Sigma, \Gamma)$ have the same parity. Indeed, let ρ be the closed curve going from x_0 to x following γ_x , and then backward from x to x_0 along λ_x . Recalling that $\text{link}_2(\rho; \Sigma) = \text{link}_2(\rho; \Gamma)$, it follows that $h(\gamma_x; \Sigma, \Gamma) + h(\lambda_x; \Sigma, \Gamma) = \#(\rho^{-1}(\Sigma)) + \#(\rho^{-1}(\Gamma))$ is even. We are then allowed to set

$$h(x; \Sigma, \Gamma) := \begin{cases} 0 & \text{if } h(\gamma_x; \Sigma, \Gamma) \text{ is even,} \\ 1 & \text{if } h(\gamma_x; \Sigma, \Gamma) \text{ is odd,} \end{cases} \quad (2.28)$$

for any admissible γ_x from x_0 to x ⁵.

Set $\Omega := \{x \in M \setminus (\Sigma \cup \Gamma) : h(x; \Sigma, \Gamma) = 0\}$, which is an open set, with $\partial\Omega \subseteq \Sigma \cup \Gamma$; moreover Ω has finite perimeter in Ω by [3, Proposition 3.62]. Define

$$v'_1 := \begin{cases} v_1(u) & \text{in } \Omega, \\ 1 - v_1(u) & \text{in } \Omega \setminus \Omega. \end{cases}$$

From [3, Theorem 3.84] it follows that $v'_1 \in BV(\Omega; \{0, 1\})$. It also follows⁶ that

$$J_{v'_1} \setminus (\Sigma \cup \Gamma) = J_{v_1(u)} \setminus (\Sigma \cup \Gamma). \quad (2.29)$$

⁵ Once x_0 is fixed, the function h allows to define an “exterior” and an “interior” of $\Sigma \cup \Gamma$, even when Σ and Γ intersect on a set of positive \mathcal{H}^{n-1} – measure.

⁶ Indeed, let $x \in J_{v'_1} \setminus (\Sigma \cup \Gamma)$ and let γ_x be an admissible path from x_0 to x . Let $B(x)$ be an open ball centered at x and disjoint from $\Sigma \cup \Gamma$; in particular, every $z \in B(x)$ can be reached by a path obtained attaching to γ_x the segment between x and z ; notice that such a path γ_z is admissible from x_0 to z , and $h(\gamma_z; \Sigma, \Gamma) = h(\gamma_x; \Sigma, \Gamma)$. Therefore, either $v'_1 = v_1(u)$ in $B(x)$ or $v'_1 = 1 - v_1(u)$ in $B(x)$, which implies $x \in J_{v_1(u)}$. Hence $J_{v'_1} \setminus (\Sigma \cup \Gamma) \subseteq J_{v_1(u)} \setminus (\Sigma \cup \Gamma)$. Similarly, also the converse inclusion holds, and (2.29) follows.

We define $u' \in BV_{\text{constr}}(Y_{\Gamma}; \{0, 1\})$ as the constrained lifting of v'_1 when D is replaced by $\Omega \setminus \Gamma$. Recalling also (2.6), set

$$v'_3 := \begin{cases} v'_1 & \text{in the exterior region to } \Gamma \cup \Gamma', \\ 1 - v'_1 & \text{in the interior region to } \Gamma \cup \Gamma'. \end{cases}$$

Notice that $v'_3 \in BV(\Omega; \{0, 1\})$. By construction, we have

$$v'_1 = v_1(u'), \quad v'_3 = v_3(u').$$

We claim that u' satisfies (2.26). From (2.12) we have

$$\pi_{\Gamma, M}(J_{u'}) = (J_{v'_1} \setminus (\Gamma \setminus S)) \cup (J_{v'_3} \cap (\Gamma \setminus S)),$$

and our proof is concluded provided we show that, up to a \mathcal{H}^{n-1} -negligible set,

$$(J_{v'_1} \setminus \Gamma) \cup (J_{v'_3} \cap \Gamma) = (J_{v_1(u)} \setminus \Sigma) \cup (J_{v_3(u)} \cap \Sigma). \quad (2.30)$$

Let us split the left hand side of (2.30) as follows:

$$\begin{aligned} J_{v'_1} \setminus \Gamma &= (J_{v'_1} \cap \Sigma) \setminus \Gamma \cup (J_{v'_1} \setminus (\Sigma \cup \Gamma)), \\ J_{v'_3} \cap \Gamma &= (J_{v'_3} \cap \Sigma \cap \Gamma) \cup (J_{v'_3} \cap \Gamma \setminus \Sigma). \end{aligned} \quad (2.31)$$

Let us show that, up to a \mathcal{H}^{n-1} -negligible set,

$$(J_{v'_1} \cap \Sigma) \setminus \Gamma = (J_{v_3(u)} \cap \Sigma) \setminus \Gamma. \quad (2.32)$$

Let $x \in (J_{v'_1} \cap \Sigma) \setminus \Gamma$. Up to a \mathcal{H}^{n-1} -negligible set⁷, we can assume that the approximate tangent spaces to $J_{v'_1}$ and Σ at x coincide. Let $B(x)$ be an open ball centered at x , not intersecting Γ , and such that $B(x) \setminus \Sigma$ consists of two connected components. The same argument used in the proof of (2.29) shows that on one component $v'_1 = v_1(u)$, while on the other $v'_1 = 1 - v_1(u)$. Since $x \in J_{v'_1}$, we have

$$x \notin J_{v_1(u)}.$$

On the other hand, by (2.6), in one component we have $v_1(u) = v_3(u)$, while in the other component $v_3(u) = v_2(u) = 1 - v_1(u)$ (where in the last equality we used (2.11)). Thus, $x \in J_{v_3(u)}$. So, up to a \mathcal{H}^{n-1} -negligible set, $(J_{v'_1} \cap \Sigma) \setminus \Gamma \subseteq (J_{v_3(u)} \cap \Sigma) \setminus \Gamma$. Arguing similarly for the other inclusion, we get (2.32).

