

Measurement of the top-quark mass using decays with a J/ψ meson at $\sqrt{s} = 13$ TeV with the ATLAS detector



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ABSTRACT: The top-quark mass is measured using top-quark decays producing an isolated lepton and J/ψ meson reconstructed in its $\mu^+\mu^-$ decay mode. The data sample was recorded with the ATLAS detector in proton-proton collisions at a centre-of-mass energy of $\sqrt{s} = 13$ TeV during Run 2 of the Large Hadron Collider, corresponding to an integrated luminosity of 140 fb^{-1} . The measurement is based on the invariant mass $m(\ell\mu^+\mu^-)$ of the system made of the isolated lepton ℓ from the W boson decay and the non-isolated $\mu^+\mu^-$ pair from a J/ψ decay of a b -hadron, exploiting its sensitivity to the top-quark mass. An unbinned maximum-likelihood fit to the $m(\ell\mu^+\mu^-)$ distribution is performed to extract the top-quark mass. The top-quark mass is measured to be $m_{\text{top}} = 172.17 \pm 0.80$ (stat) ± 0.81 (syst) ± 1.07 (recoil) GeV, with a total uncertainty of 1.56 GeV. The third uncertainty arises from changing the dipole parton shower gluon-recoil scheme used in top-quark decays.

KEYWORDS: Hadron-Hadron Scattering, Top Physics

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1 Introduction

The top quark is the heaviest fundamental particle observed so far and precise knowledge of its mass (m_{top}) is crucial to test the consistency of the Standard Model (SM) of particle physics [1–5]. The top-quark mass is a renormalisation-scheme-dependent parameter in perturbative quantum field theory. The precise identification of the m_{top} parameter in Monte Carlo (MC) simulations within a field-theory mass scheme is the subject of theoretical studies [6–11]. Since the discovery of the top quark at the Tevatron [12, 13], the CDF and D0 collaborations have made multiple measurements of m_{top} , culminating in the 2016 combined result [14]. The two general-purpose experiments at the Large Hadron Collider (LHC) [15], ATLAS [16] and CMS [17], produced multiple measurements of m_{top} using data collected in proton-proton (pp) collisions at $\sqrt{s} = 7$ TeV and 8 TeV. The combination of these measurements is $m_{\text{top}} = 172.52 \pm 0.14$ (stat) ± 0.30 (syst) GeV, with a total uncertainty of 0.33 GeV [18]. It includes measurements with top-quark pair events that exploit both the semileptonic and hadronic decays of the top quark, and a measurement using events enriched in single-top-quark production via the electroweak t -channel. The uncertainty is dominated by the contribution of the b -jet and light-jet energy scale uncertainties, respectively 0.18 and 0.11 GeV.

Using the large data sample provided by the LHC during Run 2 at $\sqrt{s} = 13$ TeV, the most recent ATLAS top-quark mass measurement was performed in the lepton-plus-jets channel with a high transverse momentum top quark, leading to $m_{\text{top}} = 172.95 \pm 0.27$ (stat) ± 0.46 (syst) GeV [19], while the most precise single measurement to date is

171.77 ± 0.37 GeV [20] from the CMS Collaboration. With a complementary approach, ATLAS performed a measurement using a partial invariant mass reconstruction of the top-quark decay products where one of the b -quarks hadronises into a b -hadron which decays semileptonically into a muon [21], leading to $m_{\text{top}} = 174.41 \pm 0.39$ (stat) ± 0.66 (syst) ± 0.25 (recoil) GeV, where the third uncertainty arises from changing the dipole parton shower gluon-recoil scheme used in top-quark decays.

In this paper, a measurement of m_{top} is presented using a partial reconstruction of top quarks in final states that contain a J/ψ meson from a b -hadron decay. Both top-quark-antiquark pair ($t\bar{t}$) and single-top-quark production are considered to be signal in this study. The decay mode of interest is $t \rightarrow (W \rightarrow \ell\nu)(b \rightarrow J/\psi + X \rightarrow \mu^+\mu^- + X)$, the charge conjugation being implicit. This channel has been suggested by the CMS Collaboration in ref. [22] and refined in ref. [23] with a first measurement leading to $m_{\text{top}} = 173.5 \pm 3.0$ (stat) ± 0.9 (syst) GeV [24]. The key motivation for using J/ψ events lies in their reduced dependence on jet reconstruction. An unbinned maximum-likelihood fit to an observable sensitive to m_{top} is performed. This method exploits the invariant mass $m(\ell\mu^+\mu^-)$, built from the isolated lepton ℓ (with $\ell = e, \mu$) from the W -boson decay and the $\mu^+\mu^-$ pair from the J/ψ candidate. As this observable consists entirely of leptons, it benefits from improved momentum resolution and a reduction of sensitivity to the systematic uncertainties related to jet energy calibration. However, this method presents some challenges: a small branching ratio of the signal process and sensitivity to the signal process modelling, especially parton shower and hadronisation, b -quark fragmentation, and radiation. Methods with different types of systematic uncertainties are important when combining measurements, and for testing the consistency of the theoretical interpretation of the top-quark mass.

2 ATLAS detector

The ATLAS detector [16] at the LHC covers nearly the entire solid angle around the collision point.¹ It consists of an inner tracking detector surrounded by a thin superconducting solenoid, electromagnetic and hadronic calorimeters, and a muon spectrometer incorporating three large superconducting air-core toroidal magnets. The inner-detector system (ID) is immersed in a 2 T axial magnetic field and provides charged-particle tracking in the range $|\eta| < 2.5$. The high-granularity silicon pixel detector covers the vertex region and typically provides four measurements per track, the first hit generally being in the insertable B-layer (IBL) installed before Run 2 [25, 26]. It is followed by the SemiConductor Tracker (SCT), which usually provides eight measurements per track. These silicon detectors are complemented by the transition radiation tracker (TRT), which enables radially extended track reconstruction up to $|\eta| = 2.0$. The TRT also provides electron identification information based on the fraction of hits (typically 30 in total) above a higher energy-deposit threshold corresponding

¹ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z -axis along the beam pipe. The x -axis points from the IP to the centre of the LHC ring, and the y -axis points upwards. Polar coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z -axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln \tan(\theta/2)$ and is equal to the rapidity $y = \frac{1}{2} \ln \left(\frac{E+p_z}{E-p_z} \right)$ in the relativistic limit. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta y)^2 + (\Delta \phi)^2}$.

to transition radiation. The calorimeter system covers the pseudorapidity range $|\eta| < 4.9$. Within the region $|\eta| < 3.2$, electromagnetic calorimetry is provided by barrel and endcap high-granularity lead/liquid-argon (LAr) calorimeters, with an additional thin LAr presampler covering $|\eta| < 1.8$ to correct for energy loss in material upstream of the calorimeters. Hadronic calorimetry is provided by the steel/scintillator-tile calorimeter, segmented into three barrel structures within $|\eta| < 1.7$, and two copper/LAr hadronic endcap calorimeters. The solid angle coverage is completed with forward copper/LAr and tungsten/LAr calorimeter modules optimised for electromagnetic and hadronic energy measurements, respectively. The muon spectrometer (MS) comprises separate trigger and high-precision tracking chambers measuring the deflection of muons in a magnetic field generated by the superconducting air-core toroidal magnets. The field integral of the toroids ranges between 2.0 and 6.0 T m across most of the detector. Three layers of precision chambers, each consisting of layers of monitored drift tubes, cover the region $|\eta| < 2.7$, complemented by cathode-strip chambers in the forward region, where the background is highest. The muon trigger system covers the range $|\eta| < 2.4$ with resistive-plate chambers in the barrel, and thin-gap chambers in the endcap regions. The luminosity is measured mainly by the LUCID-2 [27] detector that records Cherenkov light produced in the quartz windows of photomultipliers located close to the beampipe. Events were selected by the first-level trigger system implemented in custom hardware, followed by selections made by algorithms implemented in software in the high-level trigger [28]. The first-level trigger accepted events from the 40 MHz bunch crossings at a rate close to 100 kHz, which the high-level trigger further reduced in order to record complete events to disk at about 1.25 kHz. A software suite [29] is used in data simulation, in the reconstruction and analysis of real and simulated data, in detector operations, and in the trigger and data acquisition systems of the experiment.

3 Data and simulated event samples

The data were recorded with the ATLAS detector during Run 2 of the LHC, which took place from 2015 to 2018, in pp collisions at a centre-of-mass energy of $\sqrt{s} = 13$ TeV, corresponding to an integrated luminosity of 140 fb^{-1} . Only events recorded under stable beam conditions with all detector subsystems operational [30] are used. MC simulated event samples are used in the analysis to model the $t\bar{t}$ and single-top-quark events and most of the other processes, except non-prompt-lepton and fake-lepton backgrounds, which are estimated by using data-driven methods. The MC samples were processed either through a full simulation of the detector response [31] with the GEANT4 toolkit [32], or using the Atfast2 fast simulation with a parameterised response of the calorimeter. All simulated samples were overlaid with additional pp interactions (pile-up), generated with PYTHIA 8.186 [33] using the NNPDF2.3LO [34] set of parton distribution functions (PDF) and the A3 set of tuned parameters [35] (tune). The average number of interactions per bunch crossing is reweighted to match that in data. Events were processed using the same reconstruction algorithms as applied to data. An m_{top} value of 172.5 GeV was used in all simulated samples, unless stated otherwise.

