



Letter

Search for Higgs boson decays into a Z boson and a light hadronically decaying resonance in pp collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector

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ABSTRACT

A search for decays of the Higgs boson into a Z boson and a light resonance, with a mass of 0.5–3.5 GeV, is performed using the full 140 fb^{-1} dataset of 13 TeV proton–proton collisions recorded by the ATLAS detector during LHC Run 2. Leptonic decays of the Z boson and hadronic decays of the light resonance are considered. The resonance can be interpreted as a J/ψ or η_c meson, an axion-like particle, or a light pseudoscalar predicted in two-Higgs-doublet models. Due to its low mass, this resonance is produced with a high Lorentz boost in the laboratory frame and therefore reconstructed as a single small-radius jet of hadrons. A neural network is used to correct the Monte Carlo simulation of the total expected background using data from sideband regions. Two additional neural networks are used to distinguish signal from background, enhancing the purity of the signal region. A binned profile-likelihood fit is performed on the final-state invariant mass distribution. No significant excess of events relative to the expected background is observed, and upper limits at 95% confidence level are set on the Higgs boson's branching fraction to a Z boson and a light resonance. The exclusion limit is $\sim 10\%$ for the lower masses, and increases for higher masses. Upper limits on the effective coupling $C_{ZH}^{\text{eff}}/\Lambda$ of an axion-like particle to a Higgs boson and Z boson are also set at 95% confidence level, and range from 0.9 to 2 TeV^{-1} .

Contents

1. Introduction	1
2. ATLAS detector	2
3. Data and simulated event samples	2
4. Event selection	3
5. Background reweighting	3
6. Signal-to-background discrimination	4
7. Statistical model	5
8. Results and interpretations	6
9. Conclusions	7
Declaration of competing interest	7
Acknowledgements	7
Data availability	8
References	8
The ATLAS Collaboration	9

1. Introduction

Since its discovery in 2012 [1,2], the Higgs boson has been studied intensively by the ATLAS and CMS experiments at the CERN Large

Hadron Collider (LHC) [3]. So far, all of its measured properties have been found to be consistent with the predictions of the Standard Model (SM) [4,5] and no decays to unknown particles have been observed. Currently the most restrictive upper limits at 95% confidence level (CL)

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on the Higgs branching fractions to undetected (invisible) decays are 16% (16%) [5] from CMS and 12% (11%) [4,6] from ATLAS. However, chasing beyond the SM (BSM) Higgs decays is compelling because several models predict additional particles that might couple with the Higgs boson. These particles address limitations of the SM such as the nature of dark matter [7] or the strong CP problem [8]. Because the Higgs boson decay width is predicted to be so small, (4.1 MeV [9]), even a small coupling to a non-SM particle could result in new decay modes with large enough branching fractions to be experimentally observed at the LHC.

One of the simplest extensions of the SM is the two-Higgs-doublet model (2HDM) [10,11], which introduces a second Higgs doublet, allowing the presence of additional lighter Higgs bosons. For some parameter values in both the 2HDM and the 2HDM with an additional singlet (2HDM+S) [12,13], the additional particles can have large couplings to the observed Higgs boson. Specific parameter values of the 2HDM produce the Minimal Supersymmetric Standard Model (MSSM) [14], as well as its extension, called next-to-MSSM [15], with an additional scalar field, which can solve the μ -problem of the MSSM [16], and greatly reduce the fine-tuning and little-hierarchy problems.

Axions and axion-like particles (ALPs) are other candidates for light resonances that can couple to the Higgs boson [17,18]. While axions were initially introduced to address the strong CP problem [8], ALPs are currently of much wider interest. They are one of the favourite dark-matter candidates [19] in several cosmological models and could also explain the observed tension between the theoretical prediction and the measured magnetic moment of the muon [20].

There has recently been increasing interest in light-resonance (a) decays of the Higgs boson. Both ATLAS and CMS have published several results, most of them focusing on Higgs decays to pairs of a resonances ($H \rightarrow aa$), with masses of $\mathcal{O}(1\text{--}10\text{ GeV})$ and leptonic or photonic decays of the a -resonance [21–27].

However, in both the 2HDM(+S) and axion models, depending on the model parameters, the a particle can have a significant hadronic branching fraction. For instance, in the type-II 2HDM+S, assuming $\tan\beta = 1$, the branching fraction $B(a \rightarrow gg)$ exceeds 85% for masses up to 3 GeV [28]. Similarly, for an ALP with coupling constants (Wilson coefficients) equal to 1, the branching fraction for decays into gluons is almost 100% for masses greater than 3 GeV, and decays into pions are significant for masses up to 1 GeV [18].

Light scalar resonances, with mass of up to a few GeV, originating from a Higgs boson decay, are also exist within the SM. For example, $c\bar{c}$ mesons such as η_c and J/ψ (Q) have masses $\sim 3\text{ GeV}$ and decay mostly into hadrons, with $B \sim 85\%$. However, their production through $H \rightarrow ZQ$ is suppressed and the expected branching fractions are of the order of 10^{-5} and 10^{-6} for η_c and J/ψ , respectively [29].

This letter focuses on the $H \rightarrow Za$ decay, utilizing leptonic decays of the Z boson for event triggering [25]. It specifically targets a low-mass ($\leq 4\text{ GeV}$) hadronically decaying a -resonance from the $H \rightarrow Za$ process. It supersedes the initial version of the analysis published in Ref. [30] and aims to address the primary limitation of that study: the background modelling uncertainty. The present analysis incorporates a novel neural-network approach to improve the background modelling, leading to significantly stronger exclusion limits, and includes several additional methodological upgrades. Moreover, an axion interpretation is included, resulting in the exclusion of a range of Higgs- Z - a effective coupling values.

2. ATLAS detector

The ATLAS experiment [31] at the LHC is a multipurpose particle detector with a forward-backward symmetric cylindrical geometry and a near 4π coverage in solid angle.¹ It consists of an inner track-

ing detector surrounded by a thin superconducting solenoid providing a 2 T axial magnetic field, electromagnetic and hadronic calorimeters, and a muon spectrometer. The inner tracking detector covers the pseudorapidity range $|\eta| < 2.5$. It consists of silicon pixel, silicon microstrip, and transition radiation tracking detectors. Lead/liquid-argon (LAr) sampling calorimeters provide electromagnetic (EM) energy measurements with high granularity within the region $|\eta| < 3.2$. A steel/scintillator-tile hadronic calorimeter covers the central pseudorapidity range ($|\eta| < 1.7$). The endcap and forward regions are instrumented with LAr calorimeters for EM and hadronic energy measurements up to $|\eta| = 4.9$. The muon spectrometer surrounds the calorimeters and is based on three large superconducting air-core toroidal magnets with eight coils each. The field integral of the toroids ranges between 2.0 and 6.0 Tm across most of the detector. The muon spectrometer includes a system of precision tracking chambers up to $|\eta| = 2.7$ and fast detectors for triggering up to $|\eta| = 2.4$. The luminosity is measured mainly by the LUCID-2 [32] detector, which is located close to the beam pipe. A two-level trigger system is used to select events [33]. The first-level trigger is implemented in hardware and uses a subset of the detector information to accept events at a rate below 100 kHz. This is followed by a software-based trigger that reduces the accepted event rate to 1 kHz on average depending on the data-taking conditions. A software suite [34] is used in data simulation, in the reconstruction and analysis of real and simulated data, in detector operations, and in the trigger and data acquisition systems of the experiment.

3. Data and simulated event samples

This search uses the entire Run 2 proton–proton (pp) collision dataset recorded by the ATLAS detector at the LHC between 2015 and 2018. The dataset corresponds to an integrated luminosity of 140 fb^{-1} [35] at a pp centre-of-mass energy of $\sqrt{s} = 13\text{ TeV}$. The data are required to satisfy criteria ensuring that the detector was in good operating condition [36].

Samples of Monte Carlo (MC) simulated signal events ($gg \rightarrow H \rightarrow Za \rightarrow \ell\ell gg$ or $\ell\ell q\bar{q}$) are generated via the gluon–gluon fusion (ggF) process using POWHEG BOX v2 [37–39] and the PDF4LHC15NNLO next-to-next-to-leading-order (NNLO) parton distribution function (PDF) set [40]. The simulation achieves NNLO accuracy for arbitrary inclusive $gg \rightarrow H$ observables by reweighting the Higgs boson rapidity spectrum in $H_J\text{--}M_{\text{NNLO}}$ [41–43] to that in H_{NNLO} [44]. The samples are normalized to match the total Higgs boson production cross-section of 55.6 pb (for a mass of 125.09 GeV) at $\sqrt{s} = 13\text{ TeV}$ [44] because the event acceptance for ggF production is similar to that for other Higgs boson production processes.