The same argument applies also to prove that, up to a \mathcal{H}^{n-1} -negligible set,

$$J_{v'_3} \cap \Sigma \cap \Gamma = J_{v_3(u)} \cap \Sigma \cap \Gamma, \quad (2.33)$$

and

$$(J_{v'_3} \cap \Gamma) \setminus \Sigma = (J_{v_1(u)} \cap \Gamma) \setminus \Sigma. \quad (2.34)$$

From (2.29) – (2.34), we finally get (2.30). \square

Corollary 2.11 (Independence). *The minimal value in (2.18) is independent of the pair Σ of cuts.*

Proof. Let Σ, Γ be two pairs of cuts. Let us denote $\mathcal{A}(\Sigma)$ (resp. $\mathcal{A}(\Gamma)$) the minimal value of \mathcal{F} attained among all competitors in $D_{\Sigma}(\mathcal{F})$ (resp. in $D_{\Gamma}(\mathcal{F})$). Let $u_{\min} \in D_{\Sigma}(\mathcal{F})$ be a function realizing the minimal value, i.e., $\mathcal{F}(u_{\min}) = \mathcal{A}(\Sigma)$. Let $u' \in BV(Y_{\Gamma}; \{0, 1\})$ be the function satisfying the constraint on the fibers given by Theorem 2.10 applied with $u = u_{\min}$. Then, by (2.15) and (2.26), we have

$$\mathcal{A}(\Gamma) \leq 2\mathcal{H}^{n-1}(\pi_{\Gamma, M}(J_{u'})) = 2\mathcal{H}^{n-1}(\pi_{\Sigma, M}(J_{u_{\min}})) = \mathcal{A}(\Sigma).$$

Arguing similarly for the converse inequality, we get $\mathcal{A}(\Gamma) = \mathcal{A}(\Sigma)$. \square

⁷ Here we use again [3, Theorem 3.84].

In view of Corollary 2.11, we often skip the symbol Σ in the notation of the cover, and on the minimal value of the area. Moreover, we often set

$$p := \pi_{\Sigma, M}.$$

The relations between a constrained double-cover solution and other notions of solution to the Plateau problem can be found in [2].

2.5 Abstract construction of the double cover

The construction of the abstract cover is standard [9]: fix $x_0 \in M$, and set $C_{x_0}([0, 1]; M) := \{\gamma \in C([0, 1]; M) : \gamma(0) = x_0\}$. For $\gamma \in C_{x_0}([0, 1]; M)$, let $[\gamma]$ be the class of paths in $C_{x_0}([0, 1]; M)$ which are homotopic to γ with fixed endpoints. We recall that the universal cover of M is the pair (\tilde{M}, p) , where $\tilde{M} := \{[\gamma] : \gamma \in C_{x_0}([0, 1]; M)\}$ and $p: [\gamma] \in \tilde{M} \mapsto p([\gamma]) := \gamma(1) \in M$. The topology of \tilde{M} is defined as follows: consider the family $\mathcal{U} := \{B \subseteq M : B \text{ open ball}\}$, which is a basis of open sets of M . For $B \in \mathcal{U}$, and for $[\gamma] \in \tilde{M}$ such that $\gamma(1) \in B$, define

$$U_{[\gamma], B} := \{[\gamma\lambda] : \lambda \in C([0, 1]; B), \lambda(0) = \gamma(1)\}.$$

Then a basis for the topology of \tilde{M} is given by $\tilde{\mathcal{U}} := \{U_{[\gamma], B} : B \in \mathcal{U}, [\gamma] \in \tilde{M}, \gamma(1) \in B\}$.

Let $\pi_1(M, x_0)$ be the fundamental group of M with base point x_0 , and let

$$H := \{[\rho] \in \pi_1(M, x_0) : \text{link}_2(\rho; S) = 0\},$$

which is a normal subgroup of $\pi_1(M, x_0)$ of index two.

For $\gamma \in C_{x_0}([0, 1]; M)$, set $\bar{\gamma}(t) := \gamma(1-t)$ for all $t \in [0, 1]$. Associated with H , we can consider the following equivalence relation \sim_H on \tilde{M} : for $[\gamma], [\lambda] \in \tilde{M}$,

$$[\gamma] \sim_H [\lambda] \iff \gamma(1) = \lambda(1), \quad \text{link}_2(\gamma\bar{\lambda}; S) = 0.$$

We denote by $[\gamma]_H$ the equivalence class of $[\gamma] \in \tilde{M}$ induced by \sim_H , and we set

$$M_H := \tilde{M} / \sim_H.$$

Letting $\tilde{p}_H: \tilde{M} \rightarrow M_H$ be the canonical projection induced by \sim_H , we endow M_H with the corresponding quotient topology. We set $p_{H, M}: [\gamma]_H \in M_H \mapsto \gamma(1) \in M$, so that we have the following commutative diagram

$$\begin{array}{ccc} \tilde{M} & \xrightarrow{\tilde{p}_H} & M_H \\ & \searrow p & \downarrow p_{H, M} \\ & & M \end{array} \tag{2.35}$$

and the pair $(M_H, p_{H, M})$ is a cover of M , see [9, Proposition 1.36].

Let (Y, π_Y) be a cover of M , and let $y_0 \in \pi_Y^{-1}(x_0)$. By $(\pi_Y)_*: \pi_1(Y, y_0) \rightarrow \pi_1(M, x_0)$ we denote the homomorphism defined as $(\pi_Y)_*([\rho]) := [\pi_Y \circ \rho]$. By [9, Proposition 1.36], we have

$$(p_{H, M})_* (\pi_1(M_H, [x_0]_H)) = H, \tag{2.36}$$

where $\pi_1(M_H, [x_0]_H)$ is the fundamental group of M_H with base point the equivalence class $[x_0]_H$ of the constant loop x_0 .