The production of $t\bar{t}$ events was simulated using the POWHEG BOX v2 [36–39] generator, which provides matrix elements (ME) at next-to-leading order (NLO) in quantum chromodynamics (QCD), and the NNPDF3.0NNLO [40] set. The h_{damp} parameter, which effectively

regulates the high transverse momentum (p_T) radiation against which the $t\bar{t}$ system recoils, was set to $1.5m_{\text{top}}$ [41]. The functional form of the renormalisation (μ_r) and factorisation (μ_f) scales was set to $\mu_r = \mu_f = \sqrt{(m_{\text{top}}^2 + p_{T,t}^2)}$, where the top-quark transverse momentum $p_{T,t}$ was evaluated before radiation [42]. Parton showering (PS) and hadronisation was modelled with the PYTHIA 8.230 [43] generator using the A14 tune [44] and the NNPDF2.3LO set. ME corrections that approximate NLO QCD were enabled in PYTHIA for all emissions, compensating for the leading-order (LO) precision used in POWHEG-HVQ to simulate the top-quark decay. The p_T^{hard} parameter in PYTHIA that affects the matching of the PS to the ME calculation was set to zero. The recoil target for secondary gluon emissions from the b -quark in the $t \rightarrow Wb$ vertex, was assigned to the b -quark.²

The A14 tune of PYTHIA 8 is based on the Monash tune [45] of PS and multiple parton interactions (MPI) parameters that leaves the hadronisation parameters at their default values and uses the Lund-Bowler fragmentation model [46]:

$$f(z) = \frac{1}{z^{1+br_b m_b^2}} (1-z)^a \exp(-bm_T^2/z),$$

where a , b and r_b are the function parameters, m_b is the b -quark mass, $m_T = \sqrt{m_B^2 + p_{T,B}^2}$ is the b -hadron transverse mass (m_B and $p_{T,B}$ being the b -hadron mass and transverse momentum, respectively) and z is the fraction of the longitudinal energy of the b -hadron relative to the b -quark, in the light cone reference frame. The values of a and b were fit to data sensitive to light-quark fragmentation such as charged-particle multiplicities, event shapes and scaled momentum distributions. They are assumed to be universal for light- and heavy-quarks, while the r_b parameter is specific to b -quark fragmentation. The A14 tune sets the value of the strong coupling constant in the final-state shower (α_s^{FSR}) to 0.127, whereas the value of 0.1365 is used in Monash. However, both Monash and A14 set $r_b = 0.855$. In ref. [21], a more appropriate r_b value was fitted for a value of $\alpha_s^{\text{FSR}} = 0.127$. The fit used the A14 tune with e^+e^- collision data from LEP/SLD [47–50]. The distribution $x_B = 2p_B \cdot p_Z / m_Z^2$ from semileptonically decaying b -hadrons in $e^+e^- \rightarrow Z \rightarrow b\bar{b}$ events was used, where p_B and p_Z are the four-momenta of the b -hadron and the Z boson respectively. A value of $r_b = 1.05 \pm 0.02$ was found to be optimal and the central value defines the A14- r_b tune used by default in this analysis. This tune provides good agreement with collision data in a measurement of b -quark fragmentation in top-quark decays [51].

The single-top-quark events are split into three processes: s -channel, t -channel and tW associated production. These samples were simulated using the POWHEG BOX v2 generator at NLO in QCD using the four-flavour (five-flavour) scheme for the t -channel (s -channel and tW) with the NNPDF3.0NNLO set. For the t - and s -channels, the functional form of μ_r and μ_f was set to $\sqrt{(m_b^2 + p_{T,b}^2)}$, where $p_{T,b}$ is the b -quark transverse momentum. The interference between the $t\bar{t}$ and the tW final states was handled using the diagram removal scheme [52, 53]. Events were further processed with PYTHIA 8.230 using the A14- r_b tune and the NNPDF2.3LO set.

The production fractions of weakly decaying b -hadrons observed in POWHEG+PYTHIA MC simulation were rescaled to those from the Heavy Flavour Averaging Group (HFLAV) [54]

²This corresponds to the setting *recoil-to-colour*=ON in the TimeShower in PYTHIA.

Hadron	PDG	POWHEG+PYTHIA 8.2	Scale factor
B^0	0.408 ± 0.006	0.429	0.951
B^+	0.408 ± 0.006	0.429	0.951
B_s^0	0.100 ± 0.008	0.094	1.064
b -baryon	0.084 ± 0.011	0.047	1.787
B_c	0.0030 ± 0.0005	0.0002	14.941

Table 1. The production fraction values for b -hadrons in the PDG and in POWHEG+PYTHIA 8.2. The relative scale factors applied to POWHEG+PYTHIA 8.2 are also shown. The values in the PDG column are derived from ref. [55].

as reported by the Particle Data Group (PDG) [55] with the method described in ref. [56]. The production fractions and corresponding scale factors for POWHEG+PYTHIA simulations are shown in table 1. These scale factors refer only to the first weakly decaying hadron produced in the hadronisation process of b -quarks. The scale factors were applied to each of these hadrons present in a MC simulated event, with the overall event weight given by the product of these scale factors. This procedure assumes that the production fractions of heavy-flavour hadrons can be regarded as universal in the kinematic phase space relevant to this analysis, within the uncertainties accounted for here, as supported by recent results [57–63] that are consistent with universality except for pp collisions with high charged-particle multiplicity at transverse momenta below 6 GeV. The assumption is that production fractions are the same in all experiments and that they sum up to one.

The EVTGEN [64] program was used to simulate bottom and charm hadron mixing and decays. The branching ratios ($\mathcal{BR}$) of the decay of b -hadrons into J/ψ were also rescaled to the latest values from the PDG. The total branching ratio of the decay of b -hadrons into J/ψ is $\mathcal{BR}(b \rightarrow J/\psi + X) = (1.16 \pm 0.1) \times 10^{-2}$. The J/ψ can be produced directly with a $\mathcal{BR}(b \rightarrow J/\psi(\text{direct}) + X) = (7.8 \pm 0.4) \times 10^{-3}$. Indirect production appears through excited mesonic or baryonic states that first deexcite with the emission of a photon and then decay into a J/ψ . The main processes are through the production of a $\psi(2S)$ and a $\chi_{c1}(1P)$ with branching fractions of $(1.76 \pm 0.19) \times 10^{-3}$ and $(4.8 \pm 1.5) \times 10^{-3}$ respectively. The J/ψ can decay into a $\mu^+\mu^-$ pair with a $\mathcal{BR}(J/\psi \rightarrow \mu^+\mu^-) = (5.96 \pm 0.03) \times 10^{-2}$. Thus, the branching ratio of the decay of b -hadrons into $J/\psi \rightarrow \mu^+\mu^-$ is $\mathcal{BR}(b \rightarrow J/\psi(\rightarrow \mu^+\mu^-) + X) \sim 6.9 \times 10^{-4}$.

The $t\bar{t}$ and single-top-quark event samples were generated for different assumed values of the top-quark mass, $m_{\text{top}}^{\text{gen}}$, namely 169, 171, 172, 172.25, 172.5, 172.75, 173, 174 and 176 GeV.

Alternative $t\bar{t}$ and single-top-quark samples were simulated to estimate the systematic uncertainties in the signal processes production modelling. Several alternative samples varied just one of the parameters of the MC generators, allowing individual systematic effects to be investigated separately. The ambiguities in matching the ME calculation and the PS are tested with a sample where the $p_{\text{T}}^{\text{hard}}$ parameter was set to 1 [65]. The $t\bar{t}$ production threshold may be sensitive to the modelling of off-shell effects and top-quark decay. To estimate the uncertainty in the modelling of these effects, an alternative sample was used, where the top-quark decay was simulated with the MADSPIN generator in the MADGRAPH framework [66] interfaced to POWHEG BOX v2. The effect of using a different PS and hadronisation model is evaluated

using a $t\bar{t}$ sample produced with POWHEG BOX v2 interfaced to HERWIG 7.1.3 [67, 68] using the H7-UE set of tuned parameters and the MMHT2014LO [69] set of PDFs. For single-top-quark events the t - and tW -channels samples were simulated using HERWIG 7.2.1. To evaluate the uncertainty in the modelling of the b -quark fragmentation, two additional samples were produced with the value of the r_b parameter entering the Lund-Bowler parameterisation in the fragmentation function varied by its uncertainty of ± 0.02 . Another set of $t\bar{t}$ and single-top-quark samples was produced with the h_{damp} parameter doubled to $3m_{\text{top}}$. To probe uncertainties in final-state QCD radiation (FSR), two sets of $t\bar{t}$ and single-top-quark samples were generated with the scale parameters explicitly³ varied up and down by factors of $\sqrt{2}$ [70]. For these alternative settings, the appropriate r_b values were determined following the same fit procedure described above in order to still correctly model the x_B distribution for LEP/SLD data [21]. Uncertainties in the modelling of the underlying event in $t\bar{t}$ events are evaluated with two samples using the same algorithms for ME and PS as in the nominal one, but using the Var1 settings [44] from the A14 set of tuned parameters. The parameters varied for Var1 up and down were the α_s value in the MPI model and the colour reconnection (CR) ‘range’ parameter in PYTHIA, which steers the number of possible reconnections. Three $t\bar{t}$ samples were generated with alternative MPI and CR models and tunes, denoted by CR0, CR1 and CR2 [71]. CR0 denotes a tune that uses the ‘MPI-based CR model’ and is essentially the same as that used in the nominal sample, with the only difference being a retuning of the colour-reconnection probability. CR1 denotes a tune that uses a ‘QCD-based model’ while CR2 denotes a tune that uses a ‘gluon-move model’. The ambiguity in the choice of the recoil particle for the secondary gluon emission from a b -quark produced in $t \rightarrow Wb$ is addressed by generating a sample where the top quark takes part in the recoil (PYTHIA parameter *recoil-to-top*).