Particle decays, hadronization, parton showers and the underlying event in the signal samples are modelled with PYTHIA 8.212 [45] and EVTGEN 1.6.0 [46], using a set of tuned parameters called the A14 tune [47] and the NNPDF23LO PDF set [48]. The branching fractions of the a resonance are determined using the PYTHIA 8 MSSM scenario with two Higgs doublets [14], which predicts $a \rightarrow gg$ to be the dominant decay mode until $a \rightarrow c\bar{c}$ becomes kinematically accessible. The signal MC samples used in this analysis have a -resonance masses covering the range $0.5\text{--}4\text{ GeV}$. The Z boson is required to decay into a pair of electrons, muons, or τ -leptons. Two dedicated samples with η_c or J/ψ decays ($H \rightarrow Z\eta_c$ or ZJ/ψ) are also simulated using PYTHIA 8 and EVTGEN. An alternative set of signal samples, where HERWIG 7.1 [49] is

¹ ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z -axis along the beam

pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upwards. Polar coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln \tan(\theta/2)$ and is equal to the rapidity $y = \frac{1}{2} \ln \left(\frac{E+p_z}{E-p_z} \right)$ in the relativistic limit. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta y)^2 + (\Delta \phi)^2}$.

used to model the parton shower and hadronization, is produced to estimate the uncertainties associated with the modelling of the $a \rightarrow \text{hadrons}$ decay.

The background in this analysis is dominated by $Z(\ell\ell) + \text{jets}$ events. The Drell–Yan production is modelled in the same way as the signal samples, using POWHEG (NLO QCD) for the matrix element generation and PYTHIA+EVGEN for parton shower and hadronization. The CTEQ6L1 PDF set [50] and the AZNLO tune of PYTHIA 8 are used. The $Z + \text{jets}$ production is also modelled with SHERPA 2.2.1 [51], using the NNPDF3.0NNLO PDF set [52] for the modelling of the hard interaction and parton shower. In this case, the inclusive production cross-sections are known to NNLO in QCD [53]. The POWHEG sample is chosen as the nominal, because of its larger statistical power than the SHERPA one. Only events with $Z \rightarrow ee$ or $Z \rightarrow \mu\mu$ decays are considered. An additional $\sim 5\%$ contribution is expected from τ leptonic decays from $Z \rightarrow \tau\tau$. However, this contribution is suppressed by the Z -mass requirement described in Sec. 4 and the remaining events are included in the background prediction described in Sec. 5.

The ZZ and ZW processes constitute small ($<1\%$) backgrounds in this analysis. They are modelled with SHERPA 2.2.1, using the NNPDF3.0NNLO PDF set. The $t\bar{t}$ process also produces a small ($<1\%$) background in this analysis. For the $t\bar{t}$ background, the hard interaction is modelled using POWHEG, while the decay, hadronization, parton shower and underlying event are modelled using PYTHIA 8 and EVGEN. The above-mentioned processes constitute the total background in this analysis.

The simulated event samples also include a GEANT4-based simulation of the ATLAS detector and its response [54,55], and the effect of other pp interactions in either the bunch crossing containing the hard interaction or neighbouring ones.

4. Event selection

The low-mass signal resonance is highly boosted due to the relatively large kinetic energy imparted to it because of the sizeable mass difference between the Higgs boson and Z boson. Its hadronic decay products are therefore reconstructed as a single small-radius ($R = 0.4$) jet using particle flow objects [56] as input to the anti- k_t algorithm [57,58].

Jets are calibrated such that the average detector-level jet energy scale (JES) matches that of the corresponding particle-level jets, using a combination of simulation-based and in situ techniques [59]. They are required to have $p_T > 20$ GeV and $|\eta| < 2.5$, and satisfy a jet cleaning requirement [60] to suppress non hard collision jets. To reject jets from pile-up interactions, jets with $p_T < 60$ GeV and $|\eta| < 2.4$ are required to pass a *Tight* ‘jet vertex tagger’ [61] requirement.

The signal exhibits a two-prong structure being a light resonance decaying to two-gluons or two-quarks, while the background is typically a single prong or gluon splitting (jet associated to the production of a Z -boson). Therefore, jet substructure variables are used to discriminate between them. The variables are built using tracks matched to the calorimeter jet by ghost association [62]. In this method the tracks are included in the jet clustering process with negligible energy so that they do not influence the jet’s kinematic properties. These tracks must have $p_T > 500$ MeV and $|\eta| < 2.5$, and satisfy loose quality criteria and track-to-vertex matching requirements [63] to reject fake tracks from the reconstruction and tracks from pile-up, respectively.

Electron candidates are reconstructed by matching tracks in the inner detector to topological energy clusters in the electromagnetic calorimeter [64]. Muons are reconstructed using tracks in the muon spectrometer, matched to tracks in the inner detector if available [65]. Electrons and muons are required to satisfy *Loose* identification ($\sim 93\%$ and $\sim 98\%$ efficiency respectively) and *Loose* isolation ($\sim 99\%$ and 98% efficiency respectively) criteria, to have transverse momentum $p_T > 18$ GeV and be reconstructed within $|\eta| < 2.47$ (e) or $|\eta| < 2.7$ (μ) (but electrons within $1.37 < |\eta| < 1.52$ are excluded), and at least one must have $p_T > 27$ GeV. An inner-detector track associated with an electron (muon)

must have a transverse impact parameter significance $d_0/\sigma_{d_0} < 5$ (3) relative to the beamline, and a longitudinal impact parameter z_0 satisfying $|z_0 \sin(\theta)| < 0.5$ mm. An overlap removal procedure resolves cases in which multiple electrons, muons or jets are reconstructed from the same detector signature.

Events are selected by a combination of single-electron or single-muon triggers for each data-taking period [66–68], and the lepton reconstructed online by the trigger is required to be within $\Delta R = 0.1$ of an offline reconstructed lepton. Events are required to have at least one reconstructed primary interaction vertex [69]. At least two same-flavour opposite-charge electrons or muons are required to pass this selection, and have an invariant mass compatible with the mass of the Z boson: $81 < m_{\ell\ell} < 101$ GeV. If multiple same-flavour opposite-sign lepton pairs fulfil this requirement, the pair with an invariant mass closest to that of the Z boson is chosen. A small fraction of the $Z \rightarrow \tau^+\tau^-$ decays can pass the selection if the two τ -leptons decay to leptons of the same flavour. Higgs boson candidates are reconstructed from the lepton pair and jet system ($\ell\ell j$), which is required to have an invariant mass $m_{\ell\ell j} < 250$ GeV. If multiple jets satisfy these requirements, the jet with the highest p_T is selected. The jet is required to have at least two ghost-associated tracks.

5. Background reweighting

Jet substructure variables are crucial in order to improve the signal-to-background ratio. However, they are not modelled accurately enough, neither by POWHEG nor SHERPA for the $Z + \text{jets}$ background. A binary classifier ($C(x)$) is known to be able to approximate the density ratio of two datasets when trained to distinguish between them, $p_1(x)/p_2(x) \approx C(x)/(1 - C(x))$ [70]. Evolving this approach, a neural network (NN) is trained to estimate the log-likelihood-ratio [71] of the total-background to data, with the goal of simultaneously improving the modelling of jet substructure and event kinematic variables. The exponential of the NN output is used as an additional weight for each MC event in order to equalize the two density functions. Events falling in the region $120 < m_{\ell\ell j} < 140$ GeV, where most of the signal is expected, are excluded from the training and therefore only events in the $m_{\ell\ell j}$ sidebands [100–120] and [140–180] GeV are included. Any signal contamination outside this region is negligible. The NN is able to reweight events in the excluded region effectively. This is demonstrated by training another NN with a wider excluded region (115–145 GeV) and comparing the distributions for every variable only for events lying in [115–120, 140–145] GeV region. No significant difference was observed.

The training variables consist of event kinematic variables (final-state invariant mass and transverse momentum, and dilepton and jet transverse momenta) and jet substructure variables. Seven dimensionless variables, constructed from the jet’s ghost-associated tracks, are chosen to capitalize on the presence of a narrow jet or two-pronged substructure in the jet’s track system: the number of tracks; the ratio of the p_T of the highest- p_T track to the p_T of the track system; the angular separation ΔR between the highest- p_T track and the jet axis; N -subjettiness τ_N [72] (with $N = 2$, exclusive- k_t -subjettiness axes with radius parameters of 0.2, and a jet-axis radius parameter of 0.4), which is an effective discriminating variable for tagging boosted objects; angularity ($\bar{\tau}_{-2}$) [73], which quantifies how the energy of the jet is distributed relative to the jet axis; and U_1 (with $\beta = 0.7$) and M_2 (with $\beta = 0.3$), which are modified energy correlation functions [74] designed for quark–gluon discrimination and to target two-pronged substructure, respectively.