Proposition 2.12. *Let Σ be a pair of cuts. Then Y_Σ and M_H are homeomorphic.*

Proof. By [9, p. 28], we can assume that $x_0 \notin \Sigma \cup \Sigma'$. Now, let $y_0 \in \pi_{\Sigma, M}^{-1}(x_0)$ and $[\varrho] \in \pi_1(Y_\Sigma, y_0)$. Then, $[\varrho]$ changes sheet in Y_Σ an even (or zero) number of times; therefore, assuming without loss of generality ϱ of class C^1 and transverse to Σ , recalling also (2.25), we have

$$0 \equiv \#((\pi_{\Sigma, M} \circ \varrho)^{-1}(\Sigma)) \equiv \text{link}_2(\pi_{\Sigma, M} \circ \varrho; S) \pmod{2},$$

which implies $\pi_{\Sigma, M} \circ \varrho \in H$. Hence, $(\pi_{\Sigma, M})^*(\pi_1(Y_\Sigma, y_0)) \leq H$, and since H and $(\pi_{\Sigma, M})^*(\pi_1(Y_\Sigma, y_0))$ have the same index, they must coincide. From (2.36), we deduce

$$(\mathfrak{p}_{H, M})^*(\pi_1(M_H, [x_0]_H)) = (\pi_{\Sigma, M})^*(\pi_1(Y_\Sigma, y_0)).$$

By [9, Proposition 1.37], the proof is complete. \square

The homeomorphism between the two covers, which we denote

$$f_\Sigma: M_H \rightarrow Y_\Sigma, \quad (2.37)$$

is given for instance in the proof of [9, Proposition 1.33]: for $[\gamma]_H \in M_H$, let $\beta \in C([0, 1]; M_H)$ be a path from $[x_0]_H$ to $[\gamma]_H$; we uniquely lift $\mathfrak{p}_{H, M} \circ \beta$ to a path in Y_Σ with base point y_0 . Then, $f_\Sigma([\gamma]_H)$ is defined as the endpoint of the lifted path, which turns out to be independent of β .

Let us define the distance d_{M_H} on M_H as follows: for $[\gamma]_H, [\lambda]_H \in M_H$,

$$d_{M_H}([\gamma]_H, [\lambda]_H) := \inf_\beta \sup \left\{ \sum_l |\mathfrak{p}_{H, M}(\beta(t_l)) - \mathfrak{p}_{H, M}(\beta(t_{l-1}))| : (t_l)_l \in \text{Part}(\beta) \right\}, \quad (2.38)$$

where the infimum runs among all $\beta \in C([0, 1]; M_H)$ connecting $[\gamma]_H$ and $[\lambda]_H$; for any such β , $\text{Part}(\beta)$ denotes the collection of all finite partitions $(t_l)_l$ of $[0, 1]$ such that, for every l , there exist $[\gamma_l] \in \tilde{M}$ and a ball $B_l \subseteq M$ with $U_{[\gamma_l], B_l} \in \tilde{\mathcal{U}}$ such that $\beta([t_{l-1}, t_l]) \subset \tilde{\mathfrak{p}}_H(U_{[\gamma_l], B_l})$.

Symmetry, positivity, and the triangular inequality of d_{M_H} are direct consequences of the definition. Let us show that $d_{M_H}([\gamma]_H, [\lambda]_H) = 0$ implies $[\gamma]_H = [\lambda]_H$. Clearly, we have $\gamma(1) = \lambda(1)$. Fix $\epsilon > 0$, and let $\beta \in C([0, 1], M_H)$, $N \in \mathbb{N}$, $(t_l)_l \in \text{Part}(\beta)$, $l \in \{1, \dots, N\}$, be such that $\sum_{l=1}^N |\mathfrak{p}_{H, M}(\beta(t_l)) - \mathfrak{p}_{H, M}(\beta(t_{l-1}))| \leq \epsilon$. In particular, for $\epsilon > 0$ sufficiently small, the closed curve ρ defined as⁸

$$\rho := \llbracket \gamma(1), \mathfrak{p}_{H, M}(\beta(t_1)) \rrbracket \cdots \llbracket \mathfrak{p}_{H, M}(\beta(t_{N-1})), \lambda(1) \rrbracket$$

is contractible in M , which implies that

$$\text{link}_2(\rho; S) = 0. \quad (2.39)$$

By definition of $\text{Part}(\beta)$, for every $l \in \{1, \dots, N\}$ there exist $\lambda_{l,1}, \lambda_{l,2} \in C([0, 1]; B_l)$, with $\lambda_{l,1}(0) = \lambda_{l,2}(0) = \gamma_l(1)$, and such that $\beta(t_{l-1}) = [\gamma_l \lambda_{l,1}]_H$, $\beta(t_l) = [\gamma_l \lambda_{l,2}]_H$; notice that, since $[\gamma_{l-1} \lambda_{l-1,2}]_H = \beta(t_{l-1}) = [\gamma_l \lambda_{l,1}]_H$, we have

$$\text{link}_2(\gamma_{l-1} \lambda_{l-1,2} \bar{\lambda}_{l,1} \bar{\gamma}_l; S) = 0. \quad (2.40)$$

Set $\rho_l := \gamma_l \lambda_{l,1} \llbracket \lambda_{l,1}(1), \lambda_{l,2}(1) \rrbracket \bar{\lambda}_{l,2} \bar{\gamma}_l$, which is a closed curve in M . In particular,

$$\text{link}_2(\rho_l; S) = \text{link}_2(\lambda_{l,1} \llbracket \lambda_{l,1}(1), \lambda_{l,2}(1) \rrbracket \bar{\lambda}_{l,2}; S) = 0, \quad (2.41)$$

where last equality follows recalling that B_l is contractible in M .

Coupling (2.39), (2.40) and (2.41), we get

$$\begin{aligned} \text{link}_2(\gamma \bar{\lambda}; S) &= \text{link}_2(\gamma_0 \lambda_{0,1} \bar{\lambda}_{N,2} \bar{\lambda}; S) \\ &= \sum_{l=1}^N \left(\text{link}_2(\rho_l; S) + \text{link}_2(\gamma_{l-1} \lambda_{l-1,2} \bar{\lambda}_{l,1} \bar{\gamma}_l; S) \right) + \text{link}_2(\rho; S) = 0. \end{aligned}$$

⁸ Here by $\llbracket x, x' \rrbracket$ we mean the path corresponding to the segment from x to x' , for every $x, x' \in M$.