Unless stated otherwise, all cross-section values are stated for pp collisions at $\sqrt{s} = 13$ TeV and for $m_{\text{top}} = 172.5$ GeV. All $t\bar{t}$ samples were normalised to the m_{top} -dependent cross-section prediction obtained from TOP++ 2.0 [72] at next-to-next-to-leading order (NNLO) in QCD, including a resummation of next-to-next-to-leading logarithmic (NNLL) soft gluon terms [73–77]. The $t\bar{t}$ cross-section was calculated to be $\sigma(t\bar{t})_{\text{NNLO+NNLL}} = 832 \pm 51$ pb. The predicted cross-sections of single-top-quark and single-top-antiquark production in the t -channel are $\sigma(t, t\text{-chan})_{\text{NNLO}} = 134.2^{+2.6}_{-1.7}$ pb and $\sigma(\bar{t}, t\text{-chan})_{\text{NNLO}} = 80.0^{+1.8}_{-1.4}$ pb, respectively. The cross-sections were calculated with the MCFM program [78] at NNLO in QCD. The quoted uncertainties for the t -channel include the uncertainties due to the choice of the μ_r and μ_f scales, the uncertainty in the PDFs and in the value of the strong coupling constant α_s . The scale uncertainty is determined by varying μ_r and μ_f independently up and down by a factor of two, whilst never allowing them to differ by a factor greater than two from each other – the so-called independent restricted scale variations. The combined PDF and α_s uncertainties are determined at the 68% confidence level according to the Hessian representation of the PDF4LHC21 set [79]. The predicted cross-section of tW production

³With explicit scale variations, dedicated alternative MC samples were generated with the $\mu_{r, f}$ scales modified in the parton shower settings, as opposed to the automated parton shower variations discussed in ref. [70], where weights are applied to the MC sample to obtain the systematic shifts. Explicitly changing $\mu_{r, f}$ by a factor of $\sqrt{2}$ corresponds approximately to an automated variation of a factor of two, thanks to the implementation of the NLO compensation terms in the latter case.

is $\sigma(t, tW\text{-chan})_{\text{NNLO+NNLL}} = 79.3^{+2.9}_{-2.8}$ pb and was computed at NNLO in QCD with the addition of third-order corrections of soft-gluon emissions by resumming NNLL terms [80] using the PDF4LHC21 set. The quoted uncertainty for the tW channel includes the uncertainty due to the choice of μ_r and μ_f and the uncertainty in the PDFs. The uncertainty in the scale choice is determined by varying the scales simultaneously up and down by a factor of two. The PDF uncertainties are based on the Hessian method and include the uncertainty in α_s . The predicted cross-section of s -channel production is $\sigma(t, s\text{-chan})_{\text{NLO}} = 11.07^{+0.19}_{-0.18}$ pb and was computed at NNLO in QCD [81]. The PDF4LHC21 set was used. The quoted uncertainty for the s -channel includes the uncertainty due to the choice of μ_r and μ_f and the uncertainty in the PDFs. The uncertainty in the scale choice was determined by varying the scales simultaneously up and down by a factor of two. The PDF uncertainties are based on the replicas method.

The production of $t\bar{t}$ in association with one or two vector bosons ($t\bar{t}V$) or with a Higgs boson ($t\bar{t}H$) was simulated using MADGRAPH5_AMC@NLO (v2.3.3) [82]. The generator provides ME calculation at NLO in α_s , with the NNPDF3.0NNLO set. It was interfaced to PYTHIA 8.210 and used the A14 set of tuned parameters and the NNPDF2.3LO set [83]. Other background processes include production of pairs of vector bosons (diboson, WW , ZZ , WZ) or W and Z bosons in association with jets, as well as specifically W bosons in association with J/ψ . SHERPA v2.2.1 and v2.2.2 were used for diboson processes with two and three leptons in the final state, respectively. SHERPA v2.2.11 [84] was used to model W +jets and Drell-Yan Z/γ^* +jets production. For these processes, SHERPA calculates the ME at NLO for up to two partons and at leading order (LO) for up to five partons using the OPENLOOPS [85] and COMIX [86] ME event generators. They were matched with the SHERPA PS [87] using the MEPSNLO prescription. It employs a dedicated set of tuned parameters developed by the SHERPA authors based on the NNPDF3.0NNLO set. The MADGRAPH5_AMC@NLO 2.6.5 program was used to generate alternative W/Z +jets samples with up to three additional partons in the final state at NLO accuracy. The showering and subsequent hadronisation were performed using PYTHIA 8.245 with the A14 tune and the NNPDF2.3LO set with $\alpha_s = 0.13$. The different jet multiplicities were merged using the FxFx NLO matrix-element and parton-shower merging prescription [88]. PYTHIA 8.245 was used to model the PS, hadronisation and underlying event. These samples are referred to as MGxFx W/Z +jets samples in the following. Two simulated samples of associated prompt $W + J/\psi$ production are used for this analysis. MADONIA, a heavy quarkonia package [89] that runs on MADGRAPH 4, was used to generate colour-octet samples of single parton scattering (SPS) associated production. Les Houches Event Files (LHEF) [90] were generated using MG_ME_V4.5.2 with the CTEQ6L1 set of PDFs [91]. The hard scatter LHEF from MADGRAPH were then interfaced to PYTHIA 8.186 (using the AU2 tune [92]) for hadronisation and showering. It is possible for the W boson and J/ψ to originate from two different parton interactions in the same pp collision, in a double parton scattering process (DPS). Such a DPS sample was generated, hadronised, and showered with PYTHIA 8.186 using the AU2 tune and the CTEQ6L1 set. Production of $Z + J/\psi$ is expected to be negligible.

The non-prompt- and fake-lepton background corresponds to events originating from processes that do not involve isolated leptons produced by W , Z or Higgs boson decays. It is

estimated by using the data-driven ‘matrix-method’ [93], where data events passing the full selection with looser identification and isolation requirements on leptons are used along with the measured probability of loose leptons to satisfy the nominal selection requirements.

4 Object definition

The primary vertex is defined as the vertex with the largest $\sum p_T^2$ of the associated tracks among all the vertices with at least two tracks with $p_T > 0.5$ GeV consistent with the beam-collision region in the x - y plane [94].

Electron candidates are reconstructed by matching energy deposits in the electromagnetic calorimeter with a corresponding track in the inner tracking detector [95]. Electrons are required to satisfy the TIGHTLH identification criteria [95] and to lie within $|\eta| < 2.47$, excluding candidates within the calorimeter transition region $1.37 < |\eta| < 1.52$. Muon candidates are reconstructed by combining tracks from the inner detector with matching tracks reconstructed in the muon spectrometer [96]. They are required to have $|\eta| < 2.5$ and to satisfy the MEDIUM requirements [96]. Both lepton flavours are required to have a transverse momentum p_T greater than 25 GeV (27 GeV for 2016–2018 data periods) to ensure high efficiency of the single-lepton triggers. Their tracks are matched to the primary vertex, by requiring that the transverse impact parameter divided by its estimated uncertainty be less than five (three) for electron (muon) candidates and the longitudinal impact parameter is required to satisfy $|z_0(\ell)| < 0.5$ mm.

Charged leptons originating from the W boson decay have to satisfy isolation requirements. Isolated electron candidates are required to satisfy the TIGHT isolation requirement [95] that removes fake and non-prompt electrons from heavy-flavour decays. Isolated muon candidates are required to satisfy the PFLOWTIGHT_FIXEDRAD isolation selection [96] to reduce background from heavy-flavour decays inside jets.

Soft-muons (referred to as soft- μ) are a special case of muons that are used to select J/ψ in the final state. They are reconstructed similarly to isolated muons but selected with a low transverse momentum threshold $p_T > 3$ GeV. To remove muons not originating from pp collision events, the longitudinal impact parameter is required to satisfy $|z_0(\mu)| < 10$ mm. The soft- μ are required to satisfy the LOWPT working point identification criterion [97] optimised to provide good muon reconstruction efficiency down to 3 GeV, while controlling the fake- μ rate. No isolation requirement is applied. Soft- μ are associated with jets whenever the angular separation ΔR is smaller than 0.4

Jet candidates are reconstructed from particle-flow objects [98] using the anti- k_t jet algorithm [99, 100] implemented in the FastJet package [101] with a radius parameter $R = 0.4$. Jets are accepted if they have $p_T > 25$ GeV and $|\eta| < 2.5$. To reduce the contribution from pile-up jets, jets with $p_T < 60$ GeV and $|\eta| < 2.4$ are required to satisfy a criterion of the jet-vertex tagger (JVT) output value, $JVT > 0.59$. The JVT is a multivariate quantity that combines information from several track-based variables [102]. Further corrections, derived from a calibration based on MC simulations and data [103], are applied to the jet energy leading to corrections of the jet four-momentum. Jets containing b -hadrons are identified (‘ b -tagged’) using the multivariate algorithm DL1r [104], which combines information about the impact parameters of displaced tracks and the topological properties of secondary and

tertiary decay vertices reconstructed within the jet. The algorithm is trained on simulated $t\bar{t}$ events to discriminate b -jets from a background consisting of light-flavour jets and c -jets. The working point used has an efficiency of 77% and a corresponding rejection factor of 5 and 200 for c -quark jets and light-quark originated jets.

The missing transverse momentum $\vec{p}_T^{\text{miss}}$ (with magnitude E_T^{miss}), is defined as the negative vector sum of the $\vec{p}_T$ of all selected and calibrated objects in the event, including a term to account for the momenta of soft particles that are not associated with any of the selected objects [105]. This soft term is calculated from ID tracks matched to the primary vertex, which makes it more resilient to contamination from pile-up interactions.