The reweighting NN is a simple feed-forward network designed and trained using Keras [75]. An exponential loss function for log-likelihood-ratio estimation [71] is used along with a hyperbolic tangent function ($8 \tanh x$) which limits the maximum output of the last neuron. The ReLU function is chosen for neuron activation, as typically suggested for feed-forward NNs. For the loss minimizer, stochastic gradient descent [76]

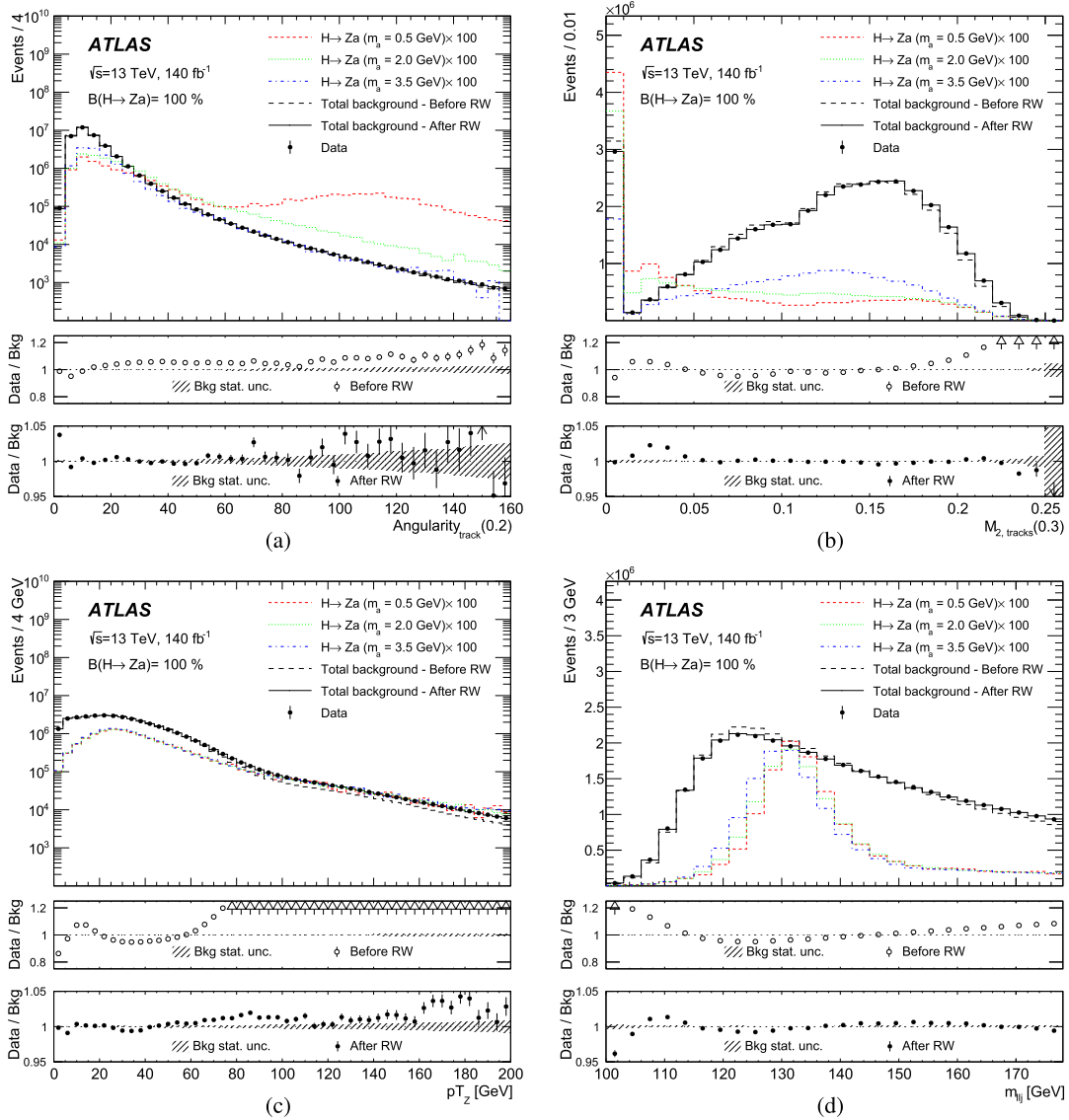


Fig. 1. The (a) angularity, (b) modified energy correlation function, (c) Z boson transverse momentum, and (d) invariant mass of the lepton pair plus jet system, for data, background (pre- and post-reweighting) and three $H \rightarrow Za$ signal hypotheses (for $a \rightarrow q\bar{q}/g\bar{g}$ inclusively). The two ratio panels show the data to background ratio before (top) and after (bottom) the reweighting. The lower panel uses a narrower y-axis range to capture the improvement in the background modelling. Events are required to pass the complete event selection but not the classification NN requirement. The background normalization is set equal to that of the data for events passing the preselection and being in the $m_{\ell\ell}$ 100–180 GeV region. The signal normalization assumes the SM Higgs boson inclusive production cross-section, $B(H \rightarrow Za) = 100\%$, and it is scaled up by a factor of 100. The error bars (hatched regions) represent the data (MC) sample's statistical uncertainty in the histograms and the ratio plots. Vertical arrows indicate data points that fall outside the displayed y-axis range.

was chosen over adaptive moment estimation (Adam [77]) as it provides more flexibility to tune its internal parameters. The number of layers (3), the number of neurons per layer (100), the learning rate (0.11), the decay rate (0.001), the momentum (0.975) and the batch size (200) are instead chosen via a hyperparameter optimization performed with a probabilistic Bayesian method. The goal of Bayesian optimization is to minimize the error function $f(x)$, which is a measure of the algorithm's mismodelling as a function of the hyperparameters. The set of hyperparameters is chosen with the use of Bayesian statistics for the prior and the observations. The optimization is implemented with the *Hyperopt* library and the Tree-structured Parzen Estimator algorithm [78]. This strategy reaches optimal performance significantly more quickly than other approaches such as random or grid searches. The training ends when the validation loss stops improving (with 10-epoch patience) and the epoch with the lowest loss value is chosen (epoch 50). The final-state invariant mass and three other variables used in the reweighting (RW) are shown in Fig. 1.

6. Signal-to-background discrimination

After the initial event selection, two NNs are used to further suppress the background. First, a *regression* NN is used to estimate the mass of the a -resonance, and second, a *classification* NN is used to discriminate between signal and background. The reconstructed jet mass resolution and scale for such low-energy jets are not enough to inform the classifier about the different mass hypotheses (the jet mass distributions are similar for all of them). Therefore, a regression NN is trained with the seven jet substructure variables to estimate the mass of the resonance (two of the variables are shown in Figs. 1(a) and 1(b)). Its output in Fig. 2(a) differentiates between the various signal hypotheses significantly better than the jet mass. It is then provided along with the same seven variables to the classification NN. The regression-NN strategy is preferable to other commonly used approaches (e.g. a parameterized NN using the generator-level resonance mass) as it allows the analysis to be conducted

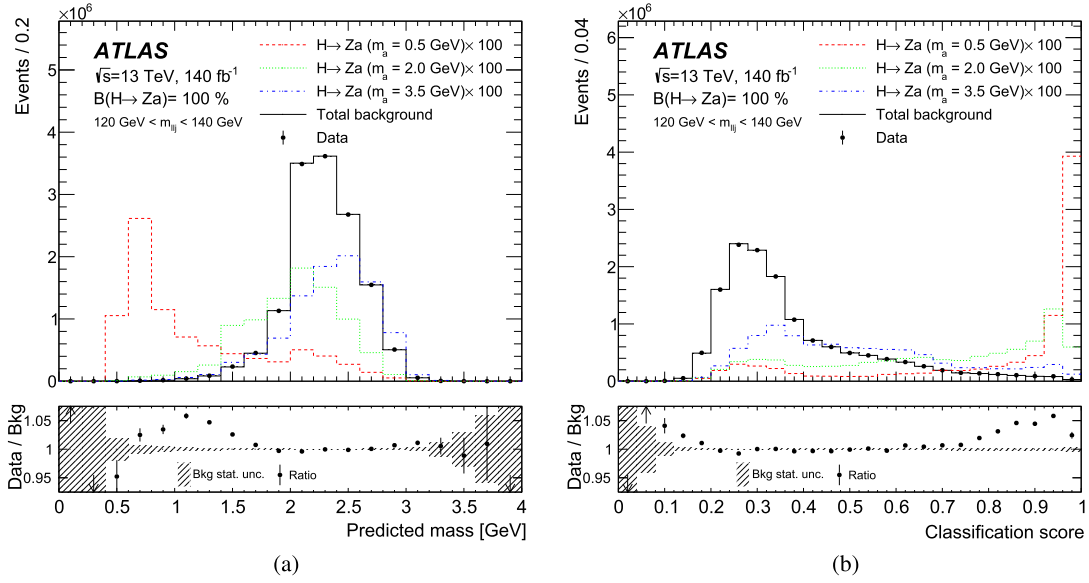


Fig. 2. The (a) regression and (b) classification NN output variables for data, reweighted background, and three $H \rightarrow Za$ signal hypotheses ($a \rightarrow q\bar{q}/g\bar{g}$ inclusively). Events are required to pass the complete event selection, including a $120 < m_{\ell\ell j} < 140$ GeV requirement, but not the classification NN output variable requirement. The background normalization is set equal to that of the data for events passing the preselection and being in the $m_{\ell\ell j}$ 100–180 GeV region. The signal normalization assumes the SM Higgs boson inclusive production cross-section, $B(H \rightarrow Za) = 100\%$, and it is scaled up by a factor of 100. The error bars (hatched regions) represent the data (MC) sample's statistical uncertainty in the histograms and the ratio plots. Vertical arrows indicate data points that fall outside the displayed y-axis range.

with a single classification NN and a single background model, without a significant decrease in performance.