Hence $[\gamma] \sim_H [\lambda]$, and the conclusion follows.

Now, we are in the position to establish the isometry between the two covers. We endow Y_{Σ} with the distance $d_{Y_{\Sigma}}$ defined as follows: for any $y, y' \in Y_{\Sigma}$, we set

$$d_{Y_{\Sigma}}(y, y') = \inf_{\eta} \sup \left\{ \sum_l |\pi_{\Sigma, M}(\eta(t_l)) - \pi_{\Sigma, M}(\eta(t_{l-1}))| : (t_l)_l \in \text{Part}(\eta) \right\}, \quad (2.42)$$

where the infimum runs among all $\eta \in C([0, 1]; Y_{\Sigma})$ connecting y and y' , and $\text{Part}(\eta)$ is the family of all finite partitions $(t_l)_l$ of $[0, 1]$ such that, for every l , $\eta([t_{l-1}, t_l])$ is contained in a single chart of Y_{Σ} .

Proposition 2.13 (Isometry). *The map f_{Σ} in (2.37) is an isometry between (M_H, d_{M_H}) and $(Y_{\Sigma}, d_{Y_{\Sigma}})$.*

Proof. Let $[\gamma]_H, [\lambda]_H \in M_H$. For $\epsilon > 0$, let $\beta \in C([0, 1]; M_H)$ be a path from $[\gamma]_H$ to $[\lambda]_H$, realizing the infimum in (2.38) up to a contribution of order ϵ . Now, set $\eta := f_{\Sigma} \circ \beta$; accordingly to (2.42), let $(t_l)_l \in \text{Part}(\eta)$ be such that

$$d_{Y_{\Sigma}}(f_{\Sigma}([\gamma]_H), f_{\Sigma}([\lambda]_H)) \leq \sum_l |\pi_{\Sigma, M}(\eta(t_l)) - \pi_{\Sigma, M}(\eta(t_{l-1}))| + \epsilon.$$

Clearly, it is not restrictive to assume that, for every l , $\pi_{\Sigma, M}(\eta([t_{l-1}, t_l])) \subset B_l$, for some open ball $B_l \subset M$. Therefore, accordingly to (2.38), we have $(t_l)_l \in \text{Part}(\beta)$; hence, for every l ,

$$|\pi_{\Sigma, M}(\eta(t_l)) - \pi_{\Sigma, M}(\eta(t_{l-1}))| = |\mathfrak{p}_{H, M}(\beta(t_l)) - \mathfrak{p}_{H, M}(\beta(t_{l-1}))|,$$

which implies

$$d_{Y_{\Sigma}}(f_{\Sigma}([\gamma]_H), f_{\Sigma}([\lambda]_H)) \leq d_{M_H}([\gamma]_H, [\lambda]_H) + 2\epsilon.$$

By the arbitrariness of ϵ , we get $d_{Y_{\Sigma}}(f_{\Sigma}([\gamma]_H), f_{\Sigma}([\lambda]_H)) \leq d_{M_H}([\gamma]_H, [\lambda]_H)$. Similarly, we get the converse inequality. \square

Once we have to minimize a functional defined on some functional domain, the metric structure (and not only its topology) of the cover becomes relevant: the distance function on Y is locally euclidean, and the two methods described above give isometric covers.

We conclude this section remarking that a large part of what we have described can be generalized [2]:

- to a cover of $\mathbb{R}^n \setminus S$ having more than two sheets. Allowing three or more sheets has the interesting by-product of modelling singularities in soap films such as triple junctions (in the plane), or triple curves⁹ (in space), quadruple points, etc.
- when S is not smooth, for instance S the one-skeleton of a polyhedron.

We refer to [6], [2] and [4] for a more complete description for covers of any (finite) degree.

3 Covers of degree larger than two

The use of covers $p := \pi_{\Sigma, M} : Y \rightarrow M$ of degree larger than two, coupled with scalar or even vector-valued BV-functions defined on Y and satisfying a suitable constraint, is of interest since for instance:

- when $n = 2$, one can model, among others, the Steiner minimal graph problem connecting a finite number $k \geq 3$ of points in the plane [2];
- when $n = 3$, one can consider configurations with singularities (triple curves, quadruple points etc.), in particular when S is the one-dimensional skeleton of a polyhedron;

⁹ Curves where three sheets of the surface meet at nonzero angles.

- choosing carefully the cover, it is possible to model soap films with higher topological genus, as in the example of the one-skeleton of a tetrahedron¹⁰ discussed in [4]: the resulting soap film seems not to be modelable using the Reifenberg approach [16].

Some remarks to be pointed out are the following:

- in the construction of the cover, and to model interesting situations, it frequently happens to make use¹¹ of what the author of [6] called “invisible wires”: these may have various applications, such as making globally compatible the cover, or also acting as an obstacle (see also Section 4). They are called invisible wires because the soap film should be supposed to wet the initial wireframe S , but not to wet the invisible wires, so that their actual position becomes relevant. Proving that a soap film has no convenience to wet the invisible wires for special choices of their position, seems to be an open problem, not discussed in [6]. We refer to [4] for more.
- Instead of describing explicitly the cut and past procedure (as in Section 2) and the parametrizing maps (which becomes more and more complicated as the degree of the cover increases) now it is often convenient to construct the cover first by orienting all portions¹² of the cut, then declaring in a consistent global way the permutations for gluing the sheets along the cut, and finally to use the local triviality of the cover, in order to check the consistency of the gluing. Already in the case of triple covers, a relevant fact is the use of permutations with fixed points.
- Another useful way to describe the cover is the abstract construction (already considered in Section 2.5 for double covers): one has to suitably quotient the universal cover with a subgroup of the fundamental group of the complement of S ¹³. A clear advantage of this approach is its independence of any cut, a fact that, with the cut and past procedure, requires a proof.
- BV-functions defined on Y could be vector valued, as in [2]. Suppose for simplicity to consider a triple cover; then one choice is to work with BV-functions $u : Y \rightarrow \{\alpha, \beta, \gamma\}$, where α, β, γ are the vertices of an equilateral triangle of \mathbb{R}^2 , having its barycenter at the origin. If x is any point of M and $p^{-1}(x) = \{y_1, y_2, y_3\}$ is the fiber over x , then we require $\{u(y_1), u(y_2), u(y_3)\} = \{\alpha, \beta, \gamma\}$. Clearly, the constraint now reads as $\sum_{i=1}^3 u(y_i) = 0$.