To avoid double-counting, overlapping physics objects are rejected in the following order: electrons sharing a track with a muon; the closest jet within $\Delta R = 0.2$ of an electron; electrons within $\Delta R = 0.4$ of a jet; jets within $\Delta R = 0.4$ of a muon if they have at most two associated tracks; muons within $\Delta R = 0.4$ of a jet. Soft- μ candidates matching ($|\Delta\eta| < 0.001$ and $|\Delta\phi| < 0.001$) isolated muon candidates used to preselect the $t\bar{t}$ events are rejected.

5 Event selection

The event selection targets top-quark events in the final state $\ell\nu bqq'\bar{b}$, where $\ell = e$ or μ and qq' are the quarks from the W decay that is producing jets. At least one b -initiated jet is required, along with a $J/\psi \rightarrow \mu^+\mu^-$ decay originating from a b -hadron.

Events are required to have at least one primary vertex and to have satisfied a single-electron or single-muon trigger. Multiple triggers were used to increase the selection efficiency. The lowest-threshold triggers utilised isolation requirements to reduce the trigger rate. These had p_T thresholds of 20 GeV for muons and 24 GeV for electrons in 2015 data, and 26 GeV for both lepton types in 2016, 2017 and 2018 data [28, 106, 107]. Events are selected by requiring exactly one isolated lepton candidate matched to the trigger lepton and at least two jets with at least one of them being b -tagged. This loose requirement on jet multiplicity retains signal events even at the expense of a larger background level. Events containing additional isolated leptons with $p_T > 25$ GeV are rejected. This analysis does not require the presence of missing transverse momentum. About 35 million events satisfy this selection.

J/ψ candidates are reconstructed using all pairs of oppositely charged soft- μ that are constrained to originate from a common vertex using ID track parameters. The properties of the J/ψ , such as its invariant mass, transverse momentum, and pseudorapidity are determined from the result of the vertex fit. The J/ψ candidates consist of genuine prompt and non-prompt decays, background decays produced by various combination of fake and real muons, and genuine muon pairs producing an invariant mass in the continuum under the peak. The various components can be separated using the pseudo-proper lifetime τ_0 of the J/ψ candidates defined by $\tau_0 = L_{xy} \times m_{J/\psi}^{\text{PDG}} / p_T^{J/\psi}$, with $L_{xy} = \vec{L} \cdot \vec{p}_T^{J/\psi} / p_T^{J/\psi}$, where $\vec{L}$ is the vector of the distance between the primary vertex and the extrapolated common vertex of the two muon candidates in the transverse plane, $m_{J/\psi}^{\text{PDG}}$ is the mass of the J/ψ from the PDG, and $\vec{p}_T^{J/\psi}$ is the reconstructed transverse momentum vector of the J/ψ candidate. The mass variable $m_{J/\psi}^{\text{PDG}}$ is used instead of the reconstructed value in order to have uncorrelated mass and lifetime information. Prompt J/ψ decays have a pseudo-proper lifetime consistent with zero within resolution.

Further J/ψ selection criteria are applied:

- The invariant mass of the J/ψ candidate lies in the final range of 2.9–3.3 GeV. A total of 19 576 candidates remain in the data.
- The quality of the fit of the two soft- μ to a common vertex has a $\chi^2 < 10$, for the one degree of freedom. A total of 18 133 candidates remain.
- The J/ψ candidate has a transverse momentum $p_T > 8$ GeV and rapidity $|y| < 2.1$. A total of 17 280 candidates remain.
- The angular distance between the two soft- μ is $\Delta R(\mu, \mu) < 0.6$. Both are inside the same jet and their angular distance to this jet is $\Delta R(\text{soft-}\mu, \text{jet}) < 0.4$. A total of 16 821 candidates remain.
- The proper lifetime of the candidates is $\tau_0 > 0.1$ ps. A total of 15 350 candidates remain.

Furthermore, the p_T of the system made of the isolated lepton and the J/ψ candidate is $p_T(\ell\mu^+\mu^-) > 25$ GeV and the invariant mass of the system is restricted to $10 < m(\ell\mu^+\mu^-) < 160$ GeV, since the tail of this distribution is more sensitive to $t\bar{t}$ and single-top-quark modelling uncertainties. Finally, a total of 12 165 candidates remain.

Figures 1(a) and 1(b) show the distributions of the invariant mass $m(\mu^+\mu^-)$ of the J/ψ candidates for the selected events in an extended mass window 2–3.6 GeV and in the final range 2.9–3.3 GeV, respectively. Figures 1(c) and 1(d) present the invariant mass $m(\ell\mu^+\mu^-)$ and the transverse momentum $p_T(\ell\mu^+\mu^-)$ of the selected isolated lepton ℓ and the J/ψ system. The prediction reproduces the $m(\mu^+\mu^-)$ distribution in data within the associated uncertainties, in particular the momentum resolution uncertainty of the soft- μ , although some differences between the shapes are observed. These differences have a negligible impact on the analysis. Table 2 shows the number of events in the full Run 2 data sample retained after the final selection. Also shown are the expected numbers of $t\bar{t}$ and single-top-quark events, assuming a top-quark mass of $m_{\text{top}} = 172.5$ GeV, broken down into contributions with and without the $b \rightarrow J/\psi \rightarrow \mu^+\mu^-$ decay, and other background events, corresponding to the integrated luminosity of the data. The sum of the predicted number of events agrees within uncertainties with the observed number of events in the data.

About 73% of the selected events come from the signal samples, containing a $t\bar{t}$ pair or a single-top-quark with a true $J/\psi \rightarrow \mu^+\mu^-$ decay from b -quark fragmentation. Most of these signal events, 90.5% (7%), have the two (only one) soft- μ coming from the decay of a J/ψ produced by a b - or c -hadron that comes from a b -quark produced by a $t \rightarrow Wb$ decay. For about 2% of the signal events, one of the soft- μ originates from the prompt W decay, found near a jet, or radiates a near-collinear photon mimicking a jet. For 0.5% of the signal events, one of the soft- μ arises from light-hadron decays or detector background. These events are referred to as ‘Fake soft- μ ’ events in the following. About 13% of all selected events contain a $t\bar{t}$ pair or a single-top-quark without a true $b \rightarrow J/\psi \rightarrow \mu^+\mu^-$. The random combination of two selected soft- μ produces an exponentially decreasing $m(\mu^+\mu^-)$ distribution. Almost all of these combinatorial background events have the two soft- μ originating from the decay of a b - or c -hadron while in 5% of the cases, one of them is a ‘Fake soft- μ ’.