The regression NN is trained on nine different signal-hypothesis samples ranging from 0.5 to 4 GeV in steps of 0.5 GeV. The classification NN is trained with all the signal hypotheses against the total background. Both the quark and gluon decay modes are included and their relative contributions come from the MSSM scenario mentioned in Sec. 3. The fraction of $g\bar{g}$ decays varies from 97% to 6% for masses of 0.5 to 4 GeV and the $q\bar{q}$ decay fraction varies correspondingly from 0% to 56%. The hyperparameter optimization follows the same methodology used for the reweighting, encompassing typically suggested functions, self-optimizing algorithms and Bayesian optimization. Both the NN performance and the level of MC–data agreement (χ^2 test) for the output distributions are taken into account to select the best set. The optimized hyperparameter values for the regression / classification NN are as follows: number of layers (4 / 3), number of neurons per layer (35 / 10), neuron activation function (ReLU / ReLU), output function (linear / sigmoid), loss function (Huber / binary cross-entropy), loss minimizer (Adam / Adam), batch size (160 / 100), regularization (R2, 10^{-11} / R2, 10^{-10}) and epochs (50 / 29). Fig. 2 shows the regression and classification NN output variables for the reweighted background, the data and three signal hypotheses.

Although the NNs are trained with a mixture of $a \rightarrow g\bar{g}$ and $a \rightarrow q\bar{q}$ decays (with different branching fractions), their performance is generally similar for the two decay modes. Their performance is poorer for the higher masses, where a -resonance decays tend to have higher track multiplicity, making the hadronic decay appear similar to background jets. This is reflected in the distributions of jet substructure training variables, which are indeed very similar for higher-mass signals and background in Figs. 1(a) and 1(b). The $a \rightarrow c\bar{c}$ decay mode becomes dominant in the 4 GeV sample, leading to quite different kinematics and much poorer NN performance. Consequently, the 4 GeV $a \rightarrow q\bar{q}$ case is omitted from the final results.

A single threshold requirement is placed on the classification NN's output variable to reject background events for all signal hypotheses. The threshold value is chosen to be 0.93, which rejects 99.3% of the background for a signal efficiency of more than 30% for $m_a = 0.5$ GeV, $\sim 10\%$ for $m_a = 2$ GeV, and $\sim 3\%$ for $m_a = 3.5$ GeV. Although this threshold value is not optimal for the higher masses, it allows a single back-

ground model to be used. Events passing the classification NN selection populate the signal region (SR).

7. Statistical model

A measure of the signal strength is extracted for each given signal hypothesis using a binned maximum-likelihood fit [79] to the distribution of the final-state invariant mass $m_{\ell\ell j}$ in the range 100–178 GeV, as shown in Fig. 3(b). The signal strength is translated to $B(H \rightarrow Za)$ assuming $B(a \rightarrow g\bar{g}) = 100\%$ or $B(a \rightarrow q\bar{q}) = 100\%$. Systematic uncertainties are included in the fit as nuisance parameters which modify the signal and background model and they are implemented as Gaussian constraints on the nominal values. Yet the total background normalization is included as a free floating uncertainty.

The classification NN selection removes the event signal events on the right tail, which come from a mis-selected jet as a candidate. Therefore, the signal model is produced from a simple Gaussian fit with mean μ and width σ of the MC-simulated $m_{\ell\ell j}$ distribution. Different (μ, σ) values are obtained for each mass point and decay mode ($a \rightarrow g\bar{g}/q\bar{q}$). The signal's mean (μ) shifts from 125 GeV for $m_a = 4$ GeV to 131.5 GeV for $m_a = 0.5$ GeV. This mass dependence is expected, as higher masses result in less collimated jets, causing some energy to fall outside the jet cone. Additionally, the jet calibration is not optimized for such low energies, contributing to the shift in the mean. A mean value around 1 GeV higher is observed for $a \rightarrow g\bar{g}$ decays. The signal's width (σ) of 5.0–5.5 GeV varies little with the decay mode or m_a value. For the background the reweighted MC shape is used.

Systematic uncertainties are the dominant source of uncertainty for this analysis. For the signal, both the theory and experimental uncertainties are considered. They can modify the predicted signal yield in the SR, shift the mass peak (μ), and alter the width of the signal peak (σ). The experimental uncertainties come from the jet reconstruction, and the lepton reconstruction, identification, isolation and track-to-vertex matching, as well as from the pile-up distribution and the trigger efficiency. The jet uncertainties (energy scale and resolution) are found to have the largest effect. The experimental uncertainties have minor impact on the signal yield but they affect the mean (μ) and width (σ), resulting in a combined uncertainty of about 1.0 GeV for both ($\Delta\mu = 1.1$ GeV and $\Delta\sigma = 0.7$ GeV). Theory uncertainties in parton

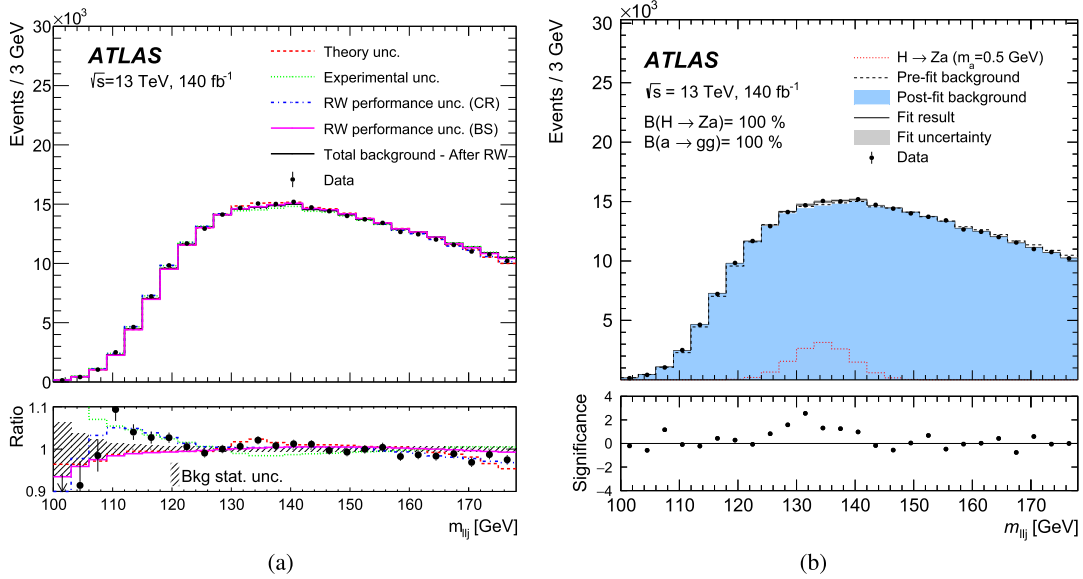


Fig. 3. Invariant mass of the lepton pair plus jet system for data, reweighted background and its systematic uncertainties, and a signal hypothesis. Events are required to pass the complete event selection (preselection and classification NN requirement). In (a) the pre-fit background distribution is shown along with its systematic uncertainties (only “up” variations are shown). The background normalization is set equal to that of the data for events passing the preselection and being in the 100–180 GeV and Classification Score > 0.93 region. The experimental uncertainty combines all the relevant jet uncertainties assuming they are completely uncorrelated. The lower panel shows the ratio of the data and the various uncertainties to the pre-fit background prediction. In (b) the background shape and normalization come from the fit to the data. The signal includes only gg decays, its shape comes from the fit, and it is normalized to $B(H \rightarrow Za) = 100\%$. The lower panels show the ratio of the data and various uncertainties to the pre-fit background prediction and (b) the per-bin signal significance with respect to the post-fit background, $(N_{\text{data}} - N_{\text{bkg}}) / \sqrt{\sigma_{\text{data}}^2 + \sigma_{\text{bkg}}^2}$. The post-fit background uncertainty is calculated from a fit under the background-only hypothesis. The error bars represent the data sample’s statistical uncertainty and the grey bands represent the background model statistical uncertainty, (a) before the fit and (b) after the fit. Vertical arrows indicate data points that fall outside the displayed y-axis range.

showering and hadronization are estimated by comparing the nominal PYTHIA MC signal samples with alternative HERWIG samples. The HERWIG samples are produced separately for $a \rightarrow gg$ and $a \rightarrow q\bar{q}$, but only for masses greater than 2 GeV due to HERWIG technical specifications. The uncertainties are extrapolated to the lower masses. They affect the Gaussian mean (μ), resulting in $\Delta\mu = 0.5\text{--}2.5$ GeV, but their effect on the Gaussian width is minor. In general, the effect on μ tends to decrease for higher masses and it is ~ 1 GeV larger for gg decays than for $q\bar{q}$ decays. More importantly, the theory uncertainties affect the classification NN’s efficiency, leading to an uncertainty in the expected number of signal events of about 60% for $a \rightarrow gg$ and about 25% for $a \rightarrow q\bar{q}$. This is the most significant uncertainty for this analysis, and it is due to PYTHIA generally decaying the a -resonance with lower multiplicity than HERWIG. The NN is particularly effective in identifying two-track jets, and these are more common in the PYTHIA samples. For η_c and J/ψ , uncertainties from the $m_a = 3.0$ GeV model are used. In addition, a total Higgs boson production cross-section uncertainty of $^{+5.6\%}_{-7.4\%}$ is included [9].