Another choice (made also in [4]) is, instead, the following. Again, suppose for simplicity to consider a triple cover. We can consider BV-functions $u : Y \rightarrow \{0, 1\}$, so that if x is any point of M and $p^{-1}(x) = \{y_1, y_2, y_3\}$ is the fiber over x , then we require the constraint $\sum_{i=1}^3 u(y_i) = 1$ (so that $\{u(y_1), u(y_2), u(y_3)\} = \{1, 0, 0\}$). Other choices of the constraint are conceivable, but we do not want to pursue this issue in the present paper.

Once we have specified the domain of the area functional, i.e., a class of constrained BV-functions u , the variational problem becomes, as in Section 2, to minimize the total variation of u ¹⁴. This turns out to be the $(n-1)$ -dimensional Hausdorff measure of the projection $p(J_u)$ of the jump set J_u of u , times a positive constant c , related to the codomain of u and possibly to the number of sheets. For instance, for $u(y) \in \{\alpha, \beta, \gamma\}$ as above, then $c = 3\ell$, where $\ell = |\beta - \alpha|$. For $u(y) \in \{0, 1\}$, then $c = 2$.

In the next section we construct triple covers, in some interesting cases not considered in [4], and only partially considered in [6].

¹⁰ The triple cover constructed in [4] used to realize a soap film with two tunnels is not normal. Roughly, this means that one of the three sheets is treated in a special way; this is also related to the Dirichlet condition imposed on the cover in correspondence of the boundary of Ω .

¹¹ Invisible wires can be useful also for covers of degree two.

¹² It is worth noticing that it may happen that now the cut surface is immersed, and not embedded.

¹³ or, if necessary, of the union of S and the invisible wires.

¹⁴ In the case of $u(y) \in \{\alpha, \beta, \gamma\}$, the total variation is computed using the Frobenius norm $|T| = \sqrt{\sum (t_{ij})^2}$ on matrices $T = (t_{ij})$.

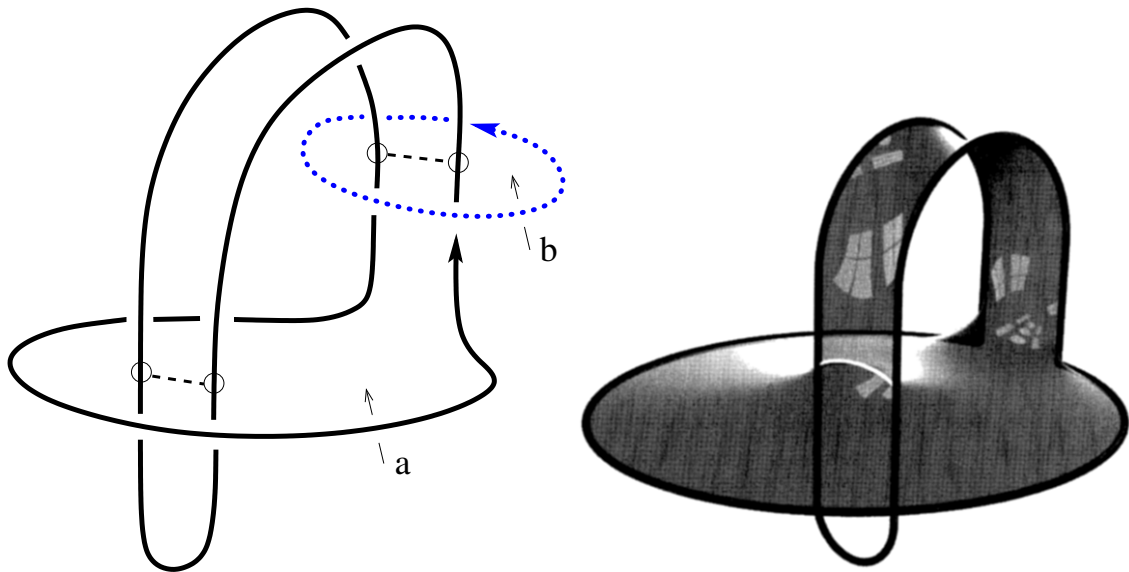


Figure 2: Left: an unknotted boundary (bold curve). The dotted loop represents an invisible wire that is not part of the problem but essential for the cover construction. Right: a striking example of minimal film that only partially touches the boundary, due to Almgren [1, fig. 1.9].

4 Examples

In this section all covers are of degree three; moreover, we consider BV functions $u : Y \rightarrow \{0, 1\}$ with the constraint that the sum of the values of u on the three points of each fiber equals 1.

We start with the example of Figure 2, due to F.J. Almgren [1, Fig. 1.9].

Example 4.1 (A partially wetted curve). Let S be the (unknotted) bold curve in Figure 2 (left). We want to construct a cover of $\mathbb{R}^3 \setminus S$ compatible with the soap film in Figure 2 (right), where the lower part is not wetted. The presence of the triple curve suggests to use a cover of degree at least three, and indeed three will suffice. Removal of the unknotted curve from \mathbb{R}^3 leaves a set with infinite cyclic fundamental group (isomorphic to \mathbb{Z}).

The only possible cover with three sheets that can be constructed on such a base space would necessarily imply a cyclic permutation of the three points of the fiber when looping around the lower portion of the curve, forcing an undesired wetting. Similarly to the construction described in [4] and in the same spirit as in many of the examples in [6], we then add an “invisible wire” in the form of a loop circling the pair of nearby portions of S in the upper part. This is represented by the dotted loop C in Figure 2 (left). The base space M is then defined as $\mathbb{R}^3 \setminus (S \cup C)$.