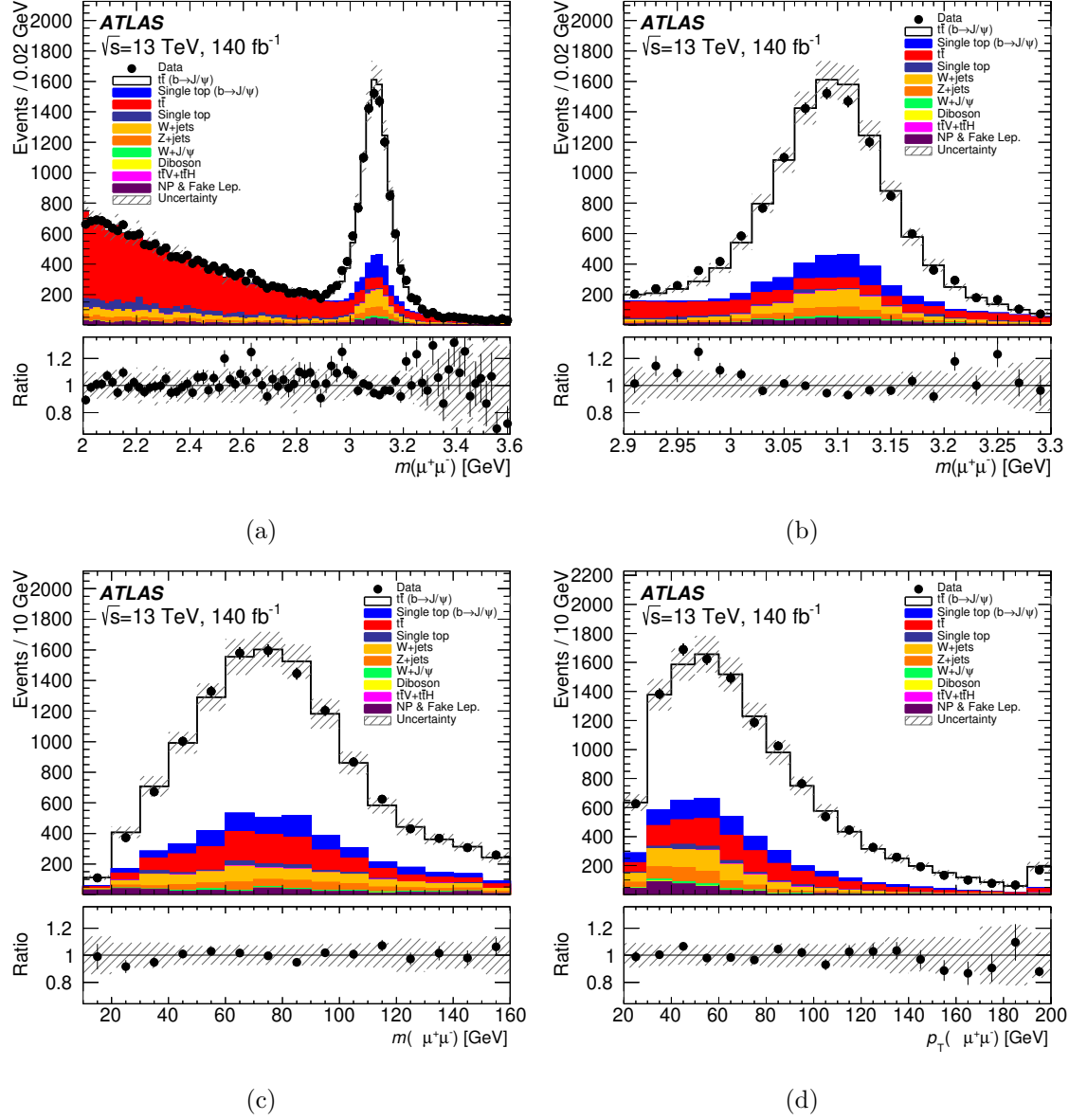


Figure 1. Distributions of the invariant mass $m(\mu^+\mu^-)$ of J/ψ candidates in (a) the 2–3.6 GeV and (b) the 2.9–3.3 GeV mass range, (c) the invariant mass $m(\ell\mu^+\mu^-)$ and (d) the transverse momentum $p_T(\ell\mu^+\mu^-)$ of the system made of the selected isolated lepton and the two muons from the J/ψ candidate. The data is shown compared with the expectation from simulation, broken down into contributions from $t\bar{t}$ and single-top-quark with and without $b \rightarrow J/\psi \rightarrow \mu^+\mu^-$ decay, $t\bar{t}V + t\bar{t}H$, $W + \text{jets}$, $W + J/\psi$, $Z + \text{jets}$, dibosons and events with non-prompt and fake leptons (referred to as ‘NP & Fake Lep.’). The data is represented as closed circles with statistical uncertainties. The predictions are shown as solid coloured histograms and are normalised to the same integrated luminosity as the data. The shaded area represents the combination of statistical and systematic uncertainties. The lower panels show the ratios of the data to the predictions.

Data	12 165
$t\bar{t} (b \rightarrow J/\psi \rightarrow \mu^+\mu^-)$	7941 ± 737
Single-top-quark ($b \rightarrow J/\psi \rightarrow \mu^+\mu^-$)	964 ± 109
$t\bar{t}$	1411 ± 145
Single-top-quark	164 ± 43
$t\bar{t}V + t\bar{t}H$	38 ± 8
$W + \text{jets}$	777 ± 258
$Z + \text{jets}$	468 ± 138
$W + J/\psi$	78 ± 41
diboson	16 ± 9
Non-prompt and fake lepton	322 ± 99
Signal+background	$12\,180 \pm 821$
Expected background fraction	0.27 ± 0.01
Data/(Signal+background)	1.00 ± 0.07

Table 2. Number of selected events in data after the final selection. Also shown are the expected numbers of $t\bar{t}$ and single-top-quark events, assuming a top-quark mass of $m_{\text{top}} = 172.5$ GeV, broken down into contributions with and without the $b \rightarrow J/\psi \rightarrow \mu^+\mu^-$ decay, and other background events, corresponding to the integrated luminosity of the data. The last two rows show the expected background fraction and the ratio of observed to expected events. The total uncertainty includes both statistical and systematic components, combined in quadrature.

The contribution from other background processes accounts for approximately 14% of the total. These backgrounds produce a peak in the $m(\mu^+\mu^-)$ distribution under the signal peak. It is dominated by the production of W and Z boson in association with jets, for which in about two thirds of the cases a real J/ψ is produced, originating from a b - or a c -jet. Among $W + J/\psi$ selected events, about 70% originate from double parton scattering process (DPS) production. Background events containing non-prompt and fake leptons also lead to a peak around the J/ψ .

About half of the $t\bar{t}$ events have the isolated lepton and the J/ψ belonging to the decay of the same top quark, which is the signature providing the best sensitivity to the top-quark mass. Putting a requirement on the distance between the isolated lepton and the J/ψ could increase the fraction of such good pairings, but at the cost of a significant loss of events leading to an unacceptable increase in the statistical uncertainty.

6 Systematic uncertainties

The systematic uncertainties that affect the measurements of m_{top} are classified into four categories: those arising from the number of events in the data and Monte Carlo samples, from the modelling of $t\bar{t}$ and single-top-quark processes, estimated separately, from the modelling of the physics background processes, and from the effects of the ATLAS detector and reconstruction techniques. For sources that consist of two-sided variations, a symmetric

uncertainty is estimated as half of the difference between the up and down variations, assuming that the impact on the measured m_{top} is symmetric around the nominal value. If both variations point in the same direction compared with the central result, the maximum is taken as the resulting uncertainty and the opposite-sign variation is assumed to be of identical size. In cases where the uncertainty is determined from two MC set-ups, e.g. for the evaluation of the uncertainty induced by the choice of signal MC generator, the full observed difference from the nominal result is used as a symmetric uncertainty.

Since the systematic uncertainties are evaluated based on MC samples of limited size, each systematic uncertainty has a corresponding statistical uncertainty, taking into account the statistical correlation of the samples [108]. Using the individual uncertainties $u_i \pm s_i$ the total uncertainty is calculated as $u \pm s$, where $u = \sqrt{\sum_i u_i^2}$ and $s = (1/u) \times \sqrt{\sum_i (u_i^2 \times s_i^2)}$. The statistical precision from a comparison of two samples σ_{12} is determined for each uncertainty source based on the correlation ρ_{12} of the underlying samples, using $\sigma_{12}^2 = \sigma_1^2 + \sigma_2^2 - 2\rho_{12}\sigma_1\sigma_2$. The statistical correlation is expressed as $\rho_{12} = w_{12}/(\sqrt{w_1}\sqrt{w_2})$ with $w_1 = \sum_{i,1} w_i^2$ and $w_2 = \sum_{i,2} w_i^2$ the sum of squared weights in the two samples, and $w_{12} = \sum_{i,12} w_i^2$ the sum of squared weights of events present in both samples.

6.1 Data and Monte Carlo samples

The limited size of the simulated samples as well as the non-prompt and fake-lepton background component impacts the construction of the m_{top} -dependent and independent templates. The corresponding uncertainty is estimated by using a bootstrap method [109]. The method calibration uncertainty is determined by repeating the mass extraction on MC samples generated with different values of $m_{\text{top}}^{\text{gen}}$ mass and estimating the residual bias of the measurement. A constant is fitted and its statistical uncertainty is assigned as the uncertainty. The uncertainty in the integrated luminosity is 0.83% [110] and is applied to all processes other than the non-prompt- and fake-lepton background. The reweighting of the simulation to match the pile-up distribution in data involves rescaling the average number of interactions per bunch crossing to achieve improved agreement between data and simulation for the observed number of primary vertices. The uncertainty in determining this rescaling is propagated to the reweighting factors to estimate the uncertainty due to pile-up.

6.2 Modelling of $t\bar{t}$ and single-top-quark processes

Uncertainties in $t\bar{t}$ and single-top-quark process modelling include sources that affect the kinematics of the lepton from the W boson decay, as well as the kinematics and fraction of events of different flavour of the b -hadron giving rise to the $J/\psi \rightarrow \mu^+\mu^-$. Uncertainties are assessed by either reweighting the nominal samples or by using the alternative simulation samples described in section 3. The full observed difference between the m_{top} measurements is quoted as the systematic uncertainty, unless where mentioned explicitly.

The impact on the measurement from the uncertainties in the $t\bar{t}$ and single-top-quark inclusive cross-sections is negligible, as the fit is performed using only the shape of the distributions and does not depend on yield variations arising from the assumed top-quark mass. The uncertainty related to the matching of the NLO calculation is evaluated using the $t\bar{t}$ and single-top-quark samples with the alternative $p_{\text{T}}^{\text{hard}}$ setting. An uncertainty is

considered to account for the effect of $t\bar{t}$ NNLO corrections, which are known to be not fully covered by the scale uncertainties of the $t\bar{t}$ NLO+PS prediction [111, 112]. An iterative reweighting procedure is implemented to simulate NNLO+NLL QCD effects in the parton level p_T^t , $p_T^{\bar{t}}$ and $m_{t\bar{t}}$ distributions. The full observed difference using the nominal $t\bar{t}$ sample with and without this reweighting is quoted as the systematic uncertainty. The top-quark decay lineshape uncertainty accounts for the ambiguity in the models of the on-shell effects in production and compares the two existing implementations of the smearing and off-shell corrections: POWHEG and MADSPIN. The uncertainty in the interference between $t\bar{t}$ and tW production at NLO is assessed by comparing the default ‘diagram removal’ scheme with an alternative ‘diagram subtraction’ scheme [113]. The full observed difference is quoted as the systematic uncertainty. Uncertainties in the PS and hadronisation model are assessed by comparing the m_{top} values obtained from the sample generated with POWHEG+HERWIG 7.1.3 with the nominal $t\bar{t}$ sample and POWHEG+HERWIG 7.2.1 for the t - and tW -channels.

Uncertainties in the b -hadron production and decay branching fractions of the inclusive decays of b -hadrons into $J/\psi \rightarrow \mu^+\mu^-$ are derived from the uncertainties in the rescaling procedure described in section 3 and come entirely from the PDG values. These uncertainties are propagated through the analysis, taking into account the correlation coefficients between production fractions [55]: $\text{cor}(B_s^0, b\text{-baryon}) = 0.064$, $\text{cor}(B_s^0, B^\pm=B^0) = -0.633$ and $\text{cor}(b\text{-baryon}, B^\pm=B^0) = -0.813$. Initial- and final-state QCD radiation (ISR/FSR) lead to a higher jet multiplicity and different jet energies than the hard process, which affects the distributions of the observables used in this measurement. Several systematic variations are made to cover uncertainties in the amount of ISR radiation. One uncertainty component is obtained using alternative event weights in the nominal sample to mimic variations in the μ_r and μ_f scales in the matrix elements, varied by factors of 0.5 and 2.0, independently. For another component, additional sets of weights are used to reproduce the Var3c up/down variants of the A14 tune, altering the α_s^{ISR} scale [114]. Another uncertainty component is derived from the $t\bar{t}$ sample with the alternative value for the h_{damp} parameter. The effect of FSR is evaluated using alternative simulated $t\bar{t}$ samples generated with the scale parameters explicitly varied. The uncertainty from the underlying event is assessed by using the two samples generated with the Var1 eigentune variation. Uncertainties in the CR model are assessed by comparing the two alternative CR model samples (CR1 and CR2) with the CR0 sample. The largest difference relative to CR0 comes from the CR2 model and is taken as a symmetric uncertainty. The uncertainty originating from the PDFs is evaluated using the 30 eigenvectors of the PDF4LHC15 set [115].

