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# Breakthrough SARS-CoV-2 infections in MS patients on disease modifying therapies

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60 **Abstract**

61 **Background.** Patients with Multiple Sclerosis (pwMS) treated with anti-CD20 or fingolimod showed a  
62 reduced humoral response to SARS-CoV-2 vaccines. In this study we aimed to monitor the risk of  
63 breakthrough SARS-CoV-2 infection in pwMS on different Disease Modifying Therapy (DMT).

64 **Methods.** Data on number of vaccinated patients and of patients with a breakthrough infection were  
65 retrospectively collected in 27 Italian MS centers. We estimated the rate of breakthrough infections and of  
66 infection requiring hospitalization per DMT.

67 **Findings.** 19641 vaccinated pwMS were included in the database. After a median follow-up of 8 months, we  
68 observed 137 breakthrough infections. As compared to the other DMTs, the rate of breakthrough infections  
69 was significantly higher on ocrelizumab (0.57% vs 2.00%, RR=3.55,95%CI=2.74-4.58, p<0.001) and  
70 fingolimod (0.58% vs 1.62%, RR=2.65,95%CI=1.75-4.00, p<0.001), while there were no significant  
71 differences in any other DMT group. In the ocrelizumab group the hospitalization rate was 16.7% vs 19.4%  
72 in the pre-vaccination era (RR=0.86,p=0.74) and it was 3.9% in all the other DMT groups vs 11.9% in the  
73 pre-vaccination period (RR=0.33,p=0.02).

74 **Interpretation.** The risk of breakthrough SARS-CoV-2 infections is higher in patients treated with  
75 ocrelizumab and fingolimod, and the rate of severe infections was significantly reduced in all the DMTs  
76 excluding ocrelizumab.

77

## 78 **Introduction**

79 Several recent studies evaluated the effect of vaccination against SARS-CoV-2 in patients with multiple  
80 sclerosis (pwMS) treated with disease-modifying therapies (DMTs). There is wide consensus that the use of  
81 anti-CD20 monoclonal antibodies and fingolimod are associated with an impaired virus-specific humoral  
82 immune response as compared to all the other DMTs<sup>1-4</sup>. On the other hand, there is also growing evidence  
83 that vaccinated pwMS treated with anti-CD20 generated robust virus specific CD4 and CD8 T cell  
84 responses<sup>4-5</sup>, while these are slightly reduced in fingolimod treated patients<sup>5</sup>. A preliminary follow-up study  
85 of 344 fully vaccinated pwMS on DMT reported 13 breakthrough infections, 10 of which were in patients on  
86 anti-CD20 therapy and the remaining 3 on fingolimod<sup>6</sup>, suggesting a relevant role of antibodies in preventing  
87 the infection. The French registry recently reported a case series of 18 pwMS who had Covid-19 after two  
88 doses of BNT162b2-vaccination, 13 of which treated with anti-CD20 and four with fingolimod<sup>7</sup>. Finally, the  
89 clinical follow up of the CovXiMS study<sup>1</sup> evaluating humoral response in 1705 pwMS who received two  
90 doses of mRNA vaccines<sup>8</sup>, reported 23 breakthrough infections over a 6 month follow up. The risk of  
91 infection was associated with lower SARS-CoV-2 antibody levels measured after 4 weeks from the second  
92 vaccine dose<sup>8</sup>.

93 Against this background and taking advantage of the large network of MS centers within the Italian Alliance  
94 against Covid-19 promoted by the Italian MS Society, we collected data from 27 Italian MS centers on the  
95 number of vaccinated patients and the number of patients who had a breakthrough infection in each DMT  
96 group, in the period preceding the spread of the Omicron variant, that started its massive diffusion in Italy  
97 after the December 2021 holiday season. Aim of this study is to estimate the rate of breakthrough infections  
98 per DMT class on a large sample of vaccinated pwMS and to compare the rates of severe infections to the  
99 rate observed in Italy in the pre-vaccination era<sup>9</sup>.