A cut and past construction of the cover $p : Y \rightarrow M$ can now be defined by cutting M along two surfaces bounded by S and by C respectively. The first one resembles the film of Figure 2 (right), but it has a self-intersection along the dashed (lower) segment and continues below the disk-like portion touching the whole of S ; the second one is a small disk bounded by C , intersecting the first cutting surface along the dashed segment. We now take three copies, numbered 1, 2, 3, of the cutted version of M and glue them along the cutting surfaces according to given permutations of the three sheets, that we now describe.

The permutation along the lower portion of S is chosen as $(2\ 3)$, namely stratum 1 glues with itself, while strata 2 and 3 get exchanged. This choice is justified because we do not want to force wetting of that portion, indeed a function in $D(\mathcal{F})$ defined equal to 1 in sheet 1 does not jump along a tight loop around that part of S . This choice in turn requires that we fix the Dirichlet-type condition $u = 1$ out of a sufficiently large ball on stratum 1 of the cover.

The permutations on the remaining parts of the cut can then be chosen consistently as follows:

- (2 3) (as already described) in the lower tongue-like portion of the surface bordered by S ;
- (2 3) when crossing the disk-like surface bordered by C ;
- (1 2) when crossing the large disk-like portion of the surface bordered by S ;
- (1 3) when crossing the ribbon-like portion of the surfaces between the two dashed crossing curves.

Note that corresponding to portions of the surface that are wetting the bold curve, stratum 1 is exchanged with a different stratum.

It is a direct check that with this definition the local triviality of the triple cover around the triple curves, namely that a small loop around the dashed curves must be contractible in M , is satisfied. This check consists in showing that the composition of the three permutations associated with the crossings must produce the identity: $(2\ 3)(1\ 2)^{-1}(1\ 3)^{-1}(1\ 2) = ()$. The construction is actually unique up to exchange of sheets 2 and 3.

The fundamental group $\pi_1(M)$ of M is readily seen to be free of rank 2. It can be generated by the two Wirtinger generators schematically denoted by a and b in Figure 2 left. We can then finitely present $\pi_1(M)$ with two generators and no relation as

$$\pi_1(M) = \langle a, b \rangle .$$

An abstract construction of the cover can be obtained by considering the homomorphism $\varphi : \pi_1(M) \rightarrow \mathcal{S}_3$ (permutations of the set $\{1, 2, 3\}$) defined by the position $\varphi(a) = (1\ 2)$, $\varphi(b) = (2\ 3)$ and then defining the subgroup $H < \pi_1(M)$ as

$$H = \{w \in \pi_1(M) : \varphi(w) : 1 \mapsto 1\} .$$

It contains all reduced words $w \in \pi_1(M)$ whose image under φ is either the identity $() \in \mathcal{S}_3$ or the transposition $(2\ 3)$. It is a direct check that H has index 3 in $\pi_1(M)$ and that it is not normal.

As discussed in [4] for the example of the tetrahedral wire, also in this example we cannot exclude a priori that a minimizing surface wets the invisible wire: we have already remarked that this is a difficulty present in any example constructed using invisible wires.

Finally, we recall that soap films that partially wet any knotted curve have been proven to exist in [15].

The soap film of the next example can be found for instance in [11, pag. 85 and Fig. 4.14].

Example 4.2 (Soap film with triple curves on a cubical frame). Let S be the one-dimensional skeleton of the cube (Figure 3). We want to construct a cover of $M = \mathbb{R}^3 \setminus S$ which is compatible with the soap film in Figure 3; note that here the soap film wets all the edges of the skeleton.

Again, we want to model a soap film with triple curves, but not with quadruple points, and indeed, as we shall see, a triple cover of M will suffice. Also, there will be no need of any invisible wire. First of all, we orient the three pairs of opposite faces of the cube from the exterior to the interior, as in Figure 4 (left). It turns out that we can make use of the cyclic permutations of $\{1, 2, 3\}$. We imagine a cut along the six faces of the cube, and we associate the same permutation to opposite faces: the identity permutation $()$ is associated to the frontal and back faces, in order to model the presence of the tunnel. The three powers $()$, $(1\ 2\ 3)$, $(1\ 3\ 2)$ of the cyclic permutation $(1\ 2\ 3)$ are depicted in Figure 4. The presence of the identity permutation on a pair of opposite faces has the effect of actually not having a cut there. On the other hand, a tight loop around an edge turns out in the composition of a power of $(1\ 2\ 3)$ with the inverse of a different power of $(1\ 2\ 3)$, so that the result is either $(1\ 2\ 3)$ or $(1\ 3\ 2)$, hence a permutation without fixed points, which forces to wet that edge.

Observe that a curve entering a face and exiting from the opposite one produces the identical permutation of the strata of the cover, hence it does not necessarily have to meet the projection of the jump set of a function u .

The fundamental group of M turns out to be a free group of rank 5, and it can be generated by the elements of $\pi_1(M)$ schematically displayed in Figure 4 (right) as a, b, c, d, e ; the corresponding Wirtinger presentation is

$$\pi_1(M) = \langle a, b, c, d, e \rangle$$

(five generators and no relations). Observe that the orientation of the edges in the figure is chosen such that all five generators loop positively around the corresponding edge and result in the permutation $(1\ 2\ 3)$ of the