Uncertainties in the modelling of the b -quark fragmentation are evaluated using samples generated with different r_b values, by comparing the m_{top} values obtained from the up ($r_b = 1.07$) and down ($r_b = 1.03$) variations for the A14- r_b tune described in section 3. To ensure that the estimate based on the r_b values adequately accounts for the modelling uncertainties in the b -quark fragmentation, two cross-checks are performed using different theoretical predictions of the fragmentation function. The first one concerns the variable x_B that is sensitive to the fraction of the b -quark energy taken by the b -hadron in the top-quark rest frame, when considering the top-quark decay $t \rightarrow Wb$:

$$x_B = \frac{1}{1 - m_W^2/m_{\text{top}}^2 + m_b^2/m_{\text{top}}^2} \frac{2p_B \cdot p_{\text{top}}}{m_{\text{top}}^2},$$

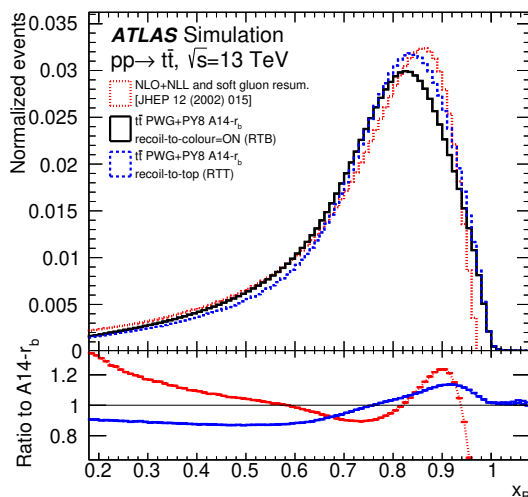


Figure 2. Distribution of x_B , the fraction of energy taken by the b -hadron in the top-quark rest frame, as obtained from theoretical prediction at NLO+NLL [116, 118], accounting for soft-gluon resummation with the hadronisation according to the Kartvelishvili model [119], and obtained at particle level using $t\bar{t}$ samples. The POWHEG+PYTHIA 8 A14- r_b MC samples are based on different recoil schemes using either the b -quark (‘recoil-to-colour=ON’) or the top quark (‘recoil-to-top’) as the recoil particle. The lower panel shows the ratios of the theoretical and ‘recoil-to-top’ predictions to the ‘recoil-to-colour=ON’ one.

where m_W and m_b are respectively the W -boson and the b -quark masses and p_B and p_{top} are the b -hadron and the top-quark four momenta [116, 117]. Figure 2 shows the x_B spectrum predicted at NLO+NLL [116, 118], accounting for soft-gluon resummation in top-quark decays, with the hadronisation according to the Kartvelishvili model [119]. This spectrum is compared with the one obtained at particle level in the $t\bar{t}$ PYTHIA 8 sample, controlled by the parameters of the fragmentation function and α_s^{FSR} , which are set in the A14- r_b tune. The observed differences between the shapes are used to define scale factors applied on $t\bar{t}$ events, which translate into variations of the observed $m(\ell\mu^+\mu^-)$ distribution. The second cross-check concerns the prediction of fragmentation functions at NNLO order accuracy, including NNLL soft gluon resummation of B -hadrons and J/ψ [120]. The differences in shape between the differential distributions of $m(\ell J/\psi)$ predicted at NLO and NNLO are used to define scale factors applied to the $t\bar{t}$ events, which translate into a variation of the observed $m(\ell\mu^+\mu^-)$ distribution. In both cases, the variations in measured m_{top} values are comparable in size to the uncertainty assigned to the b -quark fragmentation modelling. These variations are not included as dedicated systematic uncertainties in the final result.

In the simulation of the dipole QCD radiation scheme, there is an ambiguity in the choice of the recoil particle for the modelling of the second and subsequent gluon emission from quarks produced by coloured resonance decays, such as the b -quark in a $t \rightarrow Wb$ process. Practically this determines how the momentum is re-arranged between the W boson and the b -quark, but it has no impact, for example, on $Z \rightarrow b\bar{b}$ decays [121]. The default gluon recoil scheme in the nominal $t\bar{t}$ samples uses the b -quark as the recoil particle using the

PYTHIA 8 parameter *recoil-to-colour*=*ON*, referred to as RTB. The uncertainty due to the ambiguity in the choice of recoil scheme is assessed by comparing with an alternative $t\bar{t}$ sample produced where the top quark is the recoil particle, using the PYTHIA 8 parameter *recoil-to-top*, referred to as RTT. A fully self-consistent variation of the recoil scheme would require a dedicated tuning of PYTHIA 8 parameters. This necessitates measurements in top-quark events with sufficient sensitivity to this aspect of the modelling that could be fed into existing measurements able to constrain α_s and b -quark fragmentation. Despite previous measurements showing sensitivity to the recoil scheme [19, 21], and indicating a preference of data for the recoil scheme used in the nominal simulation of this analysis [19], no such dedicated measurements yet exist. The full observed difference in m_{top} measurements between the RTB and RTT recoil schemes is 1.07 ± 0.22 GeV. The RTT set-up mildly changes the W -boson p_T and the angle between the W boson and the b -hadron resulting from the top-quark decay, but it hardens the b -hadron momentum and, as a consequence, modifies the distribution of x_B , as shown in figure 2. As in ref. [21], a reweighting procedure is tested in order to force the x_B spectrum derived with the RTT scheme to be the same as that for the RTB one. This would reduce the recoil systematic uncertainty down to 0.10 GeV with a statistical uncertainty in this uncertainty of 0.21 GeV. A fully consistent comparison of the fragmentation description in parton shower simulations with the available theoretical predictions at NLO+NLL [116, 118] and NNLO [120] is beyond the scope of the present paper. The full observed difference in m_{top} measurements between the RTB and RTT recoil schemes is therefore conservatively included in the final result, separately from other systematic modelling uncertainties.

6.3 Modelling of background processes

Several sources of uncertainties are considered for the normalisation and shape of background contributions. As seen in section 5, about 13% of the selected events originate from $t\bar{t}$ or single-top-quark events without a true $b \rightarrow J/\psi \rightarrow \mu^+\mu^-$ leading to a combinatorial background under the peak of the J/ψ . The normalisation and shape of the $m(\mu^+\mu^-)$ distributions of these contributions are checked with other selections where this component is enhanced, in particular by selecting events with three or four jets, at least one of them being b -tagged. In the $m(\mu^+\mu^-)$ sideband region [2–2.9] GeV where this background is dominant, the normalisation and shape of the $m(\ell\mu^+\mu^-)$ distribution is also checked. In all cases, the data is well reproduced by expectations, and the impact of this effect is found to be negligible. The fraction of the soft- μ component of $t\bar{t}$ events that arises from ‘Fake soft- μ ’, as defined in section 5, is small, 4% in the sideband and only 0.5% in the signal region. A conservative uncertainty of 25% in the normalisation of this component is taken as a systematic uncertainty, supported by the comparison between data and prediction in the sidebands of the invariant mass distributions.

Processes like $t\bar{t}V$ and $t\bar{t}H$ represent only a small contribution to the observed number of events and are included in the background component. The cross-sections of the $t\bar{t}V$ and $t\bar{t}H$ processes are known with a precision around 13% [122]. Still, some processes show discrepancies between data and MC in previous measurements [123, 124]. The inclusive cross-sections for diboson production are calculated using MCFM [125] and are known to a

precision of better than 10% [126]. For all these processes, more conservative uncertainties of 50% are applied to also cover shape uncertainties and observed differences found in previous analyses [126]. Uncertainties related to the W/Z + jets processes are determined using two methods. The uncertainties related to the default SHERPA samples are determined by varying independently the μ_r and μ_f scales by factors of 0.5 and 2 as well as considering PDF and α_s variations [127]. The alternative MGFXFX generator to simulate W/Z +jet processes, described in section 3, which provides a different treatment of multi-jets multiplicity from the nominal samples, leads to generator-choice-induced differences in the normalisations and shapes of the distributions $m(\mu^+\mu^-)$ and $m(\ell\mu^+\mu^-)$, which in turn give rise to systematic uncertainties. The uncertainties obtained from the two methods are summed in quadrature to obtain the full uncertainty for the W/Z +jets background. For prompt $W + J/\psi$ production, a 50% normalisation uncertainty is used. The normalisation and shape of the $m(\mu^+\mu^-)$ distributions of the peaking backgrounds are checked in two validation regions, designed to enrich these components: a region with exactly one reconstructed jet to validate the W +jets and $W + J/\psi$ production; and one with exactly two jets and two isolated leptons to validate Z +jets production. It is verified that the uncertainty arising from differences observed between data and MC simulation in these validation regions is negligible.

For the data-driven estimate of the non-prompt and fake lepton background, a 30% systematic uncertainty in the predicted yields is assigned, uncorrelated between e +jets and μ +jets events. This uncertainty is supported by comparison of distributions at low E_T^{miss} values, enriched in non-prompt and fake lepton background events.