100

## 101 **Patients and Methods**

102 *Study design and participants*

103 This was a retrospective data collection conducted in 27 Italian MS centers on pwMS undergoing the SARS-  
104 CoV-2 vaccination. Each MS center was requested to report the number of pwMS vaccinated by two mRNA  
105 vaccine doses (BNT162b2 (Pfizer Inc, and BioNTech), or mRNA-1273 (Moderna Tx, Inc)) in each DMT  
106 group from March 2021 to December 25, 2021. Data cutoff was set before the spread of the Omicron variant  
107 in Italy, since on December 23, 2021 the percentage of Omicron infections was estimated to be 28%  
108 (<https://www.iss.it/primo-piano>, accessed on December 25, 2021). Breakthrough infections occurred within  
109 8 months, defined as a PCR-confirmed test after 14 days from the second or the third vaccine dose, were  
110 extracted from the platform dedicated to Covid-19 data collection in pwMS (MuSC-19 database<sup>10</sup>) for the  
111 participating centers. The post-vaccination SARS-CoV-2 infection was recorded in a dedicated Case Report  
112 Form (CRF).

113 The study is done in compliance with the principles of the Declaration of Helsinki. The study was approved  
114 by the regional ethics committee of Liguria (University of Genoa; n 130/2020–DB id 10433) and at a  
115 national level by the Italian Medicines Agency. Written informed consent was obtained from all participants  
116 before starting any study procedures.

#### 117 *Primary Outcome: breakthrough infection*

118 The primary objective of this analysis was to compare the incidence of breakthrough SARS-CoV-2  
119 infections among the vaccinated pwMS in each DMT group. These conditions entail a PCR-confirmed  
120 swab, and a time lag of at least 14 days from a full vaccination cycle (after the second or third vaccination  
121 dose, or after the first dose following a Covid-19 infection).

#### 122 *Statistical analysis*

123 The percentage of patients with a breakthrough infection in the different DMT groups was calculated. 95%  
124 Confidence Intervals (CI) were estimated using the normal approximation to the binomial calculation<sup>11</sup>.  
125 Difference of rate of infections between DMT groups were estimated by Risk Ratios (RR) and evaluated by  
126 Chi-square tests. Difference of rate of infections in the first 4 months vs the second 4 months of follow up  
127 were estimated by ORs and evaluated by the McNamar test for paired data.

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130

## 131 **Results**

132 Data were collected between March 1, 2021 and December 24, 2021. 19641 pwMS who had a full  
133 vaccination cycle with an mRNA vaccine (2 or 3 vaccine dose, or 1 vaccine dose after Covid-19 infection)  
134 were included in the database. The number of vaccinated pwMS in each DMT group is reported in Table 1.  
135 The mean follow-up time was 249 days (range 99-354). Among them, 137 breakthrough infections were  
136 observed (26 (19.0%) after the third dose, 1 after Covid-19 infection and one dose) over a mean interval after  
137 the last vaccine dose of 142 days (range 14-262) (Table 1). Over the whole follow-up of about 8 months, we  
138 compared the proportion of patients with breakthrough infections in each DMT group to the pooled  
139 proportion of the patients on all the other DMTs (Figure 1, panel A). The rate of breakthrough infections  
140 was significantly higher in patients treated with ocrelizumab (2.00%, 95%CI=1.36-2.66) than in patients  
141 treated with all the other DMTs (0.57%, 95%CI=0.46%-0.68%) with a RR=3.55, 95%CI=2.74-4.58,  
142  $p<0.001$ ; the same was observed in patients treated with fingolimod who had a higher rate of breakthrough  
143 infections (1.62%, 95%CI= 1.02%-2.21%) than the patients treated with all the other DMTs (0.61%,  
144 95%CI=0.50-0.72) with a RR=2.65, 95%CI= 1.75-4.00,  $p<0.001$ . Among the patients who had the SARS-  
145 CoV-2 infection, 10 (7.3%) had a severe disease course and were hospitalized. Six patients treated with  
146 ocrelizumab were hospitalized and in this group the rate of hospitalization was 16.7%, slightly lower but not  
147 significantly different than the pre-vaccination rate observed in Italy (19.4%) in the same DMT group<sup>7</sup>  
148 (relative reduction=14%, RR=0.86, 95%CI=0.38-1.91,  $p=0.74$ ). In the fingolimod group we observed just 1  
149 hospitalized patient (3.6%). The rate of hospitalization was 3.9% in all the other DMT groups as compared to  
150 11.9% in the pre-vaccination period<sup>7</sup> (relative reduction=67%, RR=0.33, 95%CI=0.13-0.88,  $p=0.02$ ). One  
151 patient in ocrelizumab was admitted to the Intensive Care Unit (ICU) and recovered.