Five sources of uncertainty incorporate variations in the reweighting performance, as well as experimental and theoretical uncertainties in the background modelling. All of them are included in the fit as alternative shapes for the background distribution. For the NN performance, two uncertainties are considered. The first is estimated from a control region (CR) that includes the same number of background events as the SR. It is defined by changing the classification NN requirement from > 0.93 (SR) to $0.883\text{--}0.93$. The data-MC discrepancy in the two regions was found very similar, motivating the addition of this uncertainty. For any reasonable $H \rightarrow Za$ branching fraction ($< 20\%$), the resulting signal contamination has a negligible effect in the shape of the systematic distribution produced in the CR. The ratio of data events to MC events in this region is used to derive a shape systematic uncertainty. The second is estimated using a bootstrapping (BS) method. Ten replicas of the original MC and data event samples are created by weighting each event with a random Poisson ($\mu = 1$) number. For each replica a reweighting NN is trained, and a systematic uncertainty distribution is built from the

bin-by-bin standard deviations in the SR. The main sources of experimental uncertainty are the jet energy scale and resolution. MC samples are produced with corresponding scale and resolution variations, their predictions are reweighted using the nominal model, and the resulting shape differences are used. Other experimental uncertainties, such as tracking uncertainties, are found to be insignificant. The effect of theory uncertainties in the matrix element generator, in the parton showering and hadronization are estimated by comparing the nominal Z + jets background model from POWHEG + PYTHIA with an alternative from SHERPA. A second reweighting NN is trained using this sample, with the same input variables and training procedure as for the nominal POWHEG sample. The ratio of the distributions in the SR is used as an additional systematic uncertainty. The MC statistical uncertainty is also taken into account. The final background model with its uncertainties is shown in Fig. 3(a).

Finally, a luminosity uncertainty is included. The uncertainty in the combined 2015–2018 integrated luminosity is 0.83% [35], obtained using the LUCID-2 detector [32] for the primary luminosity measurements, complemented by measurements using the inner detector and calorimeters.

8. Results and interpretations

Signal hypotheses leading to an expected $m_{\ell\ell j}$ distribution with mean larger than 130 GeV (corresponding to $m_a = 0.5\text{--}2.5$ GeV and $a \rightarrow gg$) accommodate an excess of events observed in data around 135 GeV as reported in Fig. 3(b). Conversely, if the signal mean is lower, the data are more compatible with the background only hypothesis. The largest local significance is observed for $m_a = 0.5$ GeV in the $a \rightarrow gg$ scenario and it is $\sim 1.5\sigma$, indicating that the data are compatible with the SM scenario. Upper limits at 95% CL are set (assuming SM Higgs production cross section) on $B(H \rightarrow Za)$ for the various signal hypotheses, using the profile-likelihood test statistic [79] and the CL_s technique (asymptotic formulae) [80]. The observed and expected upper limits for

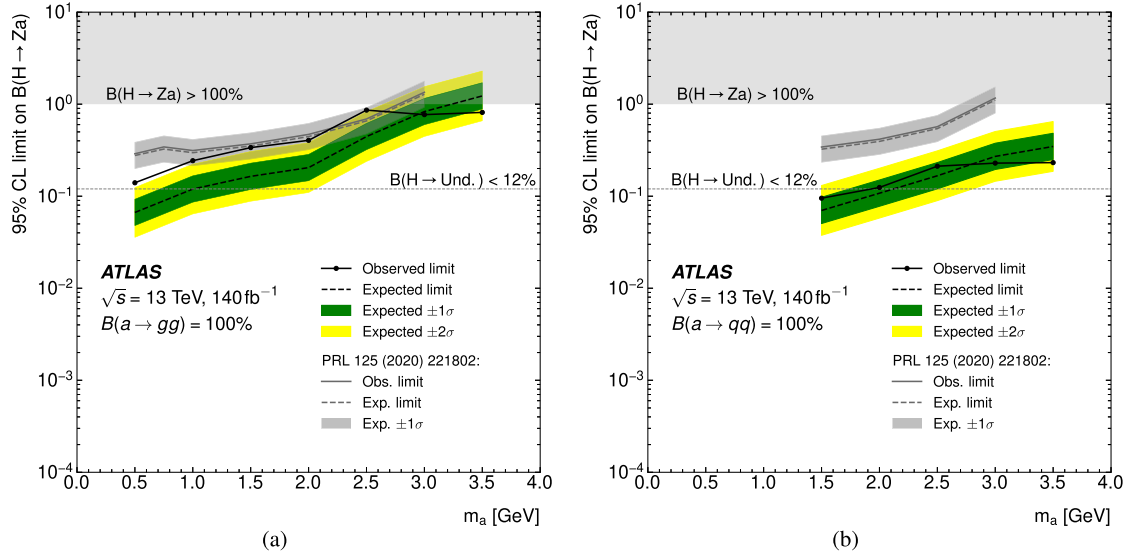


Fig. 4. Observed 95% CL upper limits on $B(H \rightarrow Za)$ for (a) $B(a \rightarrow gg) = 100\%$ and (b) $B(a \rightarrow q\bar{q}) = 100\%$, and the expectation under the background-only hypothesis together with its $\pm 1\sigma$ and $\pm 2\sigma$ intervals. The weaker limits from the previous version of the analysis [30] are also shown. Linear interpolation is used to set limits between the mass points for which MC signal samples were generated. The horizontal dashed lines indicate the model-independent bounds on Higgs decays to undetected particles as set in [4].

$a \rightarrow gg$ and $a \rightarrow q\bar{q}$ are shown in Fig. 4, in comparison to the limits from Ref. [30]. For $a \rightarrow q\bar{q}$, only decays to the heaviest possible quarks are considered. The slight excess around 135 GeV mentioned above, causes the observed limits to be higher than the expected limits for cases where the signal mean is greater than ~ 130 GeV. The expected limits are generally more restrictive for $a \rightarrow q\bar{q}$ because of the lower parton shower and hadronization uncertainty. The upper limit values range from $\sim 10\%$ for 0.5 GeV to $>80\%$ for 3.5 GeV signal for the gg scenario, and from $<10\%$ for 1.5 GeV to $\sim 20\%$ for 3.5 GeV for the $q\bar{q}$ scenario.

Limits are also set on $H \rightarrow Z\eta_c$ and $H \rightarrow ZJ/\psi$ decays; however, the analysis has limited sensitivity for these cases and cannot exclude any physical branching fraction. It excludes $B(H \rightarrow Z\eta_c) > 1.2$ and $B(H \rightarrow ZJ/\psi) > 1.4$, corresponding to a $\sim 30\%$ improvement with respect to Ref. [30]. Finally, ALP decay rates can be obtained by assuming the effective Wilson coefficients (determining the coupling strength to various particles) are equal to 1 [18]. For ALP masses of 0.5–1 GeV the 3π final state is one of the leading decay modes. Only the $a \rightarrow \pi^+\pi^-\pi^0$ decay is considered, as the analysis is not sensitive to $a \rightarrow 3\pi^0$, due to the lack of inner-detector tracks. For $B(a \rightarrow \pi^+\pi^-\pi^0) = 0.10$ (0.20) and $m_a = 0.5$ GeV (1 GeV), the upper limit on $B(H \rightarrow Za)$ is 0.45 (0.81). This can be used to exclude values of the effective coupling $C_{ZH}^{\text{eff}}/\Lambda$ of the candidate axion to the Higgs and Z bosons. At 95% CL, $C_{ZH}^{\text{eff}}/\Lambda < 2.0 \text{ TeV}^{-1}$ for $m_a = 0.5$ GeV and $C_{ZH}^{\text{eff}}/\Lambda < 0.89 \text{ TeV}^{-1}$ for $m_a = 1$ GeV.

9. Conclusions

A search for Higgs boson decays into a Z boson and a light resonance of mass 0.5–3.5 GeV is performed using 140 fb^{-1} of proton–proton collisions at $\sqrt{s} = 13$ TeV recorded by the ATLAS detector at the LHC. The search considers leptonic decays of the Z boson and hadronic decays of the light resonance that are collimated into a single small-radius jet. Separate neural networks are used to improve the modelling of the simulated background, and to discriminate signal from background. A binned profile-likelihood fit is performed on the invariant mass of the dilepton-plus-jet system. No significant excess above the Standard Model background prediction is found and upper limits at 95% confidence level are set on the branching fraction for $H \rightarrow Za$, where a is a light pseudoscalar, as a function of its mass m_a , separately for the $a \rightarrow gg$ and $a \rightarrow q\bar{q}$ scenarios. Compared to a previous ATLAS search using the same dataset, the innovative techniques used in this analysis allow to

improve the branching fraction limits by a factor of up to two (three) in the case of $a \rightarrow gg$ ($a \rightarrow q\bar{q}$). Upper limits are also set on the branching fractions for $H \rightarrow Z\eta_c$ and $H \rightarrow ZJ/\psi$, and on the effective coupling of an axion-like particle to the Higgs boson and Z boson ($C_{ZH}^{\text{eff}}/\Lambda$).

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Data availability

The public release of data supporting the findings of this article will follow the CERN Open Data Policy [82]. The values of relevant plots and tables associated with this article are stored in HEPData.