6.4 Modelling of the detector response

Uncertainties for isolated leptons arise from the efficiency of their reconstruction, identification and isolation requirements, all derived from studies using Z and J/ψ decays [95, 96]. A similar procedure is used to estimate the trigger efficiencies [106, 107]. The lepton energy/momentum scales and resolutions are obtained from Z and J/ψ decays [128, 129]. The uncertainties associated with the soft- μ are related in particular to the muon momentum scale, resolution and identification efficiencies at low p_T . Although the observable $m(\ell\mu^+\mu^-)$ does not involve jets, the various jet uncertainties impact the analysis through the event selection. Uncertainties in the jet energy scale (JES) are evaluated using 29 independent variations in the jet energies that parametrise the uncertainties in the JES of $R = 0.4$ particle-flow jets [103]. The JES comprises statistical subcomponents from in situ calibrations, detector related subcomponents such as energy scales and resolutions of electromagnetic objects and modelling subcomponents for γ +jets and Z +jets calibrations. The magnitude of the jet energy resolution (JER) uncertainty is parameterised in jet p_T and η [103], and the uncertainty is propagated by smearing the jet p_T in the simulation. The uncertainty in the efficiency to satisfy the JVT requirement is evaluated by varying the scale factors within their uncertainties [102]. The b -tagging efficiencies and mistag rates are measured in data, and scale factors are derived to correct the predicted tagging rates. Corresponding uncertainties are taken into account by varying these scale factors, which depend on jet p_T , η , and on the hadronic content of the jet. They include the uncertainties in the b -tagging [130] and mistagging scale factors [131, 132] with nine, four and five eigenvector variations for b , c/τ -lepton and light jets, respectively.

The uncertainty in m_{top} is derived by varying the scale factors within their uncertainties and adding the resulting fitted differences in quadrature. As $E_{\text{T}}^{\text{miss}}$ is not explicitly used in the selection, no uncertainty is assigned to it.

7 Template method and result

The analysis method employed in this paper is a template fit. Templates are simulated distributions of the invariant mass $m(\ell\mu^+\mu^-)$ of the system made of the selected isolated lepton ℓ and the two soft- μ associated with the J/ψ candidate. The templates are built from MC samples representing different m_{top} values, with added non-prompt and fake-lepton events. The templates are split into two components separating the contributions with a significant m_{top} dependence from contributions that do not depend on m_{top} .

The m_{top} -dependent templates are constructed from $t\bar{t}$ and single-top-quark MC samples produced for nine $m_{\text{top}}^{\text{gen}}$ values: 169, 171, 172, 172.25, 172.50, 172.75, 173, 174 and 176 GeV. This procedure is adopted, firstly, because $t\bar{t}$ and single-top-quark production without $b \rightarrow J/\psi \rightarrow \mu^+\mu^-$ decay, although formally a background process, still carries information about the top-quark mass and, secondly, by doing so an m_{top} -independent background template can be used. A parameterisation of these distributions is performed using the sum of a Gaussian and a LogNormal function, the latter having the form:

$$f(x|m_0, k) = \frac{1}{\sqrt{2\pi \cdot \ln(k)} \cdot x} \exp\left(\frac{-\ln^2(x/m_0)}{2\ln^2(k)}\right),$$

where m_0 is the median and $k = \exp(\sigma)$ with σ a shape parameter. The parameterisation is chosen to represent the Gaussian part of the distribution, coming mostly from $t\bar{t}$ and single-top-quark events where the J/ψ and the prompt lepton come from the same top quark, and a contribution with a larger tail coming from the cases where the J/ψ and the isolated lepton do not come from the same top quark or the J/ψ candidate does not come from a b -quark produced by a $t \rightarrow Wb$ decay. This approach assumes that each fit parameter has a linear dependence on the top-quark mass, which has been verified. The m_{top} -dependent templates are shown in figure 3(a) for three different $m_{\text{top}}^{\text{gen}}$ values: 169, 172.5 and 176 GeV. The m_{top} -independent template is constructed from the $t\bar{t}V$ and $t\bar{t}H$ processes (neglecting their m_{top} dependence due to their small contributions), from the W +jets, $W+J/\psi$, Z +jets and dibosons processes, and from events with non-prompt and fake leptons. A Chebyshev polynomial function [133] is fitted to this m_{top} -independent template as shown in figure 3(b). These functions are shown to provide an adequate parameterisation for the m_{top} -dependent and m_{top} -independent templates. Other choices for the templates fit functions give compatible results.

The templates are normalised to unity to build m_{top} -dependent and m_{top} -independent probability density functions, respectively $P^{m_{\text{top}}\text{-dep.}}$ and $P^{m_{\text{top}}\text{-indep.}}$. They are summed in an unbinned likelihood that is maximised to give the value of m_{top} that best describes the data:

$$\mathcal{L}(m_{\text{top}}) = \prod_{i=1}^N f_{m_{\text{top}}\text{-dep.}} P^{m_{\text{top}}\text{-dep.}}(m^i(\ell\mu^+\mu^-)|m_{\text{top}}) + (1 - f_{m_{\text{top}}\text{-dep.}}) P^{m_{\text{top}}\text{-indep.}}(m^i(\ell\mu^+\mu^-)),$$

where N is the number of events and $f_{m_{\text{top}}\text{-dep.}}$ is the fraction of events from the m_{top} -dependent component.

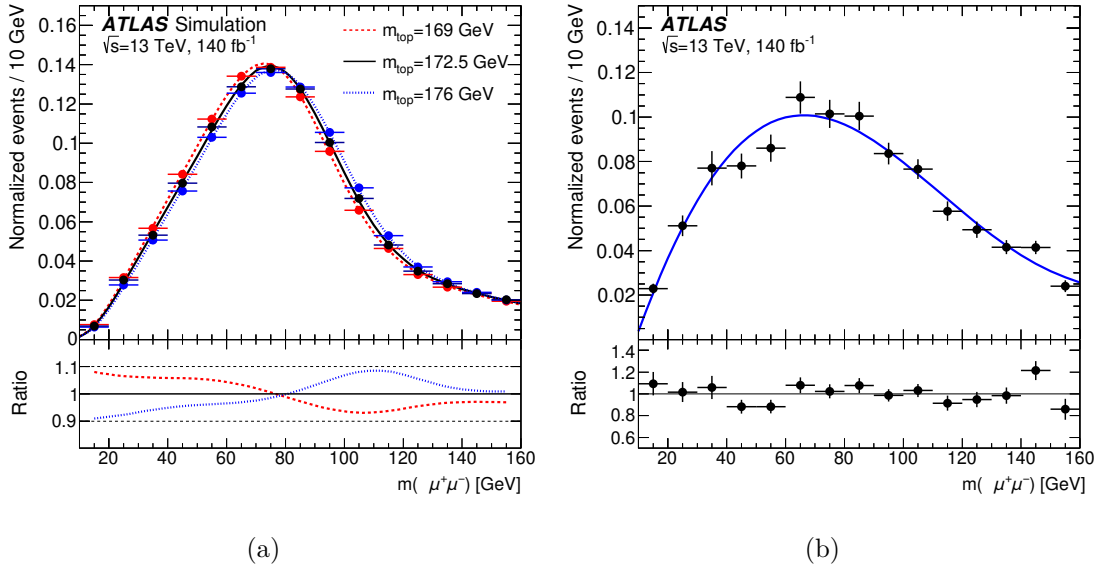


Figure 3. Template fit functions for (a) the m_{top} -dependent sample shown for $m_{\text{top}}^{\text{gen}}$ values of 169, 172.5 and 176 GeV and (b) the m_{top} -independent samples compared with the histograms used in the parameterisation. The lower panel of the m_{top} -dependent template plot shows the ratios of the fit functions obtained with other $m_{\text{top}}^{\text{gen}}$ values to the one obtained with $m_{\text{top}}^{\text{gen}} = 172.5$ GeV. The lower panel of the m_{top} -independent template plot shows the ratios of the histogram to the fit function.

Figure 4(a) shows the calibration curve, i.e. the reconstructed m_{top} as a function of the generated one $m_{\text{top}}^{\text{gen}}$. A linear function is fitted to all mass points and gives the following parameters: $m_{\text{top}} = (172.56 \pm 0.10) + (0.98 \pm 0.06) \times (m_{\text{top}}^{\text{gen}} - 172.5)$. The determination of m_{top} from these fits is found to be linear and unbiased relative to the input top-quark mass hypothesis by means of pseudo experiments [109]. No evidence for a significant bias or non-closure has been found and the method calibration uncertainty, described in section 6, is assigned to cover for any bias below the sensitivity of the tests performed.