152 Figure1, panel B, reports the rate of breakthrough infections in two time periods of equal duration: the first 4  
153 months following the last vaccination dose vs the period 4-8 months after the last vaccination dose in  
154 patients treated with ocrelizumab, fingolimod and all the other DMTs. The rate in patients treated with

155 ocrelizumab and fingolimod was not significantly affected by the time since vaccination (ocrelizumab: 0-4  
156 months after vaccination: 0.84%, 4-8 months after vaccination: 1.18%, OR=1.40, p=0.31; fingolimod: 0-4  
157 months after vaccination: 0.86%, 4-8 months after vaccination: 0.76%, OR=0.88, p=0.75). In all the other  
158 DMT groups the rate is much lower (0-4 months after vaccination: 0.14%) and it was significantly increased  
159 after 4 months from the last vaccine dose (4-8 months after vaccination: 0.32%, OR=2.32, 95%CI=1.38-  
160 4.01, p<0.001).

## 161 **Discussion**

162 This study on a large sample of pwMS who received a full vaccination cycle confirms that the risk of  
163 contracting SARS-CoV-2 infection after Covid-19 m-RNA vaccines is higher in pwMS on anti-CD20  
164 monoclonal antibodies or fingolimod. We observed just one admission to ICU and no deaths. Despite the  
165 small sample of 137 infections, two results emerge. First, in our cohort, among the infected patients after  
166 vaccination treated with ocrelizumab the hospitalization rate is very similar to the hospitalization rate of  
167 patients on the same treatment in the pre-vaccination era<sup>8</sup>, while it is reduced by 67% in pwMS in other  
168 DMTs. However, we must consider that this result can be confounded by an increased propensity of clinician  
169 to admit to hospital pwMS on ocrelizumab who develop Covid-19, because of previous studies showing that  
170 these patients are at a higher risk for a severe course<sup>8</sup>. Second, as expected<sup>9</sup>, the vaccine-induced protection  
171 from the disease is waning with time since vaccination, and this is more evident in patients treated with  
172 DMTs other than ocrelizumab and fingolimod, who already had low antibody levels soon after the  
173 vaccination. In fact, while the infection rate is similar in the first and in the second four months after  
174 vaccination in patients on ocrelizumab and fingolimod, and consistently higher than in patients on other  
175 DMTs, the initial protective effect is vanishing with time for patients in the other DMTs group, who had a  
176 good level of antibody response four weeks after vaccination<sup>1</sup>. This study complements the information of  
177 previous studies reporting the antibody levels after anti-SARS-Cov-2 vaccination in pwMS on different  
178 DMTs<sup>1-7</sup>, suggesting that antibodies play a dominant role in preventing Covid-19 infections and their severe  
179 consequences.



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**Table 1: Characteristics of patients with breakthrough infections (N = 137)**