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G. Crosetti ^{45b,45a, [102](#), [103](#)}, A. Cueto ^{102, [103](#)}, H. Cui ^{99, [104](#)}, Z. Cui ^{7, [105](#)}, W.R. Cunningham ^{61, [106](#)}, F. Curcio ^{168, [107](#)}, J.R. Curran ^{54, [108](#)}, P. Czodrowski ^{37, [109](#)}, M.J. Da Cunha Sargedas De Sousa ^{59b,59a, [110](#)}, J.V. Da Fonseca Pinto ^{85b, [111](#)}, C. Da Via ^{104, [112](#)}, W. Dabrowski ^{88a, [113](#)}, T. Dado ^{37, [114](#)}, S. Dahbi ^{153, [115](#)}, T. Dai ^{109, [116](#)}, D. Dal Santo ^{20, [117](#)}, C. Dallapiccola ^{106, [118](#)}, M. Dam ^{44, [119](#)}, G. D'amen ^{30, [120](#)}, V. D'Amico ^{112, [121](#)}, J. Damp ^{103, [122](#)}, J.R. Dandoy ^{35, [123](#)}, D. Dannheim ^{37, [124](#)}, M. Danninger ^{147, [125](#)}, V. Dao ^{150, [126](#)}, G. Darbo ^{59b, [127](#)}, S.J. Das ^{30, [128](#)}, F. Dattola ^{50, [129](#)}, S. D'Auria ^{73a,73b, [130](#)}, A. D'Avanzo ^{74a,74b, [131](#)}, C. David ^{34a, [132](#)}, T. Davidek ^{137, [133](#)}, I. Dawson ^{97, [134](#)}, H.A. Day-hall ^{136, [135](#)}, K. De ^{8, [136](#)}, C. De Almeida Rossi ^{159, [137](#)}, R. De Asmundis ^{74a, [138](#)}, N. De Biase ^{50, [139](#)}, S. De Castro ^{24b,24a, [140](#)}, N. De Groot ^{117, [141](#)}, P. de Jong ^{118, [142](#)}, H. De la Torre ^{119, [143](#)}, A. De Maria ^{115a, [144](#)}, A. De Salvo ^{77a, [145](#)}, U. De Sanctis ^{78a,78b, [146](#)}, F. De Santis ^{72a,72b, [147](#)}, A. De Santo ^{151, [148](#)}, J.B. De Vivie De Regie ^{62, [149](#)}, J. Debevc ^{96, [150](#)}, D.V. Dedovich ^{40, [151](#)}, J. Degens ^{95, [152](#)}, A.M. Deiana ^{46, [153](#)}, F. Del Corso ^{24b,24a, [154](#)}, J. Del Peso ^{102, [155](#)}, L. Delagrangé ^{131, [156](#)}, F. Deliot ^{139, [157](#)}, C.M. Delitzsch ^{51, [158](#)}, M. Della Pietra ^{74a,74b, [159](#)}, D. Della Volpe ^{58, [160](#)}, A. Dell'Acqua ^{37, [161](#)}, L. Dell'Asta ^{73a,73b, [162](#)}, M. Delmastro ^{4, [163](#)}, C.C. Delogu ^{103, [164](#)}, P.A. Delsart ^{62, [165](#)}, S. Demers ^{177, [166](#)}, M. Demichev ^{40, [167](#)}, S.P. Denisov ^{39, [168](#)}, H. Denizli ^{22a, [169](#)}, L. D'Eramo ^{42, [170](#)}, D. Derendarz ^{89, [171](#)}, F. Derue ^{131, [172](#)}, P. Dervan ^{95, [173](#)}, K. Desch ^{25, [174](#)}, C. Deutsch ^{25, [175](#)}, F.A. Di Bello ^{59b,59a, [176](#)}, A. Di Ciaccio ^{78a,78b, [177](#)}, L. Di Ciaccio ^{4, [178](#)}, A. Di Domenico ^{77a,77b, [179](#)}, C. Di Donato ^{74a,74b, [180](#)}, A. Di Girolamo ^{37, [181](#)}, G. Di Gregorio ^{37, [182](#)}, A. Di Luca ^{80a,80b, [183](#)}, B. Di Micco ^{79a,79b, [184](#)}, R. Di Nardo ^{79a,79b, [185](#)}, K.F. Di Petrillo ^{41, [186](#)}, M. Diamantopoulou ^{35, [187](#)}, F.A. Dias ^{118, [188](#)}, T. Dias Do Vale ^{147, [189](#)}, M.A. Diaz ^{141a,141b, [190](#)}, A.R. Didenko ^{40, [191](#)}, M. Didenko ^{168, [192](#)}, E.B. Diehl ^{109, [193](#)}, S. Díez Cornell ^{50, [194](#)}, C. Díez Pardos ^{146, [195](#)}, C. Dimitriadis ^{166, [196](#)}, A. Dimitrievska ^{21, [197](#)}, J. Dingfelder ^{25, [198](#)}, T. Dingley ^{130, [199](#)}, I-M. Dinu ^{28b, [200](#)}, S.J. Dittmeier ^{65b, [201](#)}, F. Dittus ^{37, [202](#)}, M. Divisek ^{137, [203](#)}, B. Dixit ^{95, [204](#)}, F. Djama ^{105, [205](#)}, T. Djobava ^{154b, [206](#)}, C. Doglioni ^{104,101, [207](#)}, A. Dohnalova ^{29a, [208](#)}, Z. Dolezal ^{137, [209](#)}, K. Domijan ^{88a, [210](#)}, K.M. Dona ^{41, [211](#)}, M. Donadelli ^{85d, [212](#)}, B. Dong ^{110, [213](#)}, J. Donini ^{42, [214](#)}, A. D'Onofrio ^{74a,74b, [215](#)}, M. D'Onofrio ^{95, [216](#)}, J. Dopke ^{138, [217](#)}, A. Doria ^{74a, [218](#)}, N. Dos Santos Fernandes ^{134a, [219](#)}, P. Dougan ^{104, [220](#)}, M.T. Dova ^{93, [221](#)}, A.T. Doyle ^{61, [222](#)}, M.A. Draguet ^{130, [223](#)}, M.P. Drescher ^{57, [224](#)}, E. Dreyer ^{174, [225](#)}, I. Drivas-koulouris ^{10, [226](#)}, M. Drnevich ^{121, [227](#)}, M. Drozdova ^{58, [228](#)}, D. Du ^{64a, [229](#)}, T.A. du Pree ^{118, [230](#)}, F. Dubinin ^{39, [231](#)}, M. Dubovsky ^{29a, [232](#)}, E. Duchovni ^{174, [233](#)}, G. Duckeck ^{112, [234](#)}, O.A. Ducu ^{28b, [235](#)}, D. Duda ^{54, [236](#)}, A. Dudarev ^{37, [237](#)}, E.R. Duden ^{27, [238](#)}, M. D'uffizi ^{104, [239](#)}, L. Duflost ^{68, [240](#)}, M. Dührssen ^{37, [241](#)}, I. Duminica ^{28g, [242](#)}, A.E. Dumitriu ^{28b, [243](#)}, M. Dunford ^{65a, [244](#)}, S. Dungs ^{51, [245](#)}, K. Dunne ^{49a,49b, [246](#)}, A. Duperrin ^{105, [247](#)}, H. Duran Yildiz ^{3a, [248](#)}, M. Düren ^{60, [249](#)}, A. Durglishvili ^{154b, [250](#)}, D. Duvnjak ^{35, [251](#)}, B.L. Dwyer ^{119, [252](#)}, G.I. Dyckes ^{18a, [253](#)}, M. Dyndal ^{88a, [254](#)}, B.S. Dziedzic ^{37, [255](#)}, Z.O. Earnshaw ^{151, [256](#)}, G.H. Eberwein ^{130, [257](#)}, B. Eckerova ^{29a, [258](#)}, S. Eggebrecht ^{57, [259](#)}, E. Egidio Purcino De Souza ^{85e, [260](#)}, L.F. Ehrke ^{58, [261](#)}, G. Eigen ^{17, [262](#)}, K. Einsweiler ^{18a, [263](#)}, T. Ekelof ^{166, [264](#)}, P.A. Ekman ^{101, [265](#)}, S. El Farkh ^{36b, [266](#)}, Y. El Ghazali ^{64a, [267](#)}, H. El Jarrari ^{37, [268](#)}, A. El Moussaouy ^{36a, [269](#)}, V. Ellajosyula ^{166, [270](#)}, M. Ellert ^{166, [271](#)}, F. Ellinghaus ^{176, [272](#)}, N. Ellis ^{37, [273](#)}, J. Elmsheuser ^{30, [274](#)}, M. Elsayy ^{120a, [275](#)}, M. Elsing ^{37, [276](#)}, D. Emelianov ^{138, [277](#)}, Y. Enari ^{86, [278](#)}, I. Ene ^{18a, [279](#)}, S. Epari ^{13, [280](#)}, P.A. Erland ^{89, [281](#)}, D. Ernani Martins Neto ^{89, [282](#)}, M. Errenst ^{176, [283](#)}, M. Escalier ^{68, [284](#)}, C. Escobar ^{168, [285](#)}, E. Etzion ^{156, [286](#)}, G. Evans ^{134a,134b, [287](#)}, H. Evans ^{70, [288](#)}, L.S. Evans ^{98, [289](#)}, A. Ezhilov ^{39, [290](#)}, S. Ezzaqtouni ^{36a, [291](#)}, F. Fabbri ^{24b,24a, [292](#)}, L. Fabbri ^{24b,24a, [293](#)}, G. Facini ^{99, [294](#)}, V. Fadeyev ^{140, [295](#)}, R.M. Fakhrtudinov ^{39, [296](#)}, D. Fakoudis ^{103, [297](#)}, S. Falciano ^{77a, [298](#)}, L.F. Falda Ulhoa Coelho ^{134a, [299](#)}, F. Fallavollita ^{113, [300](#)}, G. Falsetti ^{45b,45a, [301](#)}, J. Faltova ^{137, [302](#)}, C. Fan ^{167, [303](#)}, K.Y. Fan ^{66b, [304](#)}, Y. Fan ^{14, [305](#)}, Y. Fang ^{14,115c, [306](#)}, M. Fanti ^{73a,73b, [307](#)}, M. Faraj ^{71a,71b, [308](#)}, Z. Farazpay ^{100, [309](#)}, A. Farbin ^{8, [310](#)}, A. Farilla ^{79a, [311](#)}, T. Farooque ^{110, [312](#)}, J.N. Farr ^{177, [313](#)}, S.M. Farrington ^{138,54, [314](#)}, F. Fassi ^{36e, [315](#)}, D. Fassouliotis ^{9, [316](#)}, M. Fauci Giannelli ^{78a,78b, [317](#)}, W.J. Fawcett ^{33, [318](#)}, L. Fayard ^{68, [319](#)}, P. Federic ^{137, [320](#)}, P. Federicova ^{135, [321](#)}, O.L. Fedin ^{39, [322](#)}, M. Feickert ^{175, [323](#)}, L. Feligioni ^{105, [324](#)}, D.E. Fellers ^{127, [325](#)}, C. Feng ^{64b, [326](#)}, Z. Feng ^{118, [327](#)}, M.J. Fenton ^{163, [328](#)}, L. Ferencz ^{50, [329](#)}, R.A.M. Ferguson ^{94, [330](#)}, P. Fernandez Martinez ^{69, [331](#)}, M.J.V. Fernoux ^{105, [332](#)}, J. Ferrando ^{94, [333](#)}, A. Ferrari ^{166, [334](#)}, P. Ferrari ^{118,117, [335](#)}, R. Ferrari ^{75a, [336](#)}, D. Ferrere ^{58, [337](#)},