The value of m_{top} obtained from the fit to data and the corresponding statistical and systematic uncertainties are:

$$m_{\text{top}} = 172.17 \pm 0.80 \text{ (stat)} \pm 0.81 \text{ (syst)} \pm 1.07 \text{ (recoil) GeV},$$

with a total uncertainty of 1.56 GeV. The third uncertainty arises from changing the dipole parton shower gluon-recoil scheme used in top-quark decays. The data distribution is shown in figure 4(b) where it is compared with the predicted distribution and the uncertainty band corresponding to the total uncertainty reported above. The uncertainty band is constructed by varying the template fit function within the statistical and systematic uncertainties. The individual uncertainties and their sum in quadrature are given in table 3. Uncertainties related to $t\bar{t}$ and single-top-quark processes are shown separately and are considered uncorrelated to obtain the total uncertainty. The statistical and systematic uncertainties, excluding recoil, are at the same level. Apart from the dominant recoil uncertainty, the main sources of systematic uncertainties are due to the parton shower and hadronisation modelling, final-state radiation modelling, b -quark fragmentation and also large uncertainties from background

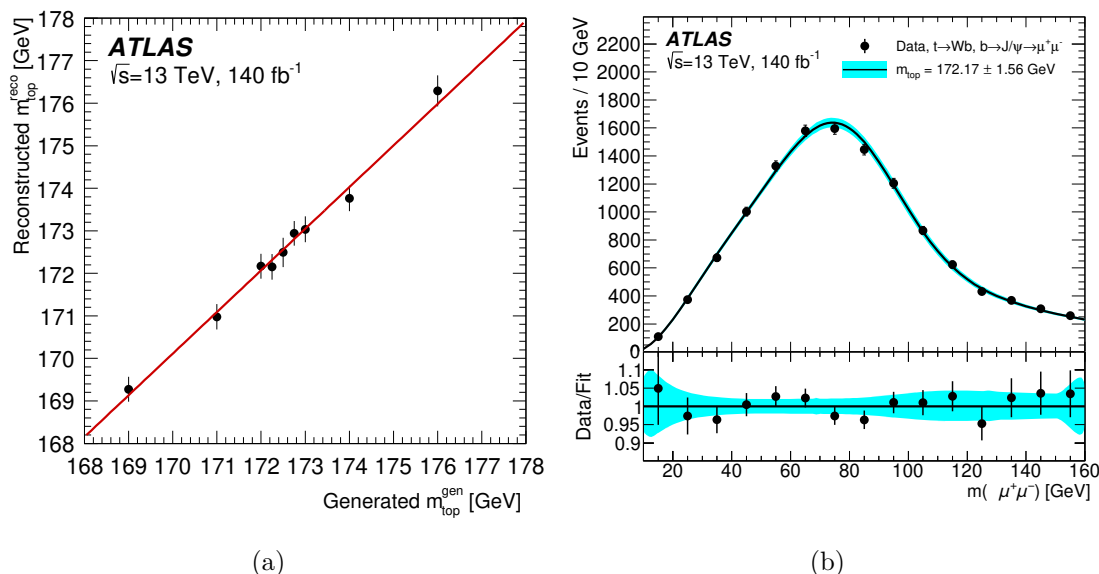


Figure 4. (a) Calibration curve obtained showing the reconstructed m_{top} as a function of the generated one $m_{\text{top}}^{\text{gen}}$. The fitted values are represented as closed circles with statistical uncertainties. A linear function is fitted to the mass points. (b) The $m(\ell\mu^+\mu^-)$ distribution in data compared with the predicted distribution. The shaded uncertainty band is constructed by varying the template fit function within the statistical and systematic uncertainties of the measurement. The lower panel shows the ratio of data to the template fit function.

modelling in particular from the W +jets modelling. The detector uncertainties are on the contrary much smaller; in particular the uncertainty due to the calibration of the jet energies is below 100 MeV.

A comparison of this result with other m_{top} measurements is shown in figure 5. This new measurement is found to be in good agreement with previous ATLAS measurements, the ATLAS+CMS Run 1 combination [18] and the CMS measurement using the same final state [24]. Precisely quantifying the level of agreement between the results displayed in figure 5 requires a detailed estimate of the correlation between each pair of measurements, which is beyond the scope of this paper.

Source of uncertainty	Unc. in m_{top} [GeV]	Stat. precision [GeV]
Data and Monte Carlo samples		
Statistical error in data	0.80	
Statistical error in signal and background model	0.27	± 0.06
Method	0.06	± 0.10
Luminosity	0.01	$< \pm 0.005$
Pile-up	0.11	± 0.02
Modelling of $t\bar{t}$ process		
Matrix element matching	0.14	± 0.20
NNLO reweighting	0.01	± 0.02
Top-quark decay lineshape	0.07	± 0.02
Parton shower and hadronisation	0.40	± 0.19
b -hadron production fractions	0.04	± 0.02
b -hadron decay BR	0.08	± 0.02
Initial-state QCD radiation	0.10	± 0.07
Final-state QCD radiation	0.21	± 0.05
Underlying event	0.20	± 0.12
Colour reconnection	0.04	± 0.28
Parton distribution function	0.03	$< \pm 0.005$
b -quark fragmentation r_b	0.15	± 0.04
Modelling of single-top-quark process		
Matrix element matching	0.02	± 0.11
$t\bar{t}$ - tW interference	0.15	± 0.07
Parton shower and hadronisation	0.09	± 0.11
b -hadron production fractions	0.09	± 0.07
b -hadron decay BR	0.02	$< \pm 0.005$
Initial-state QCD radiation	0.05	± 0.04
Final-state QCD radiation	0.06	± 0.04
Parton distribution function	0.01	$< \pm 0.005$
b -quark fragmentation r_b	0.05	± 0.07
Modelling of background processes		
$t\bar{t}V + t\bar{t}H$, diboson	0.02	± 0.01
W/Z +jets	0.40	± 0.12
$W + J/\psi$	0.04	$< \pm 0.005$
Non-prompt and fake lepton	0.15	± 0.01
Fake soft- μ	0.01	$< \pm 0.005$
Detector response		
Isolated leptons	0.08	± 0.01
Soft- μ	0.12	± 0.01
Light jet energy scale	0.07	± 0.01
b -jet energy scale	0.04	± 0.01
Jet energy resolution	0.02	± 0.01
Flavour tagging	0.02	$< \pm 0.005$
Total systematic uncertainty (excluding recoil)	0.81	± 0.15
Total stat. and syst. uncertainty (excluding recoil)	1.14	± 0.15
Recoil uncertainty	1.07	± 0.22
Total uncertainty	1.56	± 0.18

Table 3. Impact of sources of uncertainty in m_{top} . Each row of the table corresponds to a group of individual systematic variations. Uncertainties related to $t\bar{t}$ and single-top-quark processes are shown separately and are considered uncorrelated. For each systematic uncertainty listed, the first value corresponds to the uncertainty in m_{top} , and the second to the statistical precision of this uncertainty. The total systematic uncertainty and the corresponding statistical precision are calculated as discussed in section 6. The total uncertainty is the sum in quadrature of the statistical and systematic uncertainties.

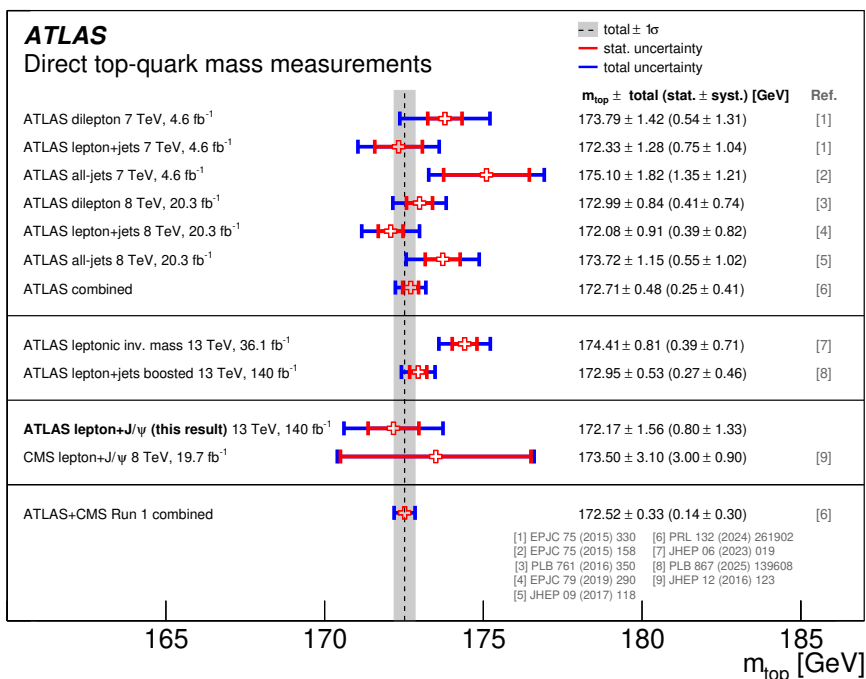


Figure 5. This measurement of the top-quark mass m_{top} compared with earlier ATLAS measurements, in particular at 13 TeV [19, 21], the ATLAS and CMS Run 1 combination [18], and a CMS measurement in the same lepton+ J/ψ channel [24]. The dashed line and shaded band represent the central value and uncertainty of the ATLAS+CMS Run 1 combination.

8 Conclusion

The top-quark mass is measured in top-quark events with a b -quark decaying into $J/\psi + X \rightarrow \mu^+ \mu^- + X$. The analysis uses 140 fb⁻¹ of proton-proton collision data recorded during Run 2 of the LHC at a centre-of-mass of $\sqrt{s} = 13$ TeV with the ATLAS detector. The measurement is based on the invariant mass $m(\ell \mu^+ \mu^-)$ of the system constructed from the isolated lepton ℓ from the W -boson decay and the non-isolated $\mu^+ \mu^-$ pair from a J/ψ decay of a b -hadron. An unbinned maximum-likelihood fit to the $m(\ell \mu^+ \mu^-)$ distribution is performed to determine the most probable top-quark mass value. The top-quark mass is measured to be $m_{\text{top}} = 172.17 \pm 0.80$ (stat) ± 0.81 (syst) ± 1.07 (recoil) GeV, with a total uncertainty of 1.56 GeV. The third uncertainty arises from changing the dipole parton shower gluon-recoil scheme used in top-quark decays. The main sources of systematic uncertainties are due to the recoil, parton-shower and hadronisation, b -quark fragmentation, final-state radiation modelling with uncertainties from background modelling and soft- μ reconstruction also being significant. The uncertainty due to the calibration of the jet energies is smaller and is below 100 MeV, which is advantageous for future combinations of this result with those from the other reconstructions of the top-quark decay products. The measurement has a large statistical uncertainty, which indicates that future measurements with larger LHC data samples could reach an improved precision.

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