<b>Female sex</b>		85 (62.0)
<b>Age, years</b>		42.3 ± 10.70
<b>BMI (kg/m<sup>2</sup>)</b>		24.6 ± 4.95
<b>MS phenotype</b>	Primary progressive	9 (6.6)
	Relapsing remitting	116 (84.7)
	Secondary progressive	7 (5.1)
	Missing data	5 (3.6)
<b>Disease duration, months</b>		99.5 (44.0 - 182.0)
<b>Last EDSS before Covid-19 infection</b>		2.0 (1.0 - 3.5)
<b>Relapse in the six months before Covid-19 infection</b>		7 (5.1)
<b>Number of breakthrough infections in each DMT/number of vaccinated patients, (%)</b>	alemtuzumab	0/371 (0.0)
	azathioprine	0/298 (0.0)
	cladribine	4/570 (0.70)
	dimethyl fumarate	22/2668 (0.82)
	fingolimod	28/1733 (1.61)
	glatiramer acetate	4/1514 (0.26)
	interferon	7/2452 (0.29)
	natalizumab	15/1843 (0.81)
	ocrelizumab	36/1794 (2.00)
	rituximab	3/364 (0.82)
	teriflunomide	10/1379 (0.73)
	other	0/389 (0.0)
	untreated	8/4266 (0.19)
<b>Boost COVID-19 vaccination</b>		26 (19.0)
<b>Heterologous vaccine</b>		4 (2.9)
<b>Covid severity</b>	Asymptomatic, viral RNA detected	16 (11.8)
	Symptomatic, independent	95 (69.3)
	Symptomatic, assistance needed	16 (11.8)
	Hospitalized, no oxygen therapy	4 (2.9)
	Oxygen by mask or nasal prongs	5 (3.7)
	Intubation and mechanical ventilation, piO <sub>2</sub> /FiO <sub>2</sub> ≥150 or SpO <sub>2</sub> /FiO <sub>2</sub> ≥200	1 (0.7)

Results are expressed as count (%), mean ± Standard Deviation, or median [Inter Quartile Range], as appropriate.

MS=Multiple Sclerosis, BMI=Body Mass Index, EDSS=Expanded Disability Status Scale, DMT=Disease Modifying Therapy

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## Figure legends

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**Figure 1. Cumulative incidence of breakthrough infections in patients in each DMT group (A)**