C. Ferretti^{109, [id](#)}, M.P. Fewell^{1, [id](#)}, D. Fiacco^{77a,77b, [id](#)}, F. Fiedler^{103, [id](#)}, P. Fiedler^{136, [id](#)}, S. Filimonov^{39, [id](#)},
A. Filipčič^{96, [id](#)}, E.K. Filmer^{160a, [id](#)}, F. Filthaut^{117, [id](#)}, M.C.N. Fiolhais^{134a,134c, [id](#), [c](#)}, L. Fiorini^{168, [id](#)},
W.C. Fisher^{110, [id](#)}, T. Fitschen^{104, [id](#)}, P.M. Fitzhugh¹³⁹, I. Fleck^{146, [id](#)}, P. Fleischmann^{109, [id](#)}, T. Flick^{176, [id](#)},
M. Flores^{34d, [id](#), [ae](#)}, L.R. Flores Castillo^{66a, [id](#)}, L. Flores Sanz De Acedo^{37, [id](#)}, F.M. Follega^{80a,80b, [id](#)}, N. Fomin^{33, [id](#)},
J.H. Foo^{159, [id](#)}, A. Formica^{139, [id](#)}, A.C. Forti^{104, [id](#)}, E. Fortin^{37, [id](#)}, A.W. Fortman^{18a, [id](#)}, M.G. Foti^{18a, [id](#)},
L. Fountas^{9, [id](#), [j](#)}, D. Fournier^{68, [id](#)}, H. Fox^{94, [id](#)}, P. Francavilla^{76a,76b, [id](#)}, S. Francescato^{63, [id](#)}, S. Franchellucci^{58, [id](#)},
M. Franchini^{24b,24a, [id](#)}, S. Franchino^{65a, [id](#)}, D. Francis³⁷, L. Franco^{117, [id](#)}, V. Franco Lima^{37, [id](#)}, L. Franconi^{50, [id](#)},
M. Franklin^{63, [id](#)}, G. Frattari^{27, [id](#)}, Y.Y. Frid^{156, [id](#)}, J. Friend^{61, [id](#)}, N. Fritzsche^{37, [id](#)}, A. Froch^{58, [id](#)},
D. Froidevaux^{37, [id](#)}, J.A. Frost^{130, [id](#)}, Y. Fu^{64a, [id](#)}, S. Fuenzalida Garrido^{141f, [id](#)}, M. Fujimoto^{105, [id](#)}, K.Y. Fung^{66a, [id](#)},
E. Furtado De Simas Filho^{85e, [id](#)}, M. Furukawa^{158, [id](#)}, J. Fuster^{168, [id](#)}, A. Gaa^{57, [id](#)}, A. Gabrielli^{24b,24a, [id](#)},
A. Gabrielli^{159, [id](#)}, P. Gadow^{37, [id](#)}, G. Gagliardi^{59b,59a, [id](#)}, L.G. Gagnon^{18a, [id](#)}, S. Gaid^{165, [id](#)}, S. Galantzan^{156, [id](#)},
J. Gallagher^{1, [id](#)}, E.J. Gallas^{130, [id](#)}, A.L. Gallen^{166, [id](#)}, B.J. Gallop^{138, [id](#)}, K.K. Gan^{123, [id](#)}, S. Ganguly^{158, [id](#)},
Y. Gao^{54, [id](#)}, F.M. Garay Walls^{141a,141b, [id](#)}, B. Garcia³⁰, C. García^{168, [id](#)}, A. Garcia Alonso^{118, [id](#)},
A.G. Garcia Caffaro^{177, [id](#)}, J.E. García Navarro^{168, [id](#)}, M. Garcia-Sciveres^{18a, [id](#)}, G.L. Gardner^{132, [id](#)},
R.W. Gardner^{41, [id](#)}, N. Garelli^{162, [id](#)}, R.B. Garg^{148, [id](#)}, J.M. Gargan^{54, [id](#)}, C.A. Garner¹⁵⁹, C.M. Garvey^{34a, [id](#)},
V.K. Gassmann¹⁶², G. Gaudio^{75a, [id](#)}, V. Gautam¹³, P. Gauzzi^{77a,77b, [id](#)}, J. Gavranovic^{96, [id](#)}, I.L. Gavrilenko^{39, [id](#)},
A. Gavriluk^{39, [id](#)}, C. Gay^{169, [id](#)}, G. Gaycken^{127, [id](#)}, E.N. Gazis^{10, [id](#)}, A.A. Geanta^{28b, [id](#)}, A. Gekow¹²³,
C. Gemme^{59b, [id](#)}, M.H. Genest^{62, [id](#)}, A.D. Gentry^{116, [id](#)}, S. George^{98, [id](#)}, W.F. George^{21, [id](#)}, T. Geralis^{48, [id](#)},
A.A. Gerwin^{124, [id](#)}, P. Gessinger-Befurt^{37, [id](#)}, M.E. Geyik^{176, [id](#)}, M. Ghani^{172, [id](#)}, K. Ghorbanian^{97, [id](#)},
A. Ghosal^{146, [id](#)}, A. Ghosh^{163, [id](#)}, A. Ghosh^{7, [id](#)}, B. Giacobbe^{24b, [id](#)}, S. Giagu^{77a,77b, [id](#)}, T. Giani^{118, [id](#)},
A. Giannini^{64a, [id](#)}, S.M. Gibson^{98, [id](#)}, M. Gignac^{140, [id](#)}, D.T. Gil^{88b, [id](#)}, A.K. Gilbert^{88a, [id](#)}, B.J. Gilbert^{43, [id](#)},
D. Gillberg^{35, [id](#)}, G. Gilles^{118, [id](#)}, L. Ginabat^{131, [id](#)}, D.M. Gingrich^{2, [id](#), [ah](#)}, M.P. Giordani^{71a,71c, [id](#)}, P.F. Giraud^{139, [id](#)},
G. Giugliarelli^{71a,71c, [id](#)}, D. Giugni^{73a, [id](#)}, F. Giuli^{78a,78b, [id](#)}, I. Gkialas^{9, [id](#), [j](#)}, L.K. Gladilin^{39, [id](#)}, C. Glasman^{102, [id](#)},
G.R. Gledhill^{127, [id](#)}, G. Glemža^{50, [id](#)}, M. Glisic¹²⁷, I. Gnesi^{45b, [id](#)}, Y. Go^{30, [id](#)}, M. Goblirsch-Kolb^{37, [id](#)},
B. Gocke^{51, [id](#)}, D. Godin¹¹¹, B. Gokturk^{22a, [id](#)}, S. Goldfarb^{108, [id](#)}, T. Golling^{58, [id](#)}, M.G.D. Gololo^{34g, [id](#)},
D. Golubkov^{39, [id](#)}, J.P. Gombas^{110, [id](#)}, A. Gomes^{134a,134b, [id](#)}, G. Gomes Da Silva^{146, [id](#)}, A.J. Gomez Delegido^{168, [id](#)},
R. Gonçalves^{134a, [id](#)}, L. Gonella^{21, [id](#)}, A. Gongadze^{154c, [id](#)}, F. Gonnella^{21, [id](#)}, J.L. Gonski^{148, [id](#)},
R.Y. González Andana^{54, [id](#)}, S. González de la Hoz^{168, [id](#)}, R. Gonzalez Lopez^{95, [id](#)}, C. Gonzalez Renteria^{18a, [id](#)},
M.V. Gonzalez Rodrigues^{50, [id](#)}, R. Gonzalez Suarez^{166, [id](#)}, S. Gonzalez-Sevilla^{58, [id](#)}, L. Goossens^{37, [id](#)},
B. Gorini^{37, [id](#)}, E. Gorini^{72a,72b, [id](#)}, A. Gorišek^{96, [id](#)}, T.C. Gosart^{132, [id](#)}, A.T. Goshaw^{53, [id](#)}, M.I. Gostkin^{40, [id](#)},
S. Goswami^{125, [id](#)}, C.A. Gottardo^{37, [id](#)}, S.A. Gotz^{112, [id](#)}, M. Gouighri^{36b, [id](#)}, V. Goumarre^{50, [id](#)}, A.G. Goussiou^{143, [id](#)},
N. Govender^{34c, [id](#)}, R.P. Grabarczyk^{130, [id](#)}, I. Grabowska-Bold^{88a, [id](#)}, K. Graham^{35, [id](#)}, E. Gramstad^{129, [id](#)},
S. Grancagnolo^{72a,72b, [id](#)}, C.M. Grant^{1,139}, P.M. Gravila^{28f, [id](#)}, F.G. Gravili^{72a,72b, [id](#)}, H.M. Gray^{18a, [id](#)},
M. Greco^{72a,72b, [id](#)}, M.J. Green^{1, [id](#)}, C. Grefe^{25, [id](#)}, A.S. Grefsrud^{17, [id](#)}, I.M. Gregor^{50, [id](#)}, K.T. Greif^{163, [id](#)},
P. Grenier^{148, [id](#)}, S.G. Grewe¹¹³, A.A. Grillo^{140, [id](#)}, K. Grimm^{32, [id](#)}, S. Grinstein^{13, [id](#), [w](#)}, J.-F. Grivaz^{68, [id](#)},
E. Gross^{174, [id](#)}, J. Grosse-Knetter^{57, [id](#)}, L. Guan^{109, [id](#)}, J.G.R. Guerrero Rojas^{168, [id](#)}, G. Guerrieri^{37, [id](#)},
R. Gugel^{103, [id](#)}, J.A.M. Guhit^{109, [id](#)}, A. Guida^{19, [id](#)}, E. Guillon^{172, [id](#)}, S. Guindon^{37, [id](#)}, F. Guo^{14,115c, [id](#)},
J. Guo^{64c, [id](#)}, L. Guo^{50, [id](#)}, L. Guo^{14, [id](#), [u](#)}, Y. Guo^{109, [id](#)}, A. Gupta^{51, [id](#)}, R. Gupta^{133, [id](#)}, S. Gurbuz^{25, [id](#)},
S.S. Gurdasani^{56, [id](#)}, G. Gustavino^{77a,77b, [id](#)}, P. Gutierrez^{124, [id](#)}, L.F. Gutierrez Zagazeta^{132, [id](#)}, M. Gutsche^{52, [id](#)},
C. Gutsche^{99, [id](#)}, C. Gwenlan^{130, [id](#)}, C.B. Gwilliam^{95, [id](#)}, E.S. Haaland^{129, [id](#)}, A. Haas^{121, [id](#)}, M. Habedank^{61, [id](#)},
C. Haber^{18a, [id](#)}, H.K. Hadavand^{8, [id](#)}, A. Hadeef^{52, [id](#)}, A.I. Hagan^{94, [id](#)}, J.J. Hahn^{146, [id](#)}, E.H. Haines^{99, [id](#)},
M. Haleem^{171, [id](#)}, J. Haley^{125, [id](#)}, G.D. Hallowell^{105, [id](#)}, L. Halser^{20, [id](#)}, K. Hamano^{170, [id](#)}, M. Hamer^{25, [id](#)},