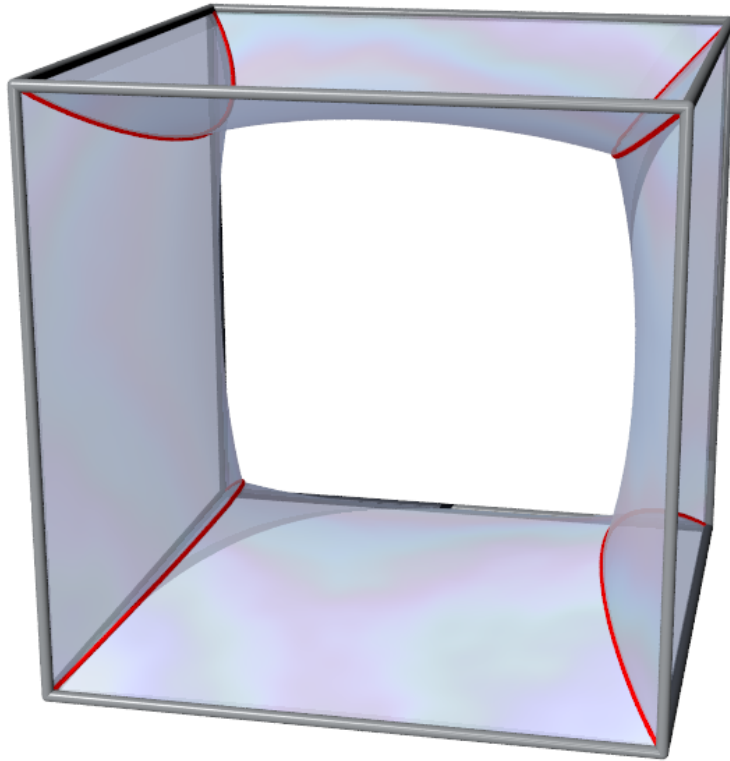


Figure 3: A non-simply connected minimal film spanning a cube. Image obtained using the `surf` code by E. Paolini.

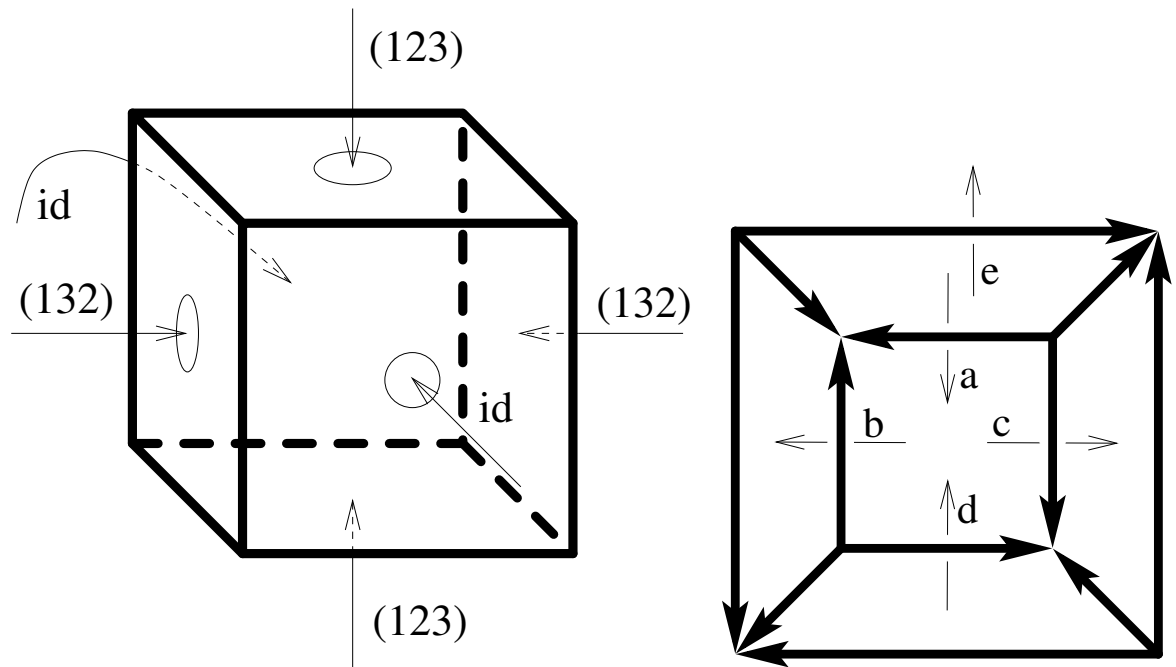


Figure 4: Left: orientation of the cut (the faces of the cube), and permutations of the sheets along the cut. Right: the Wirtinger presentation of the fundamental group of the complement of the one-skeleton of a cube.

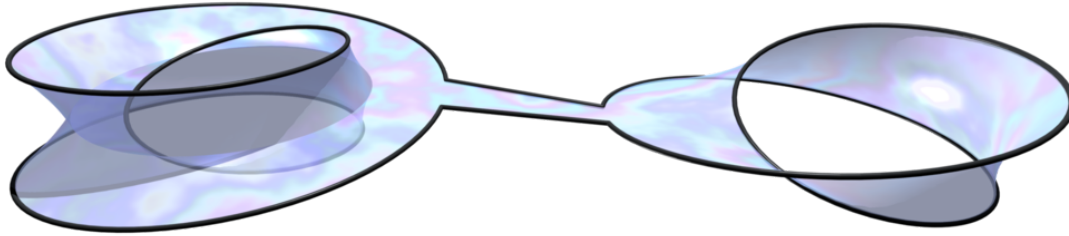


Figure 5: A minimal film that retracts to its boundary. Image provided by E. Paolini. An example of a film that *deformation* retracts to its boundary can be found in [14, fig. 3], the same example can also be found in [6, fig. 14]

three sheets when compared with the cut/paste construction. This allows an abstract definition of the cover by considering the homomorphism $\varphi : \pi_1(M) \rightarrow \mathcal{S}_3$ that maps all five generators onto the cyclic permutation $(1\ 2\ 3)$ and take the normal subgroup $H < \pi_1(M)$, kernel of φ . A word $w \in \pi_1(M)$ belongs to H whenever the exponent sum with respect to all generators is a multiple of 3.

The abstract construction shows that this cover is normal. Note that this construction is invariant (up to isomorphisms) under the symmetry group of the cube, hence a minimizer will not be unique unless it is invariant under such symmetry group, which we do not expect to be true in view of the film displayed in Figure 3.

Minimizers with this topology were also obtained by real experiments [11].

The next example (Figure 5, found by J.F. Adams in [16, Appendix]) concerns a soap film which retracts to its boundary.