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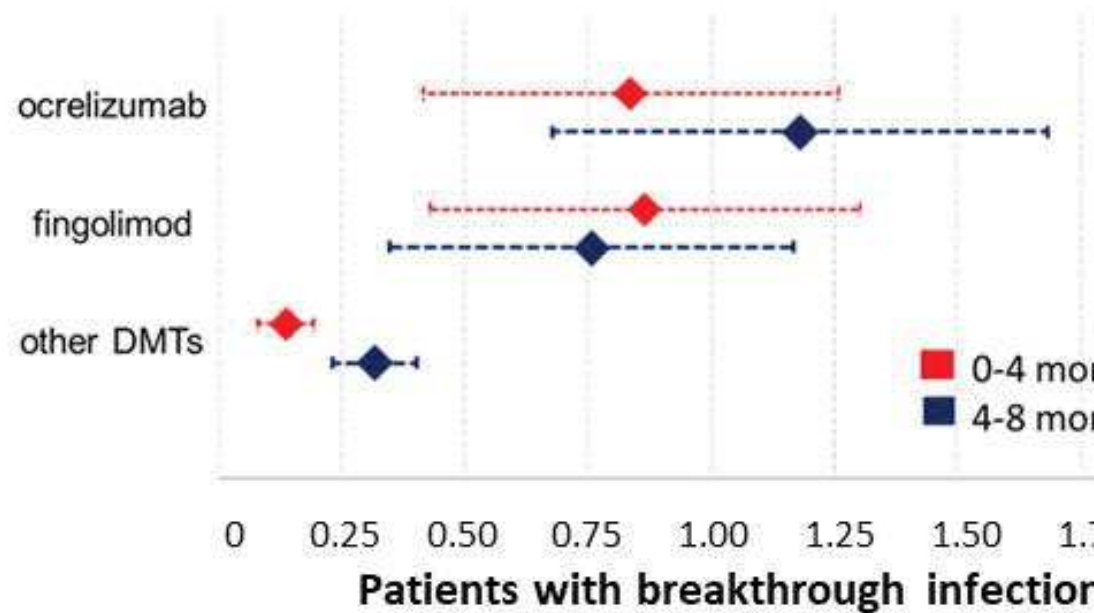
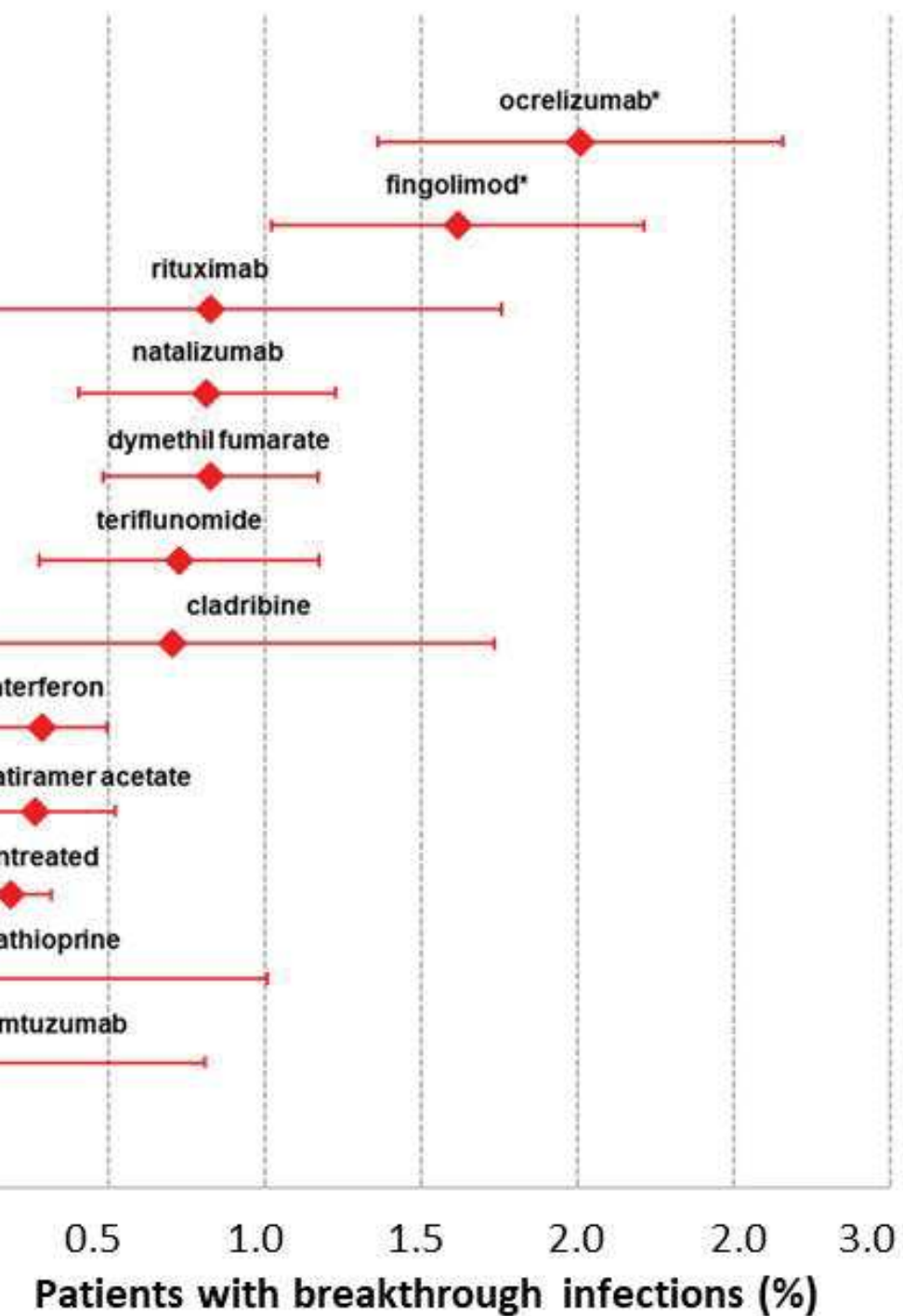
**and breakthrough infection rates according to time since vaccination in ocrelizumab,**

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**fingolimod and other DMTs (B).**

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B

umab and fingolimod had a percentage of breakthrough infections that is significantly higher ( $p < 0.001$ ) than the percentage in other DMT groups.