E.J. Hampshire^{98, [ib](#)}, J. Han^{64b, [ib](#)}, L. Han^{115a, [ib](#)}, L. Han^{64a, [ib](#)}, S. Han^{18a, [ib](#)}, Y.F. Han^{159, [ib](#)}, K. Hanagaki^{86, [ib](#)}, M. Hance^{140, [ib](#)}, D.A. Hangal^{43, [ib](#)}, H. Hanif^{147, [ib](#)}, M.D. Hank^{132, [ib](#)}, J.B. Hansen^{44, [ib](#)}, P.H. Hansen^{44, [ib](#)}, D. Harada^{58, [ib](#)}, T. Harenberg^{176, [ib](#)}, S. Harkusha^{178, [ib](#)}, M.L. Harris^{106, [ib](#)}, Y.T. Harris^{25, [ib](#)}, J. Harrison^{13, [ib](#)}, N.M. Harrison^{123, [ib](#)}, P.F. Harrison^{172, [ib](#)}, N.M. Hartman^{113, [ib](#)}, N.M. Hartmann^{112, [ib](#)}, R.Z. Hasan^{98,138, [ib](#)}, Y. Hasegawa^{145, [ib](#)}, F. Haslbeck^{130, [ib](#)}, S. Hassan^{17, [ib](#)}, R. Hauser^{110, [ib](#)}, C.M. Hawkes^{21, [ib](#)}, R.J. Hawkins^{37, [ib](#)}, Y. Hayashi^{158, [ib](#)}, D. Hayden^{110, [ib](#)}, C. Hayes^{109, [ib](#)}, R.L. Hayes^{118, [ib](#)}, C.P. Hays^{130, [ib](#)}, J.M. Hays^{97, [ib](#)}, H.S. Hayward^{95, [ib](#)}, F. He^{64a, [ib](#)}, M. He^{14,115c, [ib](#)}, Y. He^{50, [ib](#)}, Y. He^{99, [ib](#)}, N.B. Heatley^{97, [ib](#)}, V. Hedberg^{101, [ib](#)}, A.L. Heggelund^{129, [ib](#)}, C. Heidegger^{56, [ib](#)}, K.K. Heidegger^{56, [ib](#)}, J. Heilman^{35, [ib](#)}, S. Heim^{50, [ib](#)}, T. Heim^{18a, [ib](#)}, J.G. Heinlein^{132, [ib](#)}, J.J. Heinrich^{127, [ib](#)}, L. Heinrich^{113, [ib](#), [af](#)}, J. Hejbal^{135, [ib](#)}, A. Held^{175, [ib](#)}, S. Hellesund^{17, [ib](#)}, C.M. Helling^{169, [ib](#)}, S. Hellman^{49a,49b, [ib](#)}, R.C.W. Henderson^{94, [ib](#)}, L. Henkelmann^{33, [ib](#)}, A.M. Henriques Correia^{37, [ib](#)}, H. Herde^{101, [ib](#)}, Y. Hernández Jiménez^{150, [ib](#)}, L.M. Herrmann^{25, [ib](#)}, T. Herrmann^{52, [ib](#)}, G. Herten^{56, [ib](#)}, R. Hertenberger^{112, [ib](#)}, L. Hervas^{37, [ib](#)}, M.E. Hespings^{103, [ib](#)}, N.P. Hessey^{160a, [ib](#)}, J. Hessler^{113, [ib](#)}, M. Hidaoui^{36b, [ib](#)}, N. Hidic^{137, [ib](#)}, E. Hill^{159, [ib](#)}, S.J. Hillier^{21, [ib](#)}, J.R. Hinds^{110, [ib](#)}, F. Hinterkeuser^{25, [ib](#)}, M. Hirose^{128, [ib](#)}, S. Hirose^{161, [ib](#)}, D. Hirschbuehl^{176, [ib](#)}, T.G. Hitchings^{104, [ib](#)}, B. Hiti^{96, [ib](#)}, J. Hobbs^{150, [ib](#)}, R. Hobincu^{28e, [ib](#)}, N. Hod^{174, [ib](#)}, M.C. Hodgkinson^{144, [ib](#)}, B.H. Hodgkinson^{130, [ib](#)}, A. Hoecker^{37, [ib](#)}, D.D. Hofer^{109, [ib](#)}, J. Hofer^{168, [ib](#)}, T. Holm^{25, [ib](#)}, M. Holzbock^{37, [ib](#)}, L.B.A.H. Hommels^{33, [ib](#)}, B.P. Honan^{104, [ib](#)}, J.J. Hong^{70, [ib](#)}, J. Hong^{64c, [ib](#)}, T.M. Hong^{133, [ib](#)}, B.H. Hooberman^{167, [ib](#)}, W.H. Hopkins^{6, [ib](#)}, M.C. Hoppesch^{167, [ib](#)}, Y. Horii^{114, [ib](#)}, M.E. Horstmann^{113, [ib](#)}, S. Hou^{153, [ib](#)}, M.R. Housenga^{167, [ib](#)}, A.S. Howard^{96, [ib](#)}, J. Howarth^{61, [ib](#)}, J. Hoya^{6, [ib](#)}, M. Hrabovsky^{126, [ib](#)}, A. Hrynevich^{50, [ib](#)}, T. 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D. Scheirich^{137, [id](#)}, M. Schernau^{141e, [id](#)}, C. Scheulen^{58, [id](#)}, C. Schiavi^{59b,59a, [id](#)}, M. Schioppa^{45b,45a, [id](#)},
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