Example 4.3. Let S be the curve of Figure 5: we would like to consider the soap film of the figure as a cut, but in order to construct a consistent triple cover, this is not sufficient. Indeed, we add an invisible wire in the form of a loop C circling around the Moëbius strip on the right; next we consider as a cut the union of the soap film in the figure and a disk bounded by C . Of course, this cut has a selfintersection along a diameter of the disk. Now, take as usual three copies 1, 2, 3 of the cutting surface and glue them using the permutations as follows:

- (2 3) when crossing the disk bounded by C ;
- (1 2 3) on the remaining part of the cut.

Observe that the part of the cut on the right hand side is not orientable: the invisible wire acts in such a way to revert the cyclic permutation $(1\ 2\ 3)$ when crossing the disk.

It turns out that a presentation of the fundamental group of $M = \mathbb{R}^3 \setminus (S \cup C)$ is

$$\pi_1(M) = \langle a, b; abab = baba \rangle,$$

where a corresponds to a small loop circling around S , and b corresponds to a short loop circling around the invisible wire C .

The abstract definition of the cover is obtained by considering the homomorphism $\varphi : \pi_1(M) \rightarrow \mathcal{S}_3$ that maps a to $(1\ 2\ 3)$ and b to $(2\ 3)$ ¹⁵. A word belongs to $H < \pi_1(M)$ whenever it consists of the words of $\pi_1(M)$ that are mapped through φ in a permutation of $\{1, 2, 3\}$ which fixes 1: namely, either the identity $()$ or the transposition $(2\ 3)$.

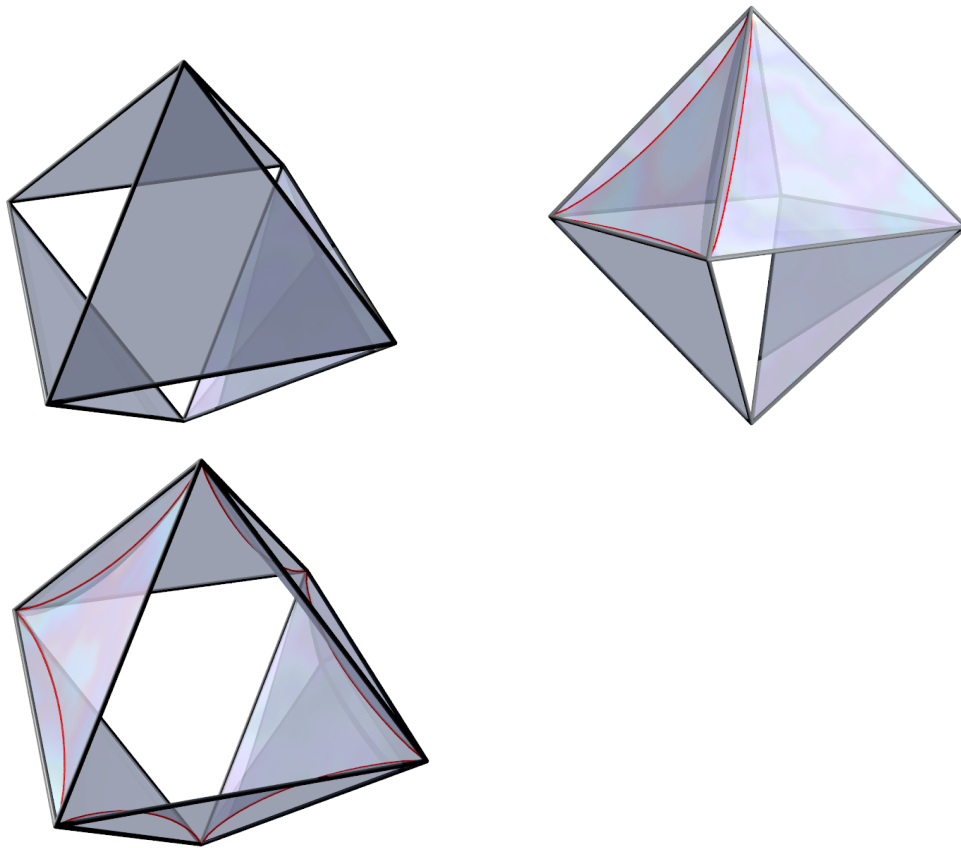


Figure 6: Three examples of non-simply connected minimal films spanning the boundary of a regular octahedron. Top-left: trivial nonconnected surface consisting in four of the eight faces; Top-right: surface obtained by starting from five of the eight faces, the result consists in an isolated triangular face F (after removing its boundary) plus a film with three triple curves wetting all the edges of the octahedron that are not edges of F ; Bottom: surface obtained by starting from six of the eight faces. Note the presence of six triple curves. Images obtained using the `surf` code by E. Paolini.

Example 4.4. Let S be the one-skeleton of a regular octahedron. The fundamental group of $M = \mathbb{R}^3 \setminus S$ is a free group of rank 5. After suitable orientation, each of the 12 edges of the octahedron can be associated to

¹⁵ One verifies that φ is well defined with respect to the relation of the presentation.

an element of $\pi_1(M)$ corresponding to a loop from the base point (at infinity) that circles once in the positive sense around it.

Imposing a strong wetting condition [4] at all edges for a cover with three sheets amounts in forcing the permutation of sheets corresponding to a positive loop around that edge to be either $(1\ 2\ 3)$ or its inverse $(1\ 3\ 2)$. Upon possibly reversing the orientation of some edge we can assume all such permutations to be $(1\ 2\ 3)$.

Local triviality of the cover at points near a vertex then corresponds in requiring that exactly two of the four edges concurring at that vertex to be “incoming”, the other two being “outgoing”.

A choice of the orientation of the edges consistent with the requirement above corresponds to travel clockwise along the boundary edges of four of the eight faces selected in a checkerboard fashion. The resulting soap film in Figure 6 (top-left) simply consists in those four faces or on the four remaining faces.

Another consistent choice of orientation consists in travelling around the three diametral squares in a selected direction. Two relative minimizers corresponding to this choice are shown in Figure 6 (top-right and bottom), the latter consists in a tube-shaped surface with six lunettes attached along six triple curves.

It turns out that there are at least two other non isomorphic 3-sheeted covers of the same base space, which however seem not to provide minimizers different from the ones described above